**Topology Proceedings** 

This article was published in *Topology Proceedings* **28** (2004), 361-399. To the best of my knowledge this is the final version as it was submitted to the publisher–NH.

# THE GRAHAM-ROTHSCHILD THEOREM AND THE ALGEBRA OF $\beta W$

### TIMOTHY J. CARLSON, NEIL HINDMAN, AND DONA STRAUSS

ABSTRACT. In a previous paper we established an infinitary extension of the Graham-Rothschild Theorem by producing an infinite decreasing chain of idempotents in the Stone-Čech compactification of the set of variable words over a nonempty alphabet. In this paper we investigate further the algebraic structure of that compactification and determine which finite chains of idempotents are extendable to an infinite chain as above.

# 1. INTRODUCTION

Throught this paper A will denote a nonempty set (the *alphabet*). We write  $\omega$  for the set  $\{0, 1, 2, ...\}$  of finite ordinals and  $\mathbb{N} = \omega \setminus \{0\}$ . We choose a set  $V = \{v_n : n \in \omega\}$  (of *variables*) such that  $A \cap V = \emptyset$ and define W to be the semigroup of words over the alphabet  $A \cup V$ , including the empty word, with concatenation as the semigroup operation. (Formally a *word* w is a function from an initial segment  $\{0, 1, ..., k - 1\}$  of  $\omega$  to the alphabet and the length  $\ell(w)$  of w is k. We shall occasionally need to resort to this formal meaning, so

 $<sup>2000\</sup> Mathematics\ Subject\ Classification.$  Primary 54D35; Secondary 54H15, 05D10.

Key words and phrases. Graham-Rothschild Theorem, variable words, free semigroup, Stone-Čech compactification.

The second author acknowledges support received from the National Science Foundation via grant DMS 0243586.

that if  $i \in \{0, 1, \dots, \ell(w) - 1\}$ , then w(i) denotes the  $(i+1)^{st}$  letter of w.)

For each  $n \in \mathbb{N}$ , we define  $W_n$  to be the set of words over the alphabet  $A \cup \{v_0, v_1, \ldots, v_{n-1}\}$  and we define  $W_0$  to be the set of words over A. We note that each  $W_n$  is a subsemigroup of W.

**Definition 1.1.** Let  $n \in \mathbb{N}$ , let  $k \in \omega$  with  $k \leq n$ , and let  $\emptyset \neq B \subseteq A$ . Then  $[B]\binom{n}{k}$  is the set of all words w over the alphabet  $B \cup \{v_0, v_1, \ldots, v_{k-1}\}$  of length n such that

- (1) for each  $i \in \{0, 1, \dots, k-1\}$ , if any,  $v_i$  occurs in w and
- (2) for each  $i \in \{0, 1, ..., k-2\}$ , if any, the first occurrence of  $v_i$  in w precedes the first occurrence of  $v_{i+1}$ .

**Definition 1.2.** Let  $k \in \mathbb{N}$ . Then the set of k-variable words is  $S_k = \bigcup_{n=k}^{\infty} [A] \binom{n}{k}$ . Also  $S_0 = W_0$ .

Given  $w \in S_n$  and  $u \in W$  with  $\ell(u) = n$ , we define  $w\langle u \rangle$  to be the word with length  $\ell(w)$  such that for  $i \in \{0, 1, \dots, \ell(w) - 1\}$ 

$$w\langle u\rangle(i) = \begin{cases} w(i) & \text{if } w(i) \in A\\ u(j) & \text{if } w(i) = v_j \end{cases}$$

That is,  $w\langle u \rangle$  is the result of substituting u(j) for each occurrence of  $v_i$  in w.

The following theorem is commonly known as the Graham-Rothschild Theorem. The original theorem [4] (or see [7]) is stated in a significantly stronger fashion. However this stronger version is derivable from Theorem 1.3 in a reasonably straightforward manner. (See [3, Theorem 5.1].)

**Theorem 1.3** (Graham-Rothschild). Assume that the alphabet A is finite, let  $m, n \in \omega$  with m < n, and let  $S_m$  be finitely colored. There exists  $w \in S_n$  such that  $\{w\langle u \rangle : u \in [A]\binom{n}{m}\}$  is monochrome.

In [3] we established a strong infinitary extension of the Graham-Rothschild Theorem by producing an infinite sequence of idempotents in  $\beta W$ , the Stone-Čech compactification of W. In order to discuss this, let us briefly review some facts about the Stone-Čech compactification  $\beta T$  of a (discrete) semigroup  $(T, \cdot)$ . We take the points of  $\beta T$  to be the ultrafilters on T, the principal ultrafilters being identified with the points of T. Given a set  $A \subseteq T$ ,  $\overline{A} = \{p \in \beta T : A \in p\}$ . The set  $\{\overline{A} : A \subseteq T\}$  is a basis for the open sets (as well as a basis for the closed sets) of  $\beta T$ . If  $R \subseteq T$  we shall

identify an ultrafilter p on R with the ultrafilter  $\{A \subseteq T : A \cap R \in p\}$ and thereby pretend that  $\beta R \subseteq \beta T$ . We let  $T^* = \beta T \setminus T$ .

There is a natural extension of the operation  $\cdot$  of T to  $\beta T$  making  $\beta T$  a compact right topological semigroup with T contained in its topological center. This says that for each  $p \in \beta T$  the function  $\rho_p : \beta T \to \beta T$  is continuous and for each  $x \in T$ , the function  $\lambda_x : \beta T \to \beta T$  is continuous, where  $\rho_p(q) = q \cdot p$  and  $\lambda_x(q) = x \cdot q$ . Given  $B \subseteq T$  and  $x \in T$ , let  $x^{-1}B = \{y \in T : x \cdot y \in B\}$ . Then for any  $p, q \in \beta T$  and any  $B \subseteq T$ , one has that  $B \in p \cdot q$  if and only if  $\{x \in T : x^{-1}B \in q\} \in p$ . In particular, if  $B \in p$  and  $C \in q$ , then  $B \cdot C \in p \cdot q$ . See [6] for an elementary introduction to the semigroup  $\beta T$  and for any unfamiliar algebraic facts encountered in this paper.

A subset U of a semigroup T is called a left ideal if it is nonempty and  $TU \subseteq U$ . It is called a right ideal if it is nonempty and  $UT \subseteq U$ . It is called a two-sided ideal, or simply an ideal, if it is both a left ideal and a right ideal. Any compact Hausdorff right topological semigroup T has a smallest two sided ideal K(T) which is the union of all of the minimal left ideals of T and is also the union of all of the minimal right ideals of T. If  $x \in K(T)$ , then xT is the minimal right ideal with x as a member and Tx is the minimal left ideal with x as a member. The intersection of any minimal left ideal and any minimal right ideal is a group. Thus if p is a minimal idempotent in T, then p is the unique idempotent of T in  $pT \cap Tp$ . There is a partial ordering of the idempotents of T determined by  $p \leq q$  if and only if  $p = p \cdot q = q \cdot p$ . An idempotent p is minimal with respect to this order if and only if  $p \in K(T)$ [6, Theorem 1.59]. Such an idempotent is called simply "minimal". The intersection of any right ideal and any left ideal of T contains a minimal idempotent. We shall also frequently use the following fact [6, Theorem 1.65]: If T is a compact right topological semigroup, Dis a compact subsemigroup of T, and  $D \cap K(T) \neq \emptyset$ , then K(D) = $D \cap K(T).$ 

If  $(T, \cdot)$  is a discrete semigroup, there is also a natural extension \* of the operation  $\cdot$  to  $\beta T$ , for which  $(\beta T, *)$  is a compact left topological semigroup. This means that, for each  $x \in \beta T$ ,  $\lambda_x$  is continuous. The algebraic facts stated in the preceding paragraph are valid for compact left topological semigroups as well as compact right topological semigroups. For this reason, many of the results obtained in [3], as well as the present paper, are valid for  $(\beta W, *)$ , as well as  $(\beta W, \cdot)$ . This remark applies to [3, Theorem 2.12] and to Theorem 1.5, Theorem 1.14 and Theorem 2.3 in the present paper.

**Definition 1.4.** Let  $u \in W$  with length n. Then  $h_u : W \to W$  is the homomorphism such that, for all  $w \in A \cup V$ ,

$$h_u(w) = \begin{cases} w & \text{if } w \in A \\ u(j) & \text{if } w = v_j \text{ and } j < n \\ w & \text{if } w = v_j \text{ and } j \ge n . \end{cases}$$

Notice that if  $w \in S_n$ ,  $u \in W$ , and the length of u is n, then  $h_u(w) = w\langle u \rangle$ . Given  $u \in W$ , the function  $h_u$  has a continuous extension from  $\beta W$  to  $\beta W$ . We shall also denote this extension by  $h_u$ , and observe that  $h_u : \beta W \to \beta W$  is a homomorphism. (See [6, Corollary 4.22].) We shall refer to the mappings  $h_u$  as reductions. If  $u, w \in W$ , we may call  $h_u(w)$  a reduction of w.

The following theorem is a special case of the main algebraic result of [3]. It is this result that we used to establish infinitary extensions of Theorem 1.3.

**Theorem 1.5.** Let p be a minimal idempotent in  $\beta S_0$ . There is a sequence  $\langle p_n \rangle_{n=0}^{\infty}$  such that

- (1)  $p_0 = p;$
- (2) for each  $n \in \mathbb{N}$ ,  $p_n$  is a minimal idempotent of  $\beta S_n$ ;
- (3) for each  $n \in \mathbb{N}$ ,  $p_n \leq p_{n-1}$ ;
- (4) for each  $n \in \mathbb{N}$  and each  $u \in [A]\binom{n}{n-1}$ ,  $h_u(p_n) = p_{n-1}$ .

Further,  $p_1$  can be any minimal idempotent of  $\beta S_1$  such that  $p_1 \leq p_0$  and  $p_2$  can be any minimal idempotent of  $\beta W_2$  such that  $p_2 \in p_1 h_{v_1}(p_1)\beta W_2 \cap \beta W_2 h_{v_1}(p_1)p_1$ .

*Proof.* This is [3, Theorem 2.12] in the case where  $D = \{e\}$  and  $T_e$  is the identity. (The conclusion about  $p_2$  is proved there, but not stated.) Or see the appendix to this paper for the proof of a stronger result.

The results of [3] suggest the importance of the relation  $\prec$  which we now define.

**Definition 1.6.** The binary relation  $\prec$  on  $\bigcup_{n < \omega} \beta S_n$  is defined by  $q \prec p$  if and only if there exist  $m < n < \omega$  such that  $q \in \beta S_m$ ,  $p \in \beta S_n$ , and  $h_u(p) = q$  for all  $u \in [A] \binom{n}{m}$ .

One fairly easily establishes (using Lemma 1.11 below) that  $\prec$  is transitive. In fact, ones sees (using Lemma 1.12) that  $\prec$  is a tree (i.e., the set of predecessors of any element is linearly ordered). In [3], strong combinatorial consequences are drawn from the existence of certain kinds of infinite branches through  $\prec$ . In Section 3 of this paper we will characterize which ultrafilters lie on such branches and do the same for other kinds of branches. In addition we will consider other structural properties of  $\prec$  such as the existence of maximal elements and branching degree.

Recall that the ordinal sum  $1 + \omega = \omega$ .

**Definition 1.7.** Let  $\alpha \in \omega \cup \{\omega\}$ . Then  $\langle p_i \rangle_{i < \alpha}$  is a reductive sequence of length  $\alpha$  if and only if  $p_i \in \beta S_i$  for each  $i < \alpha$  and whenever  $i < j < \alpha$  and  $u \in [A]\binom{j}{i}$ ,  $h_u(p_j) = p_i$ . If in addition  $p_i$  is a minimal idempotent in  $\beta S_i$  for each  $i < \alpha$  and  $p_{i+1} < p_i$  whenever  $i + 1 < \alpha$ , then  $\langle p_i \rangle_{i < \alpha}$  is a special reductive sequence.

If  $n < \omega$ ,  $q \in \beta S_n$ ,  $p \in \beta S_{n+1}$ , and  $h_u(p) = q$  for all  $u \in [A]\binom{n+1}{n}$ , then q is the unique reduction of p in  $\beta S_n$ .

Theorem 1.5 tells us that any minimal idempotent in  $\beta S_0$  is a term of an infinite special reductive sequence, and that any minimal idempotent in  $\beta S_1$  which is less than some minimal idempotent in  $\beta S_0$  is also a term of an infinite special reductive sequence. It was shown in [3, Theorem 3.6] that there exist minimal idempotents in  $\beta S_1$  that are not part of any reductive sequence of length greater than 2. As we have remarked above, we shall be concerned in Section 3 of this paper with the order relation  $\prec$ . In particular, we shall be concerned with determining which idempotents are terms of special infinite reductive sequences. The characterizations that we obtain are in terms of certain special subsemigroups of  $\beta S_n$ . We study those semigroups in Section 2.

We are working in this paper in a more restrictive setting than in [3]. (In the terminology of that paper,  $D = E = \{e\}$ ,  $T_e$  is the identity, and for each  $n < \omega$ ,  $v_n = (e, \nu_n)$ .) We do this primarily because the maps  $h_u$  as defined here are much easier to comprehend than their more general version as defined in [3].

We conclude this introduction with some preliminary results which will be used later.

**Theorem 1.8.** Assume that the alphabet A is finite, let  $m, n \in \omega$ with m < n, and let  $r \in \mathbb{N}$ . There exists  $k \in \mathbb{N}$  such that k > n 6

and whenever  $[A]\binom{k}{m}$  is r-colored, there exists  $w \in [A]\binom{k}{n}$  such that  $\{w\langle u \rangle : u \in [A]\binom{n}{m}\}$  is monochrome.

*Proof.* This is a consequence of Theorem 1.3 by a standard compactness argument. (See [5, Section 1.5] or [6, Section 5.5].)  $\Box$ 

**Lemma 1.9.** Let  $m < n < \omega$  and let  $u \in [A]\binom{n}{m}$ . Then  $h_u[K(\beta S_n)] \subseteq K(\beta S_m)$ .

*Proof.* By Theorem 1.5,  $h_u[\beta S_n] \cap K(\beta S_m) \neq \emptyset$  and thus

$$K(h_u[\beta S_n]) \subseteq h_u[\beta S_n] \cap K(\beta S_m).$$

By [6, Exercise 1.7.3]  $K(h_u[\beta S_n]) = h_u[K(\beta S_n)].$ 

We remark that if m > 0, the inclusion of Lemma 1.9 may be proper. To see this, pick  $a \in A$  and let  $u = av_0v_1 \cdots v_{m-1}aa \cdots a$ . Then  $h_u[\beta S_n]$  misses the right ideal  $v_0\beta S_m$  of  $\beta S_m$ .

**Lemma 1.10.** Let  $r, s \in W$ , let  $k = \ell(r)$ , and let  $m = \ell(s)$ . If  $k \leq m$ , let  $u = h_r(s)$ . If k > m, let  $u = h_r(s)^{\frown} r(m)r(m+1)\cdots r(k-1)$ . Then  $h_u = h_r \circ h_s$ .

Proof. It suffices to verify that  $h_r(h_s(w)) = h_u(w)$  for every  $w \in A \cup V$ . Assume first that  $k \leq m$ . If  $w \in A \cup \{v_j : j \geq m\}$ , then  $h_u(w) = w = h_r(w) = h_r(h_s(w))$ . If  $w = v_j$  for some j < m, then  $h_u(w) = u(j) = h_r(s(j)) = h_r(h_s(w))$ .

Now assume that k > m. If  $w \in A \cup \{v_j : j \ge k\}$ , then  $h_u(w) = w = h_r(w) = h_r(h_s(w))$ . If  $w = v_j$  for some j with  $m \le j < k$ , then  $h_u(w) = u(j) = r(j) = h_r(w) = h_r(h_s(w))$ . If  $w = v_j$  for some j < m, then  $h_u(w) = u(j) = h_r(s(j)) = h_r(h_s(w))$ .  $\Box$ 

**Lemma 1.11.** Let  $k < m < n < \omega$ , let  $r \in [A]\binom{m}{k}$ ,  $s \in [A]\binom{n}{m}$ , and  $u \in [A]\binom{n}{k}$ . Then  $h_r \circ h_s = h_u$  if and only if  $u = s\langle r \rangle$ .

*Proof.* The sufficiency is a special case of Lemma 1.10. For the necessity, let  $x = v_0 v_1 \cdots v_{n-1}$ . Then  $u = h_u(x) = h_r(h_s(x)) = h_r(s) = s\langle r \rangle$ .

**Lemma 1.12.** Let  $k \leq m \leq n < \omega$  and let  $u \in [A]\binom{n}{k}$ . Then there exist  $r \in [A]\binom{n}{m}$  and  $s \in [A]\binom{m}{k}$  such that  $u = r\langle s \rangle$ .

*Proof.* If m = k or m = n, the result is trivial, so we assume that k < m < n. We note that it suffices to establish the result under

the additional assumption that m = n-1. (For then, using Lemma 1.11, one establishes the general result by induction on n - m.)

Either  $u(j) \in A$  for some  $j \in \{0, 1, \ldots, n-1\}$  or else there exists  $t \in \{0, 1, \ldots, k-1\}$  such that  $v_t$  occurs more than once in u. In the second case, let t be the smallest index for which this happens. Then  $u(t) = v_t$  and one may choose j > t such that  $u(j) = v_t$ . In either case, we define r and s as follows for  $i \in \{0, 1, \ldots, n-1\}$  and  $l \in \{0, 1, \ldots, n-2\}$ :

$$r(i) = \begin{cases} v_i & \text{if } i < j \\ u(j) & \text{if } i = j \\ v_{i-1} & \text{if } j < i \end{cases} \text{ and } s(l) = \begin{cases} u(l) & \text{if } l < j \\ u(l+1) & \text{if } j \le l \end{cases}.$$

It is routine to verify that  $u = r \langle s \rangle$ .

**Lemma 1.13.** Let  $0 < m < n < \omega$  and let  $u, u' \in [A]\binom{m}{m-1}$ . There exist  $w, w' \in [A]\binom{n}{m}$  such that  $w\langle u \rangle = w' \langle u' \rangle$ .

*Proof.* There exist  $i, j \in \{0, 1, \dots, m-1\}, t \in A \cup \{v_{\delta} : \delta < i\}$ , and  $s \in A \cup \{v_{\delta} : \delta < j\}$  such that for  $l \in \{0, 1, \dots, m-1\}$ ,

$$u(l) = \begin{cases} v_l & \text{if } l < i \\ t & \text{if } l = i \\ v_{l-1} & \text{if } i < l \end{cases} \text{ and } u'(l) = \begin{cases} v_l & \text{if } l < j \\ s & \text{if } l = j \\ v_{l-1} & \text{if } j < l. \end{cases}$$

We may assume that  $j \leq i$ . Pick  $a \in A$  and for  $l \in \{0, 1, ..., n-1\}$ , let

$$w(l) = \begin{cases} v_l & \text{if } l < j \\ s & \text{if } l = j \\ v_{l-1} & \text{if } j < l < m \\ a & \text{if } m \le l < n \end{cases} \text{ and } \\ w'(l) = \begin{cases} v_l & \text{if } l \le i \\ t & \text{if } l = i + 1 \text{ and } t \in A \cup \{v_\delta : \delta < j\} \\ v_{\delta+1} & \text{if } l = i + 1, \ t = v_\delta, \text{ and } j \le \delta < i \\ v_{l-1} & \text{if } i + 1 < l < m \\ a & \text{if } m \le l < n . \end{cases}$$

It is routine to verify that w and w' are as required.

We now state a theorem which is a significant extension of [3, Theorem 2.12]. The proof of this theorem, which we give in an appendix, is valid under the hypotheses used in [3], without the

restrictions that  $D = \{e\}$  or that  $T_e$  is the identity, which we introduced in the present paper.

**Theorem 1.14.** Let X be a subsemigroup of  $\beta W$  such that  $h_u[X] \subseteq X$  for every  $u \in W$ ,  $X \cap \beta W_n$  is compact and  $X \cap \beta S_n$  is non-empty for every  $n \in \omega$ . Let  $p_0$  be a minimal idempotent of  $X \cap \beta W_0$ and  $p_1 < p_0$  a minimal idempotent of  $X \cap \beta W_1$ . Then there is an infinite reductive sequence  $(p_0, p_1, p_2, p_3, ...)$  such that  $p_n$  is a minimal idempotent of  $X \cap \beta S_n$  and  $p_{n+1} < p_n$  for every  $n \in \omega$ .

*Proof.* The proof of [3, Theorem 2.12] provides a proof of this theorem, provided that  $\beta W$  is replaced by X,  $\beta W_n$  by  $X \cap \beta W_n$  and  $\beta S_n$  by  $X \cap \beta S_n$  for every  $n \in \omega$ . This includes defining  $x \leq_R y$  and  $x \leq_L y$  for  $x, y \in X$  to mean that  $x \in yX$  and  $x \in Xy$  respectively, rather than  $x \in y\beta W$  and  $x \in \beta Wy$ . See the appendix to this paper for the details.

We observe that the algebraic results of the present paper have Ramsey theoretic applications, which will be the subject of a subsequent paper.

We should mention that Lemma 2.10 and Theorem 3.1 were proved in [2]. (See Lemma 7.1 and Claim 6 in §7 of [2].) We provide the proofs, however, because the terminology of [2] is significantly different from the terminology used in this paper.

# 2. Some subsemigroups of $\beta S_n$

**Definition 2.1.** Let  $n \in \omega$ .

$$C_n = \left\{ x \in \beta S_n : h_u(x) = h_{u'}(x) \text{ whenever } m < n \\ \text{and } u, u' \in [A] \binom{n}{m} \right\}$$
$$GR_n = \bigcap_{r > n} \bigcap \left\{ h_u[C_r] : u \in [A] \binom{r}{n} \right\}$$
$$T_n = \left\{ x \in \beta S_n : (\forall r > n) (\exists y \in \beta S_r) (\forall u \in [A] \binom{r}{n}) \right\}$$
$$(h_u(y) = x) \right\}.$$

We shall see in Theorem 2.3 that the objects defined in Definition 2.1 are all subsemigroups of  $\beta S_n$ .

**Lemma 2.2.** Let  $m < n < \omega$  and let  $u \in [A]\binom{n}{m}$ . Then  $h_u[C_n] \subseteq C_m$  and  $h_u[GR_n] \subseteq GR_m$ .

*Proof.* The first assertion is an immediate consequence of Lemma 1.11. To verify the second assertion, let  $y \in GR_n$  and let  $x = h_u(y)$ . Let  $k \in \mathbb{N}$  with k > m be given. We need to show that for each  $w \in [A]\binom{k}{m}, x \in h_w[C_k]$ .

Assume first that k > n. Choose any  $q \in [A]\binom{k}{n}$  and pick  $z \in C_k$ such that  $y = h_q(z)$ . Then  $x = h_u(h_q(z)) = h_{q\langle u \rangle}(z)$  by Lemma 1.11. Given  $w \in [A]\binom{k}{m}$ ,  $h_w(z) = h_{q\langle u \rangle}(z)$  because  $z \in C_k$ .

Now assume that  $k \leq n$ . Pick by Lemma 1.12,  $r \in [A]\binom{n}{k}$  and  $s \in [A]\binom{k}{m}$  such that  $h_u = h_s \circ h_r$ . Then  $x = h_s(h_r(y))$  and  $h_r(y) \in C_k$  by the first assertion in the current lemma, so for any  $w \in [A]\binom{k}{m}$ ,  $h_w(h_r(y)) = h_s(h_r(y)) = x$ .

**Theorem 2.3.** Let  $n \in \omega$ . Then  $GR_n$ ,  $T_n$ , and  $C_n$  are subsemigroups of  $\beta S_n$  that meet the smallest ideal  $K(\beta S_n)$  and  $GR_n \subseteq T_n \subseteq C_n$ .

*Proof.* Pick by Theorem 1.5 an infinite special reductive sequence  $\langle p_m \rangle_{m < \omega}$ . For each  $m < \omega$ ,  $p_m \in GR_m \cap T_m \cap C_m \cap K(\beta S_m)$ , so in particular each is nonempty. Also, for each  $m < r < \omega$ , and each  $u \in [A]\binom{r}{m}$ ,  $h_u[S_r] \subseteq S_m$ , so  $GR_n \subseteq \beta S_n$ . Using the fact that  $h_u$  is a homomorphism for each  $u \in W$ , it is routine to verify that each of  $GR_n$ ,  $T_n$ , and  $C_n$  is algebraically closed.

To see that  $GR_n \subseteq T_n$ , let  $x \in GR_n$  and let r > n. Pick any  $w \in [A]\binom{r}{n}$  and any  $y \in C_r$  such that  $x = h_w(y)$ . Let  $u \in [A]\binom{r}{n}$ . Since  $y \in C_r$ ,  $h_u(y) = h_w(y) = x$ .

Finally assume that  $x \in T_n$  and suppose that  $x \notin C_n$ . Pick m < n and  $u, u' \in [A] \binom{n}{m}$  such that  $h_u(x) \neq h_{u'}(x)$ . Pick disjoint subsets Y and Y' of  $S_m$  such that  $Y \in h_u(x)$  and  $Y' \in h_{u'}(x)$ . Let  $X = h_u^{-1}[Y] \cap h_{u'}^{-1}[Y']$ . Then  $X \in x$ .

Pick  $z \in h_u[S_n] \cap h_{u'}[S_n]$ . (We know this intersection is nonempty because it is a member of any member of  $T_m$ .) Pick w and w' in  $S_n$  such that  $z = h_u(w) = h_{u'}(w')$ . That is,  $z = w\langle u \rangle = w' \langle u' \rangle$ . This implies that w and w' have the same length, say k. Then  $w, w' \in [A]\binom{k}{n}$ . Since  $x \in T_n$ , pick  $y \in \beta S_k$  such that  $x = h_w(y) =$  $h_{w'}(y)$ . Then  $h_w^{-1}[X] \cap h_{w'}^{-1}[X] \cap S_k \in y$  so pick  $t \in S_k$  such that  $h_w(t) \in X$  and  $h_{w'}(t) \in X$ . Then by Lemma 1.11,

$$h_u(h_w(t)) = h_{w\langle u \rangle}(t) = h_{w'\langle u' \rangle}(t) = h_{u'}(h_{w'}(t))$$

so  $Y \cap Y' \neq \emptyset$ , a contradiction.

#### 10 TIMOTHY J. CARLSON, NEIL HINDMAN, AND DONA STRAUSS

The fact that  $GR_n$  meets  $K(\beta S_n)$  shows, surprisingly, that every element q of  $\beta S_n$  is a factor of an element in  $GR_n$ . More precisely, for every  $p \in K(GR_n)$ , p is a member of a minimal right ideal Rand a minimal left ideal L of  $\beta S_n$ . Then  $R = pq\beta S_n$  and  $L = \beta S_n qp$ so p = pqx = yqp for some  $x, y \in \beta S_n$ .

We shall see in Corollary 2.5 that the semigroups  $C_n$  have a simpler description than that given by their definition.

**Theorem 2.4.** Let  $m < n < \omega$ , let  $p \in \beta S_n$ , and let  $q \in \beta S_m$ . If  $\{h_u(p) : u \in [A]\binom{n}{m}\} = \{q\}$ , then  $q \in C_m$ . In particular, if k < m, then  $\{h_u(p) : u \in [A]\binom{n}{k}\}$  is also a singleton.

*Proof.* We show by induction on m - k that if k < m and  $u, u' \in [A]\binom{m}{k}$ , then  $h_u(q) = h_{u'}(q)$ . So assume first that k = m - 1 and let  $u, u' \in [A]\binom{m}{m-1}$ . By Lemma 1.13 we may choose  $w, w' \in [A]\binom{n}{m}$  such that  $w\langle u \rangle = w' \langle u' \rangle$ . Then, using Lemma 1.11,

$$h_u(q) = h_u(h_w(p)) = h_{w\langle u \rangle}(p) = h_{w'\langle u' \rangle}(p) = h_{u'}(h'_w(p)) = h_{u'}(q).$$

Now assume that k < m-1 and for all  $u, u' \in [A]\binom{m}{k+1}$ ,  $h_u(q) = h_{u'}(q)$ . Let  $u, u' \in [A]\binom{m}{k}$ . Pick by Lemma 1.12 some  $s, s' \in [A]\binom{m}{k+1}$  and  $r, r' \in [A]\binom{k+1}{k}$  such that  $u = s\langle r \rangle$  and  $u' = s'\langle r' \rangle$ . By Lemma 1.13 choose  $w, w' \in [A]\binom{m}{k+1}$  such that  $w\langle r \rangle = w'\langle r' \rangle$ . Then, using Lemma 1.11, we have

$$\begin{array}{rcl} h_u(q) &=& h_r \big( h_s(q) \big) = h_r \big( h_w(q) \big) = h_{w \langle r \rangle}(q) \\ &=& h_{w' \langle r' \rangle}(q) = h_{r'} \big( h_{w'}(q) \big) = h_{r'} \big( h_{s'}(q) \big) = h_{u'}(q) \,. \end{array}$$

The "in particular" conclusion now follows by Lemma 1.12.  $\hfill \Box$ 

Corollary 2.5. Let  $n \in \mathbb{N}$ . Then

$$C_n = \{q \in \beta S_n : \text{ there exists a reductive sequence} \\ \langle p_m \rangle_{m < n+1} \text{ with } p_n = q \} \\ = \{q \in \beta S_n : h_u(q) = h_{u'}(q) \text{ whenever } u, u' \in [A] \binom{n}{n-1} \}$$

Proof. It is an immediate consequence of Theorem 2.4 that  $C_n = \{q \in \beta S_n : h_u(q) = h'_u(q) \text{ whenever } u, u' \in [A] \binom{n}{n-1} \}$ . It is also immediate that  $\{q \in \beta S_n : \text{there exists a reductive sequence } \langle p_m \rangle_{m < n+1} \text{ with } p_n = q \} \subseteq C_n$ . To establish the reverse inclusion, let  $q \in C_n$ . For each m < n choose any  $u_m \in [A] \binom{n}{m}$ . Let  $p_n = q$  and for m < n, let  $p_m = h_{u_m}(q)$ . To see that  $\langle p_m \rangle_{m < n+1}$  is a reductive sequence, assume that n > 1, let k < m < n, and

let  $w \in [A]\binom{m}{k}$ . Then by Lemma 1.11  $h_w(p_m) = h_w(h_{u_m}(q)) = h_{u_m\langle w \rangle}(q) = h_{u_k}(q) = p_k$ .

We saw in Theorem 2.4 that if  $\{h_u(p) : u \in [A]\binom{n}{m}\}$  is a singleton and k < m, then  $\{h_u(p) : u \in [A]\binom{n}{k}\}$  is also a singleton. In terms of the relation  $\prec$  of Definition 1.6, if p has a predecessor in  $\beta S_m$ , then it has a predecessor in  $\beta S_k$  for all k < m. We see now that this conclusion need not hold if m < k < n.

**Theorem 2.6.** There exists an idempotent  $p \in \beta S_3$  such that  $\{h_u(p) : p \in [A]\binom{3}{1}\}$  is a singleton but  $\{h_u(p) : p \in [A]\binom{3}{2}\}$  is not a singleton. So p has a predecessor with respect to the relation  $\prec$  in  $\beta S_1$ , but not in  $\beta S_2$ .

Proof. Let  $p_0$  be a minimal idempotent in  $\beta S_0$  and pick a minimal idempotent  $p_1$  in  $\beta S_1$  such that  $p_1 \leq p_0$ . Let  $q_2 = h_{v_1}(p_1)$  and let  $q_3 = h_{v_2}(p_1)$ . Let B be the set of words over  $A \cup \{v_1\}$  and let C be the set of words over  $A \cup \{v_2\}$  and note that  $B \in q_2$  and  $C \in q_3$ . Then  $S_1BC \in p_1q_2q_3$  and  $S_1BC \subseteq S_3$  so  $p_1q_2q_3\beta S_3$  is a right ideal of  $\beta S_3$ . Similarly  $v_0v_1CBS_1 \in v_0v_1q_3q_2p_1$  and  $v_0v_1CBS_1 \subseteq$  $S_3$  so  $\beta S_1v_0v_1CBS_1$  is a left ideal of  $\beta S_1$ . Pick an idempotent  $p_3 \in p_1q_2q_3\beta S_3 \cap \beta S_1v_0v_1CBS_1$ . Pick  $r, s \in \beta S_3$  such that  $p_3 =$  $p_1q_2q_3r = sv_0v_1q_3q_2p_1$ .

Pick a letter  $a \in A$ . Then  $v_0v_1a, v_0av_1 \in [A]\binom{3}{2}$ . We show first that  $h_{v_0v_1a}(p_3) \neq h_{v_0av_1}(p_3)$ , using the fact that  $p_3 = sv_0v_1q_3q_2p_1$ . Now  $h_{v_0v_1a}[S_3] \subseteq S_2$ ,  $h_{v_0v_1a}(v_0) = v_0$ ,  $h_{v_0v_1a}(v_1) = v_1$ ,  $h_{v_0v_1a}[C] \subseteq S_0$ ,  $h_{v_0v_1a}[B] \subseteq B$ , and  $h_{v_0v_1a}[S_1] \subseteq S_1$ . Thus  $S_2v_0v_1S_0BS_1 \in h_{v_0v_1a}(p_3)$ . Also  $h_{v_0av_1}[S_3] \subseteq S_2$ ,  $h_{v_0av_1}(v_0) = v_0$ ,  $h_{v_0av_1}(v_1) = a$ ,  $h_{v_0av_1}[C] \subseteq B$ ,  $h_{v_0av_1}[B] \subseteq S_0$ , and  $h_{v_0av_1}[S_1] \subseteq S_1$ . Thus  $S_2v_0aBS_0S_1 \in h_{v_0av_1}(p_3)$ . Since  $S_2v_0v_1S_0BS_1 \cap S_2v_0aBS_0S_1 = \emptyset$ we have that  $h_{v_0v_1a}(p_3) \neq h_{v_0av_1}(p_3)$ . (The displayed  $v_0$  is the rightmost  $v_0$  which has a later  $v_1$ . In one of these sets it is followed by  $v_1$  while in the other it is followed by a.)

Now let  $u \in [A]\binom{3}{1}$ . If  $u = v_0 w$  for some  $w \in S_0 \cup S_1$ , then  $h_u$  is the identity on  $S_1$  so  $h_u(p_1) = p_1$  and therefore  $h_u(p_3) = h_u(sv_0v_1q_3q_2)p_1 = p_1h_u(q_2q_3r)$  so  $h_u(p_3) \leq p_1$  and thus  $h_u(p_3) = p_1$ .

Next assume that  $u = bv_0 t$  where  $b \in A$  and  $t \in A \cup \{v_0\}$ . Then  $h_u(p_1) \leq h_u(p_0) = p_0$  so  $h_u(p_1) = p_0$ . Also, using Lemma 1.11,  $h_u(q_2) = h_{bv_0t}(h_{v_1}(p_1)) = h_{v_1(bv_0t)}(p_1) = h_{v_0}(p_1) = p_1$ . Thus  $h_u(p_3) = h_u(sv_0v_1q_3)p_1p_0 = p_0p_1h_u(q_3r) = h_u(sv_0v_1q_3)p_1 = p_1h_u(q_3r)$  so  $h_u(p_3) = p_1$ .

Finally assume that  $u = bcv_0$  where  $b, c \in A$ . Then  $h_u(p_1) \leq h_u(p_0) = p_0$  so  $h_u(p_1) = p_0$ . Also  $h_u(q_2) = h_{bcv_0}(h_{v_1}(p_1)) = h_{v_1 \langle bcv_0 \rangle}(p_1) = h_c(p_1) \leq h_c(p_0) = p_0$  so  $h_u(q_2) = p_0$ . And  $h_u(q_3) = h_{bcv_0}(h_{v_2}(p_1)) = h_{v_2 \langle bcv_0 \rangle}(p_1) = h_{v_0}(p_1) = p_1$  Thus  $h_u(p_3) = h_u(sv_0v_1)p_1p_0p_0 = p_0p_0p_1h_u(r) = h_u(sv_0v_1)p_1 = p_1h_u(r)$  so  $h_u(p_3) = p_1$ .

We now introduce a family which will help us establish that  $GR_n = T_n$  for all  $n \in \omega$ . Given a set X, we write  $\mathcal{P}_f(X) = \{B \subseteq X : B \text{ is finite and nonempty}\}.$ 

# **Definition 2.7.** Let $n \in \omega$ . Then

$$\mathcal{R}_n = \{ X \subseteq S_n : (\forall r > n) (\forall B \in \mathcal{P}_f(A)) (\exists w \in S_r) \\ (\forall u \in [B] \binom{r}{n}) (h_u(w) \in X) \}.$$

**Lemma 2.8.** Let  $n \in \omega$  and let  $p \in \beta S_n$ . Then  $p \in T_n$  if and only if  $p \subseteq \mathcal{R}_n$ .

Proof. Assume  $p \in T_n$ . To see that  $p \subseteq \mathcal{R}_n$ , let  $X \in p$ . Let r > n and let  $B \in \mathcal{P}_f(A)$ . Pick  $y \in \beta S_r$  such that  $h_u(y) = p$  for all  $u \in [A]\binom{r}{n}$ . Then  $\bigcap\{h_u^{-1}[X] : u \in [B]\binom{r}{n}\} \in y$  so pick  $w \in \bigcap\{h_u^{-1}[X] : u \in [B]\binom{r}{n}\}$ .

Conversely, suppose that  $p \subseteq \mathcal{R}_n$  and let r > n. Let  $\mathcal{Q} = \{(P,B) : P \in p \text{ and } B \in \mathcal{P}_f(A)\}$  and direct  $\mathcal{Q}$  by agreeing that  $(P,B) \leq (P',B')$  if and only if  $P' \subseteq P$  and  $B \subseteq B'$ . Pick for each  $(P,B) \in \mathcal{Q}$  some  $w_{P,B} \in S_r$  such that  $\{h_u(w_{P,B}) : u \in [B]\binom{r}{n}\} \subseteq P$ . Let y be a limit point of the net  $\langle w_{P,B} \rangle_{(P,B) \in \mathcal{Q}}$  in  $\beta S_r$ . Let  $u \in [A]\binom{r}{n}$ . We claim that  $h_u(y) = p$ . Suppose instead that we have some  $P \in p \setminus h_u(y)$  and pick  $B \in \mathcal{P}_f(A)$  such that  $u \in [B]\binom{r}{n}$ . Then  $h_u^{-1}[S_n \setminus P] \in y$  so pick  $(P', B') \in \mathcal{Q}$  such that  $(P', B') \geq (P, B)$  and  $w_{P',B'} \in h_u^{-1}[S_n \setminus P]$ . Then  $u \in [B']\binom{r}{n}$  and  $h_u(w_{P',B}) \in P' \subseteq P$ , a contradiction. So  $p \in T_n$ .

**Lemma 2.9.** Let  $n \in \omega$  and let  $X \in \mathcal{P}(S_n) \setminus \mathcal{R}_n$ . Then

$$(\exists k > n) (\exists B \in \mathcal{P}_f(A)) (\forall r \ge k) (\forall w \in S_r) (\exists u \in [B]\binom{r}{n}) (h_u(w) \notin X).$$

*Proof.* By the definition of  $\mathcal{R}_n$ , pick  $B \in \mathcal{P}_f(A)$  and k > n such that  $(\forall w \in S_k) (\exists u \in [B] \binom{k}{n}) (h_u(w) \notin X)$ . Let  $r \geq k$  and let  $w \in S_r$ .

Pick  $a \in B$  and define  $s \in [B]\binom{r}{k}$  by  $s = v_0v_1 \cdots v_{k-1}aa \cdots a$ . Then  $w\langle s \rangle \in S_k$  so pick  $u \in [B]\binom{k}{n}$  such that  $h_u(w\langle s \rangle) \notin X$ . Then  $s\langle u \rangle \in [B]\binom{r}{n}$  and, by Lemma 1.11,  $h_{s\langle u \rangle}(w) = h_u(h_s(w)) = h_u(w\langle s \rangle) \notin X$ .

**Lemma 2.10.** Let  $X, Y \in \mathcal{P}(S_n)$ . If  $X \notin \mathcal{R}_n$  and  $Y \notin \mathcal{R}_n$ , then  $X \cup Y \notin \mathcal{R}_n$ .

*Proof.* Pick by Lemma 2.9 some  $B \in \mathcal{P}_f(A)$  and some r > n such that

(1)  $(\forall w \in S_r) (\exists u \in [B] \binom{r}{n}) (h_u(w) \notin X)$  and

(2)  $(\forall w \in S_r) (\exists u \in [B] \binom{r}{n}) (h_u(w) \notin Y).$ 

Pick by Theorem 1.8 some  $k \in \mathbb{N}$  such that k > r and whenever  $[B]\binom{k}{n}$  is 2-colored, there exists  $w \in [B]\binom{k}{r}$  such that  $\{w\langle u \rangle : u \in [B]\binom{n}{r}\}$  is monochrome.

Suppose that  $X \cup Y \in \mathcal{R}_n$  and pick  $s \in S_k$  such that

$$(\forall t \in [B]\binom{k}{n})(h_t(s) \in X \cup Y).$$

That is,  $\{s\langle t \rangle : t \in [B]\binom{k}{n}\} \subseteq X \cup Y$ . Then the members t of  $[B]\binom{k}{n}$  are 2-colored according to whether  $s\langle t \rangle$  is in X or not, and if not,  $s\langle t \rangle \in Y$ . Pick  $w \in [B]\binom{k}{r}$  such that either

 $\{s \langle w \langle u \rangle \rangle : u \in [B]\binom{r}{n}\} \subseteq X \text{ or } \\ \{s \langle w \langle u \rangle \rangle : u \in [B]\binom{r}{n}\} \subseteq Y.$ 

We may assume without loss of generality that the former holds.

Now  $s\langle w \rangle \in S_r$  so pick  $u \in [B]\binom{r}{n}$  such that  $h_u(s\langle w \rangle) \notin X$ . But by Lemma 1.11,

$$h_u(s\langle w\rangle) = h_u(h_w(s)) = h_{w\langle u\rangle}(s) = s\langle w\langle u\rangle\rangle,$$

a contradiction.

**Lemma 2.11.** Let  $n < r < \omega$  and let  $u \in [A]\binom{r}{n}$ . Then  $T_n \subseteq h_u[T_r]$ .

*Proof.* Let  $p \in T_n$  and let  $\mathcal{F}$  be the filter generated by  $\{h_u^{-1}[P] \cap S_r : P \in p\}$ . We claim that  $\mathcal{F} \subseteq \mathcal{R}_r$ . To see this, let  $P \in p$ , let k > r, and let  $B \in \mathcal{P}_f(A)$ . We need to produce  $x \in S_k$  such that  $\{h_w(x) : w \in [B]\binom{k}{r}\} \subseteq h_u^{-1}[P]$ .

Since  $p \in T_n$ , pick  $z \in \beta S_k$  such that for all  $l \in [A]\binom{k}{n}$ ,  $h_l(z) = p$ . Then

$$\bigcap \left\{ h_{w\langle u \rangle}^{-1}[P] : w \in [B]\binom{k}{r} \right\} \in \mathbb{Z}$$

so pick  $x \in S_k \cap \bigcap \{h_{w\langle u \rangle}^{-1}[P] : w \in [B]\binom{k}{r}\}$ . Then given  $w \in [B]\binom{k}{r}$ ,  $h_u(h_w(x)) = h_{w\langle u \rangle}(x) \in P$ .

Let  $\mathcal{A} = \{\mathcal{H} \subseteq \mathcal{P}(S_r) : \mathcal{F} \subseteq \mathcal{H} \subseteq \mathcal{R}_r \text{ and } \mathcal{H} \text{ is a filter}\}$ . Pick a maximal member q of  $\mathcal{A}$ . We claim that q is an ultrafilter. Suppose instead that we have some  $X \subseteq S_r$  such that  $X \notin q$  and  $S_r \setminus X \notin q$ . Then the filter generated by  $q \cup \{X\}$  is not contained in  $\mathcal{R}_r$  and the filter generated by  $q \cup \{S_r \setminus X\}$  is not contained in  $\mathcal{R}_r$ . So pick  $Q, R \in q$  such that  $X \cap Q \notin \mathcal{R}_r$  and  $R \setminus X \notin \mathcal{R}_r$ . Then by Lemma 2.10  $(X \cap Q) \cup (R \setminus X) \notin \mathcal{R}_r$ . But  $Q \cap R \subseteq (X \cap Q) \cup (R \setminus X)$  and  $Q \cap R \in \mathcal{R}_r$ , a contradiction.

Since  $\mathcal{F} \subseteq q$  we have that  $h_u(q) = p$ . By Lemma 2.8,  $q \in T_r$ .  $\Box$ **Theorem 2.12.** Let  $n \in \omega$ . Then  $GR_n = T_n$ .

*Proof.* By Theorem 2.3 we have that  $GR_n \subseteq T_n$ . To establish the other inclusion, let  $p \in T_n$ . Let r > n and let  $u \in [A]\binom{r}{n}$ . By Lemma 2.11  $p \in h_u[T_r]$ , so by Theorem 2.3,  $p \in h_u[C_r]$ .

In light of Theorems 2.3 and 2.12 it is natural to ask about the relationship between the semigroups  $C_n$  and  $T_n$ . Since  $C_0 = \beta S_0$  it is not hard to show that  $T_0 \neq C_0$ . And we shall see in Corollary 3.17 and Theorem 3.18 that for each  $n \geq 1$ ,  $T_n \neq C_n$ .

We see now that  $T_n$  has a rich algebraic structure.

**Theorem 2.13.** Let  $\kappa = |S_0|$ . (So  $\kappa = \max\{\omega, |A|\}$ .) For each  $n \in \omega$ ,  $T_n$  has  $2^{2^{\kappa}}$  minimal left ideals and  $2^{2^{\kappa}}$  minimal right ideals. Each minimal right ideal has  $2^{2^{\kappa}}$  idempotents and each minimal left ideal has  $2^{2^{\kappa}}$  idempotents.

Proof. We have that  $\beta S_0$  has  $2^{2^{\kappa}}$  minimal left ideals and at least  $2^{\mathfrak{c}}$  minimal right ideals by [6, Theorem 6.42 and Corollary 6.41]. We claim that in fact  $\beta S_0$  has  $2^{2^{\kappa}}$  minimal right ideals. If  $|A| \leq \omega$ , then  $\mathfrak{c} = 2^{\kappa}$ , so we may assume that  $|A| > \omega$ . Pick  $a \in A$  and let S' be the set of words over  $A \setminus \{a\}$ . Then as is well known,  $|\beta S'| = 2^{2^{\kappa}}$ . (See, for example, [6, Theorem 3.58].) Given  $x \neq y$  in  $\beta S'$ , one has that  $xa\beta S_0$  and  $ya\beta S_0$  are disjoint right ideals, each of which contains a minimal right ideal. (If  $X \in x$ ,  $Y \in y$ , and  $X \cap Y = \emptyset$ , then  $xa\beta S_0 \subseteq \overline{XaS_0}$ ,  $ya\beta S_0 \subseteq \overline{YaS_0}$ , and  $XaS_0 \cap YaS_0 = \emptyset$ .)

Note that if p is a minimal idempotent in  $\beta S_0$  and  $n \in \omega$ , then  $T_n \cap \beta S_n p \neq \emptyset$  and  $T_n \cap p\beta S_n \neq \emptyset$ . To see this, pick by Theorem 1.5 an infinite special reductive sequence  $\langle p_m \rangle_{m < \omega}$  with  $p_0 = p$ . Then  $p_n \in T_n \cap p\beta S_n \cap \beta S_n p$ .

Now let p and q be members of distinct minimal left ideals of  $\beta S_0$ . We claim that  $\beta S_n p \cap \beta S_n q = \emptyset$  (so that  $T_n \cap \beta S_n p$  and  $T_n \cap \beta S_n q$  are disjoint left ideals of  $T_n$ ). Suppose instead one has some  $x \in \beta S_n p \cap \beta S_n q$ . Pick any  $u \in [A]\binom{n}{0}$ . Then  $h_u$  is the identity on  $\beta S_0$  so  $h_u(x) \in h_u[\beta S_n p] \cap h_u[\beta S_n q] \subseteq \beta S_0 p \cap \beta S_0 q$ , a contradiction.

Since each left ideal contains a minimal left ideal, the first assertion is thus established. A similar argument establishes the assertion about the number of minimal right ideals. The conclusions about idempotents follow from the fact that the intersection of any minimal left ideal and any minimal right ideal has an idempotent.  $\hfill \Box$ 

We now develop a method for establishing inequalities in  $\beta W$  by considering patterns of segments within words. This will be used in this section to establish the existence of large free groups in  $\beta W$ and in the next section to establish large branching degree in the  $\prec$  tree.

**Definition 2.14.** Assume *B* is an alphabet and  $c \in B$ . Let *S* be the semigroup of words in *B*. For  $w \in S$ , a segment *s* of *w* is a *c-gap* of *w* if *c* does not occur in *s* and  $w = w_1 cscw_2$  for some  $w_1, w_2 \in S$ . Suppose *G* is a group and let *S'* be the collection of words in which *c* does not occur. For any function  $\mu : S' \to G$ , define  $\mu^+ : S \to G$  so that  $\mu^+(w) = \mu(s_1) + \cdots + \mu(s_n)$  where  $s_1, \ldots, s_n$  enumerates the *c*-gaps of *w* in the order they occur and + denotes the group operation of *G* (if there are no *c*-gaps of *w* in *X*,  $\mu^+(w)$  is the identity of *G*).

In the case where G is the set of integers mod n and  $\mu$  is the characteristic function of some subset X of S',  $\mu^+(w)$  counts the number of c-gaps of w which are in X mod n.

As usual,  $\mu$  and  $\mu^+$  extend naturally to a function mapping  $\beta S'$ and  $\beta S$  respectively into  $\beta G$ . In the cases that will interest us, Gwill be finite so that  $\beta G$  is the same as G. Notice that  $\mu^+$  will not generally be a homormorphism since multiplying two words together often creates a new *c*-gap which isn't in either of the individual words.

**Definition 2.15.** Assume B is some alphabet,  $c \in B$  and S is the semigroup of words over B. For  $w \in S$ , define  $\tau_c(w)$ , the *tail of* w

with respect to c, to be the longest end segment of w which does not contain c and define  $\eta_c(w)$ , the head of w with respect to c, to be the longest initial segment of w which does not contain c. For  $p \in \beta S$ , c persists in p if the set of words containing c is in p.

Notice that for  $p, q \in \beta S$ , if c persists in q then  $\eta_c(qp) = \eta_c(q)$ and  $\tau_c(pq) = \tau_c(q)$ . On the other hand, if c does not persist in q then  $\eta_c(qp) = q\eta_c(p)$  and  $\tau_c(pq) = \tau_c(p)q$ .

**Lemma 2.16.** Assume B is an alphabet,  $c \in B$  and S is the semigroup of words over B. Also suppose G is a finite group with identity 0 and  $\mu: S' \to G$  where S' is the set of words in which c does not occur. If  $p, p', q, q' \in \beta S$  and c persists in p, p', q and q' then

- (a)  $\mu^+(pq) = \mu^+(p) + \mu(\tau_c(p)\eta_c(q)) + \mu^+(q),$
- (b) if p is an idempotent then  $\mu^+(p) = -\mu(\tau_c(p)\eta_c(p))$ ,
- (c) if c does not persist in  $x \in \beta S$  then  $\mu^+(px) = \mu^+(p) = \mu^+(xp)$ .
- (d) if  $\eta(q) = \eta(q')$  then  $\mu^+(pq) = \mu^+(pq')$  iff  $\mu^+(q) = \mu^+(q')$ .
- (e) if  $\tau(p) = \tau(p')$  then  $\mu^+(pq) = \mu^+(p'q)$  iff  $\mu^+(p) = \mu^+(p')$ .

*Proof.* Parts (a) and (c) are straightforward. Part (b) follows from part (a) and parts (d) and (e) can each be derived using (a) and (c).  $\Box$ 

**Theorem 2.17.** Assume B is a nonempty alphabet and S is the semigroup of words over B. If  $p, q \in \beta S$  then  $p\beta Sq$  contains a free group on  $2^{2^{\kappa}}$  generators where  $\kappa = \max\{\omega, |B|\}$ .

Proof. Without loss of generality, p is a minimal idempotent and p = q. Note that c persists in p. If B has only one element, S is isomorphic to  $\mathbb{N}$  and the lemma follows from Corollary 7.37 of [6]. Suppose B has more than one element and fix an element c of B. Let S' be the elements of S which have no occurence of c. S' has size  $\kappa$ , so there are  $2^{2^{\kappa}}$  elements of  $\beta S'$ . We will show that the collection of pcxcp where x is an element of  $\beta S'$  and not equal to either  $\tau_c(p)\eta_c(p), \tau_c(p)$  or  $\eta_c(p)$  generates a free group. For this, it suffices to show that any finite subcollection generates a free group.

Suppose  $x_1, \ldots, x_n$  are distinct elements of  $\beta S'$  which are distinct from  $\tau_c(p)\eta_c(p)$ ,  $\tau_c(p)$  and  $\eta_c(p)$ . Let F denote the free group on generators  $a_1, a_2, \ldots, a_n$ . Suppose that  $x \in p\beta Sp$  can be written as  $x = r_1 r_2 \cdots r_m$ , where for each  $i, r_i$  is either  $pcx_i cp$  or the inverse of

 $pcx_jcp$  in  $p\beta Sp$  for some j. Define  $b \in F$  by  $b = b_1b_2\cdots b_m$ , where  $b_i = a_j$  if  $r_i = pcx_jcp$  and  $b_i = a_j^{-1}$  if  $r_i$  is the inverse of  $pcx_jp$  in  $p\beta Sp$ . We shall show that  $x \neq p$  if b is not the identity of F. In this case, there is a homorphism f mapping F to a finite group G for which f(b) is not equal to the identity by [6, Theorem 1.23].

Define  $\mu : S' \to G$  by  $\mu(s) = f(a_i)$  if  $s \in X_i$  and  $\mu(s)$  is the identity if  $s \notin \bigcup_{i=1}^n X_i$ . Then  $\mu^+$  is a homomorphism on  $p\beta Sp$  by Lemma 2.16(a). Since  $\mu^+(pcx_icp) = f(a_i)$  for each  $i \in \{1, 2, \dots, n\}, \mu^+(x) = f(b)$ . So  $x \neq p$ .  $\Box$ 

**Theorem 2.18.** For each  $n \in \omega$ , every maximal group in  $K(T_n)$  contains a free group on  $2^{2^{\kappa}}$  generators where  $\kappa = \max\{|A|, \omega\}$ .

Proof. Let  $p_0$  be a minimal idempotent in  $\beta W_0$ . By Theorem 2.17 we may let  $\{p_0x_\iota p_0 : \iota < 2^{2^{\kappa}}\}$  be a set of elements in  $p_0\beta W_0p_0$ which generate a free group in  $p_0\beta W_0p_0$ . We can choose by Theorem 1.5 a minimal idempotent  $p_n$  in  $T_n$  satisfying  $p_0 \prec p_n$ . Then  $\{p_nx_\iota p_n : \iota < 2^{2^{\kappa}}\} \subseteq T_n$  generates a free group in  $p_nT_np_n$ , because any reduction  $h_u$  for which  $u \in [A]\binom{n}{0}$  is a homomorphism mapping each  $p_nx_\iota p_n$  to  $p_0x_\iota p_0$ . It follows from [6, Theorem 2.11] that every maximal group in  $K(\beta T_n)$  contains a free group on  $2^{2^{\kappa}}$ generators.

We now set out to characterize the members of  $T_m$  in terms of their members.

**Definition 2.19.** Let  $m < n < \omega$ , let  $\varphi$  be a finite coloring of  $S_n$ , and let  $B \in \mathcal{P}_f(A)$ . Then

 $E_{m,n,\varphi,B} = \left\{ s \in S_m : (\exists \tau : [B]\binom{n}{m} \to S_n) (\varphi \circ \tau \text{ is constant and} (\forall u \in [B]\binom{n}{m}) (h_u(\tau(u)) = s)) \right\}.$ 

**Theorem 2.20.** Let  $m \in \omega$  and let  $p \in \beta S_m$ . Given n > m, there exists  $q \in \beta S_n$  such that  $h_u(q) = p$  for all  $u \in [A]\binom{n}{m}$  if and only if for every finite coloring  $\varphi$  of  $S_n$  and every  $B \in \mathcal{P}_f(A)$ ,  $E_{m,n,\varphi,B} \in p$ . In particular,  $p \in T_m$  if and only if for every n > m, every finite coloring  $\varphi$  of  $S_n$  and every  $B \in \mathcal{P}_f(A)$ ,  $E_{m,n,\varphi,B} \in p$ .

*Proof.* It suffices to establish the first conclusion. So let n > m.

Necessity. Let  $\varphi$  be a finite coloring of  $S_n$  and let  $B \in \mathcal{P}_f(A)$ . Pick  $q \in \beta S_n$  such that  $h_u(q) = p$  for all  $u \in [A]\binom{n}{m}$ . Pick  $Q \in q$ on which  $\varphi$  is constant. Then  $\bigcap \{h_u[Q] : u \in [B]\binom{n}{m}\} \in p$  and  $\bigcap \{h_u[Q] : u \in [B]\binom{n}{m}\} \subseteq E_{m,n,\varphi,B}$ .

### 18 TIMOTHY J. CARLSON, NEIL HINDMAN, AND DONA STRAUSS

Sufficiency. For each  $B \in \mathcal{P}_f(A)$ , let  $D_B = \bigcap \{\beta S_n \cap h_u^{-1}[\{p\}] : u \in [B]\binom{n}{m}\}$ . We claim that each  $D + B \neq \emptyset$ . So suppose instead that we have  $B \in \mathcal{P}_f(A)$  such that  $D_B = \emptyset$ . For each  $x \in \beta S_n$  choose  $u_x \in [B]\binom{n}{m}$  such that  $h_{u_x}(x) \neq p$  and pick  $X_x \in x$  such that  $h_{u_x}[X_x] \notin p$ . Then  $\{\overline{X_x} : x \in \beta S_n\}$  is an open cover of  $\beta S_n$  so pick finite  $F \subseteq \beta S_n$  such that  $\beta S_n = \bigcup_{x \in F} \overline{X_x}$ . For each  $y \in S_n$  choose  $\varphi(y) \in F$  such that  $y \in X_{\varphi(y)}$ . Then  $\varphi$  is a finite coloring of  $S_n$  so  $E_{m,n,\varphi,B} \in p$ . Pick  $s \in E_{m,n,\varphi,B} \setminus \bigcup_{x \in F} h_{u_x}[X_x]$  and pick  $\tau : [B]\binom{n}{m} \to S_n$  such that  $\varphi \circ \tau$  is constant and for all  $u \in [B]\binom{n}{m}$ ,  $h_u(\tau(u)) = s$ . Let  $x \in F$  be the constant value of  $\varphi \circ \tau$ . Then  $h_{u_x}(\tau(u_x)) = s$  and  $\tau(u_x) = X_{\varphi(\tau(u_x))} = X_x$ , so  $s \in h_{u_x}[X_x]$ , a contradiction.

If  $B \subseteq C$ , then  $D_C \subseteq D_B$  so  $\{D_B : B \in \mathcal{P}_f(A)\}$  is a set of closed subsets of  $\beta S_n$  with the finite intersection property so choose  $q \in \bigcap_{B \in \mathcal{P}_f(A)} D_B$ . Then for each  $u \in [A]\binom{n}{m}$ ,  $h_u(q) = p$ .  $\Box$ 

The reductions  $h_u$  are also continuous homomorphisms from  $(\beta W, *)$  to itself, where \* denotes the natural extension of the semigroup operation from W to  $\beta W$  for which  $\beta W$  is left topological. The subsets  $C_n$ ,  $GR_n$  and  $T_n$  of  $\beta W$  do not depend on which semigroup operation on  $\beta W$  is being used. These sets are compact subsemigroups of  $(\beta W, *)$  as well as  $(\beta W, \cdot)$ .

As we remarked in the introduction, Theorem 1.14 is valid for  $(\beta W, *)$  as well as  $(\beta W, \cdot)$ , because it depends only on algebraic propties which hold in compact left topological semigroups as well as compact right topological semigroups. Thus infinite special reductive sequences also exist in  $(\beta W, *)$ . These are reductive sequences in  $(\beta W, \cdot)$  as well, but are are far from being special reductive sequences in  $(\beta W, \cdot)$ . It was shown in the proof of [1, Theorem 3.13] that, if S denotes the free semigroup over an alphabet with two letters and if p is a minimal idempotent in  $(\beta S, *)$ , then  $p \notin \beta S \cdot p$ . This statement can be extended to the free semigroup over any alphabet with more than one letter, by applying a homomorphism which reduces the number of letters to two. So, if  $n \in \mathbb{N}$ , a minimal idempotent in  $(\beta W_n, \cdot)$  and is not in  $K(\beta W_n, \cdot)$ . In fact, it can be shown that it is right cancelable in  $(\beta W_n, \cdot)$  if A is countable.

## 3. Extending reductive sequences

Our first objective is to determine those elements of  $\beta S_n$  which are part of infinite reductive sequences.

**Lemma 3.1.** Let  $0 < n < \omega$ , let  $p_n$  be an idempotent in  $T_n$ , and let  $p_{n-1}$  be the unique reduction of  $p_n$  in  $\beta S_{n-1}$ . If  $p_n < p_{n-1}$ , then there is an idempotent  $p_{n+1} \in T_{n+1}$  such that  $p_{n+1} < p_n$  and  $p_n$  is the unique reduction of  $p_{n+1}$  in  $\beta S_n$ .

*Proof.* We show first that

(\*) if s is an idempotent in  $T_{n+1}$  such that  $h_u(s) = p_n$  for all  $u \in [A]\binom{n+1}{n}$ , then  $h_u(sp_n) = p_n = h_u(p_ns)$  for all  $u \in [A]\binom{n+1}{n}$  and for every  $k \ge n+1$  there exist  $x_k, y_k \in \beta S_k$  such that  $h_u(x_k) = sp_n$  and  $h_u(y_k) = p_ns$  for all  $u \in [A]\binom{k}{n+1}$ .

To establish the first assertion, let  $u \in [A]\binom{n+1}{n}$  and let  $w = u_{|n}$ . Then  $h_u(p_n) = h_w(p_n)$ . If  $w \in [A]\binom{n}{n}$ , then  $h_w(p_n) = p_n$  so  $h_u(sp_n) = p_n p_n = p_n = h_u(p_n s)$ . If  $w \in [A]\binom{n}{n-1}$ , then  $h_w(p_n) = p_{n-1}$  so  $h_u(sp_n) = p_n p_{n-1} = p_n = p_{n-1} p_n = h_u(p_n s)$ .

We establish the second assertion by induction on k. If k = n+1, let  $x_k = sp_n$  and let  $y_k = p_n s$ . If  $u \in [A]\binom{n+1}{n+1}$ , then  $h_u$  is the identity on  $\beta S_{n+1}$  so  $h_u(x_k) = sp_n$  and  $h_u(y_k) = p_n s$ .

Now assume that k > n + 1 and the statement is true for k - 1. Since  $s \in T_{n+1}$  pick  $z \in \beta S_k$  such that  $h_u(z) = s$  for all  $u \in [A]\binom{k}{n+1}$ . By the induction hypothesis pick  $x_{k-1}, y_{k-1} \in \beta S_{k-1}$  such that  $h_u(x_{k-1}) = sp_n$  and  $h_u(y_{k-1}) = p_n s$  for all  $u \in [A]\binom{k-1}{n+1}$ . Let  $u \in [A]\binom{k}{n+1}$  and let  $w = u_{|k-1}$ . Then  $h_u(x_{k-1}) = h_w(x_{k-1})$ . If  $w \in [A]\binom{k-1}{n+1}$ , then  $h_w(x_{k-1}) = sp_n$  and  $h_w(y_{k-1}) = p_n s$  so  $h_u(x_k) = ssp_n = sp_n$  and  $h_u(y_k) = p_n ss = p_n s$ . So assume that  $w \in [A]\binom{n-1}{n}$ . Pick by Lemma 1.12 some  $u_1 \in [A]\binom{k-1}{n+1}$  and  $u_2 \in [A]\binom{n+1}{n}$  such that  $w = u_1 \langle u_2 \rangle$ . Then  $h_w(x_{k-1}) = h_{u_2}(h_{u_1}(x_{k-1})) = h_{u_2}(sp_n) = p_n$  and  $h_w(y_{k-1}) = h_{u_2}(h_{u_1}(y_{k-1})) = h_{u_2}(p_n s) = p_n$ . Thus  $h_u(x_k) = sp_n$  and  $h_u(y_k) = p_n s$ . Thus (\*) is established.

Now by Lemma 2.11 we have that

$$\left\{s \in T_{n+1} : \left(\forall u \in [A]\binom{n+1}{n}\right)(h_u(s) = p_n)\right\} \neq \emptyset.$$

(If  $s \in T_{n+1}$ , then  $s \in C_{n+1}$ .) So this set is a compact subsemigroup of  $\beta S_{n+1}$  so we may pick an idempotent  $s \in T_{n+1}$  such that  $h_u(s) = p_n$  for all  $u \in [A]\binom{n+1}{n}$ . Then by (\*),  $sp_n \in T_{n+1}$ . So

$$sp_n \in T_{n+1} \cap \bigcap \left\{ h_u^{-1}[\{p_n\}] : u \in [A]\binom{n+1}{n} \right\} \cap \beta S_{n+1}p_n$$

and thus this set is a compact subsemigroup of  $\beta S_{n+1}$ . Pick an idempotent

$$q \in T_{n+1} \cap \bigcap \left\{ h_u^{-1}[\{p_n\}] : u \in [A]\binom{n+1}{n} \right\} \cap \beta S_{n+1}p_n$$

and note that  $qp_n = q$  because  $q \in \beta S_{n+1}p_n$ .

Then by (\*),  $p_n q \in T_{n+1}$  and  $h_u(p_n q) = p_n$  for all  $u \in [A]\binom{n+1}{n}$ . Let  $p_{n+1} = p_n q$ . Then  $p_{n+1} p_{n+1} = p_n q p_n q = p_n q q = p_n q = p_{n+1}$ ,  $p_{n+1} p_n = p_n q p_n = p_n q = p_{n+1}$ , and  $p_n p_{n+1} = p_n p_n q = p_n q = p_{n+1}$ .

**Theorem 3.2.** Let  $n < \omega$  and let  $p_n$  be an idempotent in  $\beta S_n$ . Then  $p_n$  is a term of an infinite reductive sequence consisting of idempotents for which  $p_{k+1} < p_k$  for each  $k < \omega$  if and only if  $p_n \in T_n$  and either n = 0 or  $p_n < p_{n-1}$ , where  $p_{n-1}$  is the unique reduction of  $p_n$  in  $\beta S_{n-1}$ .

*Proof.* The necessity is trivial. For the sufficiency, assume first that n > 0,  $p_n \in T_n$ , and  $p_n < p_{n-1}$ . Pick  $a \in A$ . Inductively, for  $k \in \{0, 1, \ldots, n-2\}$ , if any, assume that  $p_{k+1}$  is an idempotent in  $T_{k+1}$  with  $p_{k+2} < p_{k+1}$ . Let  $u = av_0v_1 \cdots v_{k-1} \in [A]\binom{k+1}{k}$  and let  $p_k = h_u(p_{k+1})$ . Then  $p_k$  is an idempotent which is the unique reduction of  $p_{k+1}$  in  $\beta S_k$ . To see that  $p_k \in T_k$ , let r > k + 1 and choose  $q \in \beta S_r$  such that  $h_w(q) = p_{k+1}$  for all  $w \in [A]\binom{r}{k+1}$ . Let  $x \in [A]\binom{r}{k}$  and pick by Lemma 1.12  $w \in [A]\binom{r}{k+1}$  and  $s \in [A]\binom{k+1}{k}$  such that  $x = w\langle s \rangle$ . Then by Lemma 1.11  $h_x(q) = h_s(h_w(q)) = h_s(p_{k+1}) = p_k$ .

Let  $w = av_0v_1 \cdots v_k$  and note that  $h_w(p_{k+1}) = h_u(p_{k+1})$  so  $p_k = h_u(p_{k+1}) = h_w(p_{k+1}) > h_w(p_{k+2}) = p_{k+1}$ . Thus we have  $\langle p_k \rangle_{k=0}^n$  is a reductive sequence consisting of idempotents such that  $p_k \in T_k$  for all  $k \leq n$  and  $p_k < p_{k+1}$  for all k < n.

Now let  $m \ge n$  and assume that  $\langle p_k \rangle_{k=0}^m$  is a reductive sequence consisting of idempotents such that  $p_k \in T_k$  for all  $k \le n$  and  $p_k < p_{k+1}$  for all k < m. By Lemma 3.1, pick an idempotent  $p_{m+1} \in T_{m+1}$  such that  $p_{m+1} < p_m$  and  $p_m$  is the unique reduction of  $p_{m+1}$  in  $\beta S_m$ .

Now assume that n = 0 and  $p_0 \in T_0$ . We claim that  $T_1 p_0 \subseteq T_1$ . Certainly  $\beta S_1 p_0 \subseteq \beta S_1$ . Let  $q \in T_1$  and let r > 1. Pick  $y \in \beta S_r$ such that for all  $u \in [A]\binom{r}{1}$ ,  $h_u(y) = q$ . Then for all  $u \in [A]\binom{r}{1}$ ,  $h_u(p_0) = p_0$  and so  $h_u(yp_0) = qp_0$  as required.

Pick  $a \in A$  and pick by Lemma 2.11  $q \in T_1$  such that  $h_a(q) = p_0$ . Then  $q \in C_1$  by Theorem 2.3, so  $h_c(q) = p_0$  for all  $c \in A$  and thus

$$qp_0 \in T_1 p_0 \cap \bigcap_{c \in A} h_c^{-1}[\{p_0\}].$$

Pick an idempotent  $r \in T_1 p_0 \cap \bigcap_{c \in A} h_c^{-1}[\{p_0\}]$  and let  $p_1 = p_0 r$ . Then  $p_1$  is an idempotent in  $T_1$  and  $p_1 < p_0$  so the already established case where n = 1 applies.

We now see that the requirement of Theorem 3.2 that  $p_n$  be a member of  $T_n$  can be weakened in the case in which n = 1.

**Theorem 3.3.** Let  $p_1$  be an idempotent in  $C_1$ . If  $p_1 < p_0$ , where  $p_0$  denotes the unique reduction of  $p_1$  in  $\beta W_0$ , then there is an infinite reductive sequence  $\langle p_0, p_1, p_2, p_3, \ldots \rangle$  consisting of idempotents, such that  $p_{n+1} < p_n$  for every  $n \in \omega$ .

Proof. By Theorem 3.2 it is enough to show that  $p_1 \in T_1$ . Given n > 1, put  $q_n = h_{v_0}(p_1)h_{v_1}(p_1)\cdots h_{v_{n-1}}(p_1)$ . Then  $q_n \in \beta S_n$ . Let  $u \in [A]\binom{n}{1}$ . We claim that  $h_u(q_n) = p_1$ . To see this note that if  $a \in A$  and  $m \in \{0, 1, \ldots, n-1\}$ , then  $h_u(h_{v_m}(a)) = a$  while  $h_u(h_{v_m}(v_0)) = u(m)$ . Thus if  $m \in \{0, 1, \ldots, n-1\}$  and  $w \in S_1$ , then  $h_u(h_{v_m}(w)) = h_{u(m)}(w)$ . Therefore, if  $u(m) \in A$ , then  $h_u(h_{v_m}(p_1)) = p_0$ , while if  $u(m) = v_0$ , then  $h_u(h_{v_m}(p_1)) = p_1$ . Since there is at least one  $m \in \{0, 1, \ldots, n-1\}$  for which  $u(m) = v_0$ , we have  $h_u(q_n) = p_1$ . So  $p_1 \in T_1$ .

**Theorem 3.4.** Let  $n < \omega$  and let  $p_n$  be a minimal idempotent in  $\beta S_n$ . Then  $p_n$  is a term of an infinite special reductive sequence if and only if either n = 0 or  $p_n \in T_n$  and  $p_n < p_{n-1}$ , where  $p_{n-1}$  is the unique reduction of  $p_n$  in  $\beta S_{n-1}$ .

*Proof.* Again the necessity is trivial. If n = 0, Theorem 1.5 applies, so assume that n > 0. Pick  $a \in A$ . For  $k \in \{0, 1, ..., n - 2\}$ , if any, let  $u = av_0v_1 \cdots v_{k-1} \in [A]\binom{k+1}{k}$  and let  $p_k = h_u(p_{k+1})$ . Exactly as in the proof of Theorem 3.2 we have that  $p_k \in T_k$  and  $p_{k+1} < p_k$ . By Lemma 1.9,  $p_k$  is minimal in  $\beta S_k$ . Thus we have that  $\langle p_k \rangle_{k=0}^n$  is a special reductive sequence. Let  $m \ge n$  and assume

that  $\langle p_k \rangle_{k=0}^m$  is a special reductive sequence. By Lemma 3.1 we can choose an idempotent  $q_{n+1} \in T_{n+1}$  such that  $q_{n+1} < p_n$  and  $p_n$  is the unique reduction of  $q_{n+1}$  in  $\beta S_n$ . Pick by [6, Theorem 1.60] a minimal idempotent  $p_{n+1}$  of  $T_{n+1}$  such that  $p_{n+1} \leq q_{n+1}$ . Given  $u \in [A] \binom{n+1}{n}$ ,  $h_u(p_{n+1}) \leq h_u(q_{n+1}) = p_n$  so  $h_u(p_{n+1}) = p_n$ .

It is natural to ask whether the requirement that  $p_n < p_{n-1}$ , where  $p_{n-1}$  is the unique reduction of  $p_n$  in  $\beta S_{n-1}$ , is needed. We see that it is.

**Theorem 3.5.** Let  $n \in \mathbb{N}$ . There is a minimal idempotent q of  $T_n$  such that there is no minimal idempotent r of  $\beta S_{n-1}$  with q < r. In particular, if r is the unique reduction of q in  $\beta S_{n-1}$ , then it is not the case that q < r.

Proof. The length function  $\ell : W \to \mathbb{N}$  is a surjective homomorphism, hence so is its continuous extension from  $\beta W$  to  $\beta \mathbb{N}$  which we also denote by  $\ell$ . Notice that for any  $u \in W$ ,  $\ell \circ h_u = \ell$ . Pick any nonminimal idempotent x of  $\beta \mathbb{N}$  and let  $X = \ell^{-1}[\{x\}]$ . Notice that for each  $k < \omega$ ,  $\ell[S_k] = \{t \in \mathbb{N} : t \geq k\}$  and so  $X \cap \beta S_k \neq \emptyset$ .

Pick a minimal idempotent  $p_0$  of  $X \cap S_0$ . We claim that  $p_0 \in T_0$ . So let k > 0 be given and pick an idempotent y of  $X \cap \beta S_k$  such that  $y < p_0$ . Then for all  $u \in [A]\binom{k}{0}$ ,  $h_u(y) \leq h_u(p_0) = p_0$ . Since  $\ell(h_u(y)) = \ell(y) = x$  we have  $h_u(y) \in X \cap \beta S_0$  and so  $h_u(y) = p_0$ .

By Theorem 3.2 we may pick  $p_1, p_2, \ldots$  such that  $\langle p_k \rangle_{k < \omega}$  is a reductive sequence and for each  $k \in \omega$ ,  $p_{k+1} < p_k$  and  $p_k \in T_k$ .

Recall that we have fixed  $n \in \mathbb{N}$ . Given any  $u \in [A]\binom{n}{0}$ ,  $h_u(p_n) = p_0$  and so  $\ell(p_n) = \ell(h_u(p_n)) = \ell(p_0) = x$  and thus  $p_n \in X$ . Pick a minimal idempotent q of  $T_n$  such that  $q \leq p_n$ . Suppose that we have a minimal idempotent r of  $\beta S_{n-1}$  such that q < r.

Pick  $a \in A$  and let G be the free group over  $\{a\} \cup V$ . Define a homomorphism  $f: W \to G$  by agreeing for  $W \in A \cup V$ , that

$$f(w) = \begin{cases} w & \text{if } w \in V \\ a & \text{if } w \in A. \end{cases}$$

Denote also by f its continuous extension from  $\beta W$  to  $\beta G$ .

Now  $f(q) \leq f(p_n)$  and  $f(q) \leq f(r)$  so  $\beta Gf(p_n) \cap \beta Gf(r) \neq \emptyset$ . Since G is countable we have by [6, Corollary 6.20] that either  $f(p_n) \in \beta Gf(r)$  or  $f(r) \in \beta Gf(p_n)$ . Since f(r) and  $f(p_n)$  are idempotents, this says that  $f(p_n) = f(p_n)f(r) = f(p_n r)$  or  $f(r) = f(r)f(p_n) = f(rp_n)$ . Let  $B = \{w \in W : v_{n-1} \text{ occurs in } w\}$ . Then

 $B \in rp_n$  so  $f[B] \in f(rp_n)$ . Since  $f[S_{n-1}] \in f(r)$  and  $f[S_{n-1}] \cap f[B] = \emptyset$ , we have that  $f(r) \neq f(rp_n)$  and so  $f(p_n) = f(p_n r)$ .

Let  $\ell' : G \to \mathbb{N}$  be the length function on G and denote also by  $\ell'$  its continuous extension from  $\beta G$  to  $\beta \mathbb{N}$ . Then  $\ell'(f(p_n)) =$  $\ell'(f(p_nr))$  and for  $w \in W$ ,  $\ell'(f(w)) = \ell(w)$  so  $\ell(p_n) = \ell(p_nr) =$  $\ell(p_n) + \ell(r)$ . Since  $\ell[S_{n-1}] = \{t \in \mathbb{N} : t \ge n-1\}$  and  $r \in K(\beta S_{n-1})$ ,  $\ell(r) \in K(\beta \mathbb{N})$  and so  $x = \ell(p_n) \in K(\beta \mathbb{N})$ , a contradiction.  $\Box$ 

We observed in the introduction that the relation  $\prec$  defined in Definition 1.6 has the property that the set of predecessors (if any) of an element of  $\beta S_n$  is linearly ordered. We shall show in Theorem 3.7 that elements of  $\beta S_n$  may have many successors.

We begin with a lemma which allows us to propagate branching upwards along special reductive sequences in the  $\prec$  tree.

**Lemma 3.6.** Assume  $(p_0, \ldots, p_{n+1})$  is a special reductive sequence. If  $(p_0, \ldots, p_{n-1}, x)$  is a reductive sequence (equivalently, either n = 0 or  $p_{n-1} \prec x$ ) then  $(p_0, \ldots, p_n, \overline{x})$  is a special reductive sequence where, letting  $\tilde{x}$  be the inverse of  $p_n x p_n$  in the group  $p_n \beta S_n p_n$ ,  $\overline{x} = \tilde{x} p_{n+1} x p_n$ .

*Proof.* Noting that  $\overline{x} = \tilde{x}p_{n+1}p_nxp_n$ , a straightforward calculation shows that  $\overline{x}$  is an idempotent. Since  $p_{n+1} \in K(\beta S_{n+1})$  and  $p_{n+1}$  is a factor of  $\overline{x}, \overline{x}$  is a minimal idempotent. Clearly,  $\overline{x} < p_n$ .

Suppose  $u \in [A]\binom{n+1}{n}$ . We wish to show  $h_u(\overline{x}) = p_n$ . Of course,  $h_u(\overline{x})$  is an idempotent since  $h_u$  is a homomorphism. So, showing that  $h_u(\overline{x}) \leq p_n$  will suffice. This is immediate if the restriction of u to n is in  $[A]\binom{n}{n}$  i.e. is  $v_0 \dots v_{n-1}$ . So suppose otherwise. Notice that this implies that  $n \neq 0$ . We have  $h_u(p_n x p_n) =$  $p_{n-1}p_{n-1}p_{n-1} = p_{n-1}$ . Since  $\tilde{x}(p_n x p_n) = p_n$ ,  $h_u(\tilde{x})p_{n-1} = p_{n-1}$ . Since  $h_u(\tilde{x})$  is in the group  $p_{n-1}\beta S_{n-1}p_{n-1}$ , this implies that  $h_u(\tilde{x}) = p_{n-1}$ . A simple calculation now shows that  $h_u(\overline{x}) = p_n$ .  $\Box$ 

**Theorem 3.7.** Let  $\kappa = \max\{\omega, |A|\}$ . If  $(p_0, \ldots, p_n)$  is a special reductive sequence which can be extended to a special reductive sequence  $(p_0, \ldots, p_{n+1})$  then there are  $2^{2^{\kappa}}$  elements x of  $\beta S_{n+1}$  such that  $(p_0, \ldots, p_n, x)$  is a special reductive sequence. Moreover, if  $p_{n+1} \in T_{n+1}$  then there are as many such x in  $T_{n+1}$ .

*Proof.* For convenience, whenever  $z \in \beta S_{k+1}$  and  $v_k$  persists in z, we will write  $\tau(z)$  and  $\eta(z)$  for  $\tau_{v_k}(z)$  and  $\eta_{v_k}(z)$  respectively.

### 24 TIMOTHY J. CARLSON, NEIL HINDMAN, AND DONA STRAUSS

By Theorem 2.17, there is a subset U of  $\beta W_0$  of size  $2^{2^{\kappa}}$  such that  $\tau(p_1)x\eta(p_1)$  are distinct as x ranges over U. By shrinking U if necessary, we may also assume all are distinct from  $\tau(p_1)\eta(p_1)$ . Let  $\tilde{x}$  be the inverse of  $p_0xp_0$  in  $p_0\beta W_0p_0$  for  $x \in U$ . By shrinking U again, we may assume that whenever x and y are distinct elements of U,  $\tau(p_1)x\eta(p_1) \neq \tau(p_1)\tilde{y}\eta(p_1)$ . (If the collection of  $\tau(p_1)\tilde{y}\eta(p_1)$  has size less than  $2^{2^{\kappa}}$  this is clear, otherwise the desired subcollection can be constructed inductively.)

For  $x \in U$  define  $x_k \in \beta S_k$  for  $k = 0, \ldots, n+1$  by induction according to Lemma 3.6 so that  $x_0 = x$  and whenever  $k \leq n$ ,  $x_{k+1} = \tilde{x}_k p_{k+1} x_k p_k$  where  $\tilde{x}_k$  is the inverse of  $p_k x_k p_k$  in the group  $p_k \beta S_k p_k$ . Lemma 3.6 implies that if  $x \in U$  and  $0 < k \leq n+1$  then  $(p_0, \ldots, p_{k-1}, x_k)$  is a special reductive sequence.

We first show that if x and y are distinct elements of U then  $x_{n+1} \neq y_{n+1}$ . Fix such x and y. Let P(k) denote the following:  $\tau(p_{k+1})x_k\eta(p_{k+1})$  is not equal to

 $\tau(p_{k+1})y_k\eta(p_{k+1}),$  $\tau(p_{k+1})\tilde{y}_k\eta(p_{k+1}) \text{ or }$  $\tau(p_{k+1})\eta(p_{k+1}).$ 

We will show by induction on k = 0, ..., n that P(k) holds, but first notice that this will imply that  $x_{n+1} \neq y_{n+1}$  as follows. Since

$$\tau(p_{n+1})x_n\eta(p_{n+1}) \neq \tau(p_{n+1})y_n\eta(p_{n+1})$$

and  $\eta(p_{n+1}) = p_n \eta(p_{n+1})$ , we must also have

$$\tau(p_{n+1})x_np_n \neq \tau(p_{n+1})y_np_n.$$

Since  $\tau(p_{n+1})x_np_n = \tau(x_{n+1})$  and  $\tau(p_{n+1})y_np_n = \tau(y_{n+1})$ , we conclude  $x_{n+1} \neq y_{n+1}$ .

To begin the proof by induction that P(k) holds for k = 0, ..., n, notice that P(0) is true by choice of U.

Assume k < n and P(k) holds.  $\tau(p_{k+1})x_k\eta(p_{k+1})$  contains an element X which is not in  $\tau(p_{k+1})y_k\eta(p_{k+1})$ ,  $\tau(p_{k+1})\tilde{y}_k\eta(p_{k+1})$  or  $\tau(p_{k+1})\eta(p_{k+1})$ . Let  $\mu$  be the characteristic function of X as a subset of  $S_k$  modulo 3 so that  $\mu^+$  counts the number of  $v_k$ -gaps from X modulo 3 in elements of  $S_{k+1}$ . We see that

$$\mu(\tau(p_{k+1})y_k\eta(p_{k+1})) = 0, \mu(\tau(p_{k+1})\tilde{y}_k\eta(p_{k+1})) = 0, \mu(\tau(p_{k+1})\eta(p_{k+1})) = 0 \text{ and }$$

 $\mu(\tau(p_{k+1})x_k\eta(p_{k+1})) = 1.$ We will show that  $\mu^+(\tau(p_{k+2})x_{k+1}\eta(p_{k+2}))$  is not equal to

> $\mu^+(\tau(p_{k+2})y_{k+1}\eta(p_{k+2})),$  $\mu^+(\tau(p_{k+2})\tilde{y}_{k+1}\eta(p_{k+2}))$  or  $\mu^+(\tau(p_{k+2})\eta(p_{k+2}))$

thus completing the inductive argument.

Using parts (a), (d) and (e) of Lemma 2.16 and the fact that  $\tau(p_{k+2}) = \tau(p_{k+2})p_{k+1}$  and  $\eta(p_{k+2}) = p_{k+1}\eta(p_{k+2})$ , it will suffice to show that  $\mu^+(p_{k+1}x_{k+1}p_{k+1})$  is not equal to

$$\mu^+(p_{k+1}y_{k+1}p_{k+1}), \mu^+(p_{k+1}\tilde{y}_{k+1}p_{k+1}) \text{ or } \mu^+(p_{k+1}).$$

Part (b) of Lemma 2.16 implies that  $\mu^+(p_{k+1}) = 0$ . Using the definitions of  $y_{k+1}$  and  $x_{k+1}$  we can use Lemma 2.16 again to compute that  $\mu^+(p_{k+1}y_{k+1}p_{k+1}) = 0$  and  $\mu^+(p_{k+1}x_{k+1}p_{k+1})$  is either 1 or 2 depending on whether X is in  $\tau(p_{k+1})\tilde{x}_k\eta(p_{k+1})$  or not. Using the fact that  $(p_{k+1}\tilde{y}_{k+1}p_{k+1})(p_{k+1}y_{k+1}p_{k+1}) = \tilde{y}_{k+1}(p_{k+1}y_{k+1}p_{k+1}) =$  $p_{k+1}$  and Lemma 2.16 yet again, we see that  $\mu^+(p_{k+1}\tilde{y}_{k+1}p_{k+1})$  is also 0.

Now assume that  $p_{n+1} \in T_{n+1}$ . In order to complete the proof of the theorem, it will suffice to show that  $x_{n+1} \in T_{n+1}$  for all  $x \in U$ .

Since  $p_{n+1} \in T_{n+1}$ ,  $p_k \in T_k$  for  $k \leq n$  by Lemma 2.2 and Theorem 2.12. By the definition of  $T_{n+1}$ , for r > n+1 choose  $p_r$  such that  $p_{n+1} \prec p_r$  (implying  $p_k \prec p_r$  for  $k \leq n$  also). Notice that by Theorem 3.4 we could have chosen the  $p_r$  so that  $\langle p_i \rangle_{i < \omega}$  would be a special reductive sequence, but we won't need that assumption.

Fix  $x \in U$ . We will show by induction on  $k = 1, \ldots, n+1$  that  $x_k \in T_k$ .

Since  $(p_0, x_1)$  is a special reductive sequence,  $x_1 \in T_1$  by Theorem 3.3.

Assume  $1 \leq k < n+1$  and  $x_k \in T_k$ . By Theorem 2.3,  $p_k x_k p_k \in$  $T_k$ . Moreover,  $\tilde{x}_k \in T_k$  since  $p_k T_k p_k$  is a subgroup of  $p_k \beta S_k p_k$ . We will now show by induction on  $r \ge k+1$  that there is some where will now show by induction of  $r \leq n+1$  that there is some  $x_r^{k+1} \in \beta S_r$  such that  $x_{k+1} \leq x_r^{k+1}$ , thus verifying that  $x_{k+1} \in T_{k+1}$ . For r = k + 1, simply take  $x_r^{k+1} = x_{k+1}$ . Suppose  $k + 1 \leq r$  and  $x_{k+1} \leq x_r^{k+1}$  where  $x_r^{k+1} \in \beta S_r$ . Choose  $x_r^k, \tilde{x}_r^k \in \beta S_r$  such that  $x_k \prec x_r^k$  and  $\tilde{x}_k \prec \tilde{x}_r^k$ . Let  $x_{r+1}^{k+1}$  be an

idempotent in  $\beta S_{r+1}$  such that  $x_{r+1}^{k+1} \in \beta W(\tilde{x}_r^k p_{r+1} x_r^k p_r x_r^{k+1})$  and  $x_{r+1}^{k+1} \in (x_r^{k+1} \tilde{x}_r^k p_{r+1} x_r^k p_r) \beta W.$ 

In order to show  $x_{k+1} \prec x_{r+1}^{k+1}$ , suppose  $u \in [A]\binom{r+1}{k+1}$ . Notice that  $h_u(x_{r+1}^{k+1})$  is an idempotent in  $\beta S_{k+1}$ , so to complete our proof it will suffice to show that  $h_u(x_{r+1}^{k+1}) \leq x_{k+1}$ . This is immediate when considering the two possible cases:  $u|r \in [A]\binom{r}{k+1}$  or  $u|r \in [A]\binom{r}{k}$ . In the first case, use the fact that  $h_u(x_r^{k+1}) = x_{k+1}$ . In the second case, notice that  $h_u(x_r^{k+1}) = p_k$  since  $p_k \prec x_{k+1} \prec x_r^{k+1}$ .  $\Box$ 

We have seen that there are finite special reductive sequences which have  $2^{2^{\kappa}}$  continuations, where  $\kappa = \max(\omega, |A|)$ , and shall see that there are others which cannot be continued.

By Theorem 1.5, if  $p_0$  is any minimal idempotent in  $\beta S_0$  and  $p_1$  is any minimal idempotent in  $\beta S_1$  such that  $p_1 < p_0$ , then in fact  $p_0 \prec p_1$ . We see now that such a statement cannot be extended to n = 2.

**Theorem 3.8.** Let  $p_0$  be a minimal idempotent in  $\beta S_0$  and let  $p_1$  be a minimal idempotent in  $\beta S_1$  such that  $p_1 < p_0$ . There exists a minimal idempotent  $p_2$  in  $\beta S_2$  such that  $p_2 < p_1$  but it is not the case that  $p_1 \prec p_2$ .

Proof. Pick a minimal idempotent q of  $\beta W_1$  such that  $q \in p_1 \beta W_1 \cap \beta W_1 p_0$  and  $q \neq p_1$ . (Let  $a \in A$ . Then the left ideals  $\beta W_1 v_0 v_0 p_0$  and  $\beta W_1 a v_0 p_0$  are disjoint subsets of  $\beta W_1 p_0$ . The intersection of each of them with  $p_1 \beta W_1$  contains an idempotent minimal in  $\beta W_1$ .) Notice that the minimal left ideals  $\beta W_1 p_1$  and  $\beta W_1 q$  are disjoint, since  $p_1$  is the unique idempotent in  $p_1 \beta W_1 \cap \beta W_1 p_1$ . (We know that  $p_1$  is minimal in  $\beta W_1$  because  $S_1$  is an ideal of  $W_1$ .)

Pick a minimal idempotent  $p_2$  of  $\beta S_2$  such that  $p_2 \in p_1\beta S_2 \cap \beta S_2 h_{v_1}(q)p_1$ . Pick  $r \in \beta S_2$  such that  $p_2 = rh_{v_1}(q)p_1$  and pick  $a \in A$ . Then

$$\begin{aligned} h_{av_0}(p_2) &= h_{av_0}(r)h_{v_1\langle av_0\rangle}(q)h_{av_0}(p_1) \\ &= h_{av_0}(r)h_{v_0}(q)h_a(p_1) \\ &= h_{av_0}(r)qp_0 = h_{av_0}(r)q \text{ and } \\ h_{v_0a}(p_2) &= h_{v_0a}(rh_{v_1}(q))h_{v_0a}(p_1) \\ &= h_{v_0a}(rh_{v_1}(q))p_1 \end{aligned}$$

and so  $h_{av_0}(p_2)$  and  $h_{v_0a}(p_2)$  are in disjoint left ideals of  $\beta W_2$ .  $\Box$ 

It is natural to ask whether every finite special reductive sequence  $\langle p_i \rangle_{i=0}^n$  can be extended to a special reductive sequence with n+2 terms. The answer is "yes" if n = 0 or n = 1, by Theorem 1.5. We shall show in Theorem 3.16 that the answer is "no" if n > 1. We shall use some special notation.

**Definition 3.9.** Let  $n \in \omega$ . Then  $[A]^* \binom{n}{0} = [A]\binom{n}{0}$  and if  $0 < m \le n$ , then  $[A]^* \binom{n}{m} = \{u \in [A]\binom{n}{m} : u(n-1) = v_{m-1} \text{ and } u_{|n-1} \in [A]\binom{n-1}{m-1}\}.$ 

Also  $D_n = \{x \in \beta W_n : (\forall m < n) (\forall u, u' \in [A]^* \binom{n}{m}) (h_u(x) = h_{u'}(x)) \}.$ 

**Lemma 3.10.** Let  $m < n < \omega$  and let  $u \in [A]^* \binom{n}{m}$ . Then  $h_u[D_n] \subseteq D_m$ .

Proof. We know that  $h_u[\beta W_n] \subseteq \beta W_m$ . If m = 0, then  $D_m = \beta W_m$ , so assume that m > 0, let k < m, let  $x \in D_n$ , and let  $w, w' \in [A]^*\binom{m}{k}$ . Then  $u\langle w \rangle$  and  $u\langle w' \rangle$  are in  $[A]^*\binom{n}{k}$  and so  $h_w(h_u(x)) = h_{u\langle w \rangle}(x) = h_{u\langle w' \rangle}(x) = h_{w'}(h_u(x))$ .

**Lemma 3.11.** Let  $n \in \mathbb{N}$  and let  $p_0$  be a minimal idempotent in  $\beta S_0$ . Let  $x_n \in D_n$  and for each m < n let  $x_m$  be the unique value of  $h_u(x_n)$  for  $u \in [A]^*\binom{n}{m}$ . There is a special reductive sequence  $\langle q_0, q_1, \ldots, q_n \rangle$  such that  $q_0 = p_0$  and for each  $i \in \{1, 2, \ldots, n\}$ ,

$$q_i \in q_{i-1} x_i \beta W_i \cap \beta W_i x_i q_{i-1}$$

Proof. We can assume that  $x_n \in K(D_n)$  because we can pick  $y_n \in K(D_n) \cap x_n D_n \cap D_n x_n$  and, given m < n, if  $y_m$  is the unique value of  $h_u(y_n)$  for  $u \in [A]^*\binom{n}{m}$ , then  $y_m \beta W_m \subseteq x_m \beta W_m$  and  $\beta W_m y_m \subseteq \beta W_m x_m$ .

Assume first that n = 1, let  $q_0 = p_0$ , and let  $q_1$  be a minimal idempotent of  $\beta W_1$  with  $q_1 \in q_0 x_1 \beta W_1 \cap \beta W_1 x_1 q_0$ . Then  $q_1 < q_0$ and so for any  $u \in [A] \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ ,  $h_u(q_1) < h_u(q_0) = q_0$  and thus  $h_u(q_1) = q_0$ . Also  $q_1 \in K(\beta W_1) = K(\beta S_1)$  because  $S_1$  is an ideal of  $W_1$ .

Now assume that n > 1 and the lemma is valid for n - 1. Note that by Theorem 1.5  $D_n \cap K(\beta W_n) \neq \emptyset$  and thus  $x_n \in K(D_n) = D_n \cap K(\beta W_n)$ . By Lemma 3.10  $x_{n-1} \in D_{n-1}$ . We also observe that  $x_{n-1} \in K(\beta W_{n-1})$ . To see this, let  $u = v_0 v_0 v_1 \cdots v_{n-2}$ . Then  $u \in [A]^* \binom{n}{n-1}$  so  $h_u(x_n) = x_{n-1}$ . Also  $h_u[W_n] = W_{n-1}$  so  $h_u[\beta W_n] = \beta W_{n-1}$  and thus by [6, Exercise 1.7.3],  $h_u[K(\beta W_n)] = K(\beta W_{n-1})$ . Thus  $x_{n-1} = h_u(x_n) \in K(\beta W_{n-1})$ .

#### 28 TIMOTHY J. CARLSON, NEIL HINDMAN, AND DONA STRAUSS

By the induction hypothesis we may pick a special reductive sequence  $\langle q_0, q_1, \ldots, q_{n-1} \rangle$  such that  $q_0 = p_0$  and for each  $i \in \{1, 2, \ldots, n-1\}$   $q_i \in q_{i-1}x_i\beta W_i \cap \beta W_i x_i q_{i-1}$ . Pick a minimal idempotent  $q_n$  of  $\beta W_n$  such that  $q_n \in q_{n-1}x_n\beta W_n \cap \beta W_n x_n q_{n-1}$ . Then  $q_n < q_{n-1}$ . Also, since  $S_{n-1} \in q_{n-1}$  and  $\{w \in W_n : v_{n-1} \text{ occurs in } w\} \in x_n$ , we have that  $q_n \in \beta S_n$  and so  $q_n$  is minimal in  $\beta S_n$ .

Let  $u \in [A]\binom{n}{n-1}$ . It remains to show that  $h_u(q_n) = q_{n-1}$ . If  $u = v_0v_1\cdots v_{n-2}t$  for some  $t \in A \cup \{v_0, v_1, \ldots, v_{n-2}\}$ , then  $h_u(q_{n-1}) = q_{n-1}$  so  $h_u(q_n) \leq q_{n-1}$  and thus  $h_u(q_n) = q_{n-1}$ .

So assume that  $u = u'v_{n-2}$  for some  $u' \in [A]\binom{n-1}{n-2}$ . Then  $u \in [A]^*\binom{n}{n-1}$  and so  $h_u(x_n) = x_{n-1}$ . Also  $h_u(q_{n-1}) = h_{u'}(q_{n-1}) = q_{n-2}$ . Thus  $h_u(q_{n-1}x_n) = q_{n-2}x_{n-1}$  and  $h_u(x_nq_{n-1}) = x_{n-1}q_{n-2}$ . Since  $x_{n-1} \in K(\beta W_{n-1})$ , we may pick a minimal right ideal R of  $\beta W_{n-1}$  and a minimal left ideal L of  $\beta W_{N-1}$  such that  $q_{n-2}x_{n-1} \in R$  and  $x_{n-1}q_{n-2} \in L$ . Then  $q_{n-1} \in R \cap L$  so  $q_{n-2}x_{n-1} \in R = q_{n-1}\beta W_{n-1}$  and  $x_{n-1}q_{n-2} \in L = \beta W_{n-1}q_{n-1}$  so  $h_u(q_n) \leq q_{n-1}$  and thus  $h_u(q_n) = q_{n-1}$ .

Notice that if in Lemma 3.11,  $x_1$  is a minimal idempotent in  $\beta S_1$ and  $x_1 < p_0$ , then  $q_1 \in q_0 x_1 \beta W_1 \cap \beta W_1 x_1 q_0 = x_1 \beta W_1 \cap \beta W_1 x_1$  and so  $q_1 = x_1$ .

**Definition 3.12.** We choose any  $c \in A$  and define E to be the set of words in  $W_0$  in which c does not occur.

We now give an inductive definition of a subset  $R_n$  of  $W_n$  for each  $n \geq 2$ .

$$\begin{aligned} R_2 &= W_1 v_1 E v_0 W_2 \text{ and if } n > 2 \,, \\ R_n &= W_{n-1} h_{v_{n-1}} [W_1] v_{n-1} R_{n-1} W_n \,. \end{aligned}$$

We observe that, for every  $n \ge 2$ ,  $R_n$  is a right ideal of  $W_n$  and  $W_{n-1}R_n \subseteq R_n$ .

**Lemma 3.13.** If  $p_0$  is any minimal idempotent in  $\beta S_0$ , there is a special reductive sequence  $\langle p_0, p_1, p_2 \rangle$  for which  $R_2 \in p_2$ .

*Proof.* Let c be the element of A used to define  $R_2$  and let  $B = A \setminus \{c\}$ . We first deal with the case in which  $B = \emptyset$ . Then  $E = \emptyset$  and so  $R_2 = W_1 v_1 v_0 W_2$ . Let q be a minimal idempotent of  $\beta W_2$  satisfying  $q \in p_0 v_1 v_0 \beta W_2 \cap \beta W_2 p_0$ . Then  $W_1 \in p_0$  so  $W_1 v_1 v_0 W_2 \in q$  and thus  $R_2 \in q$ . Note also that  $h_{cc}(q) \leq h_{cc}(p_0) = p_0$  and so  $h_{cc}(q) = p_0$ . Let  $p_1 = h_{cv_0}(q)$ . Now q is minimal in the subsemigroup  $c\beta W_2$  of

 $\beta W_2$  by [6, Theorem 1.65] and so  $p_1$  is minimal in  $h_{cv_0}[c\beta W_2] = c\beta W_1$ , hence in  $\beta W_1$ . Since also  $p_1 \in \beta S_1$  we have that  $p_1 \in \beta S_1 \cap K(\beta W_1) = K(\beta S_1)$ .

Now choose  $p_2$  to be a minimal idempotent in  $\beta S_2$  such that  $p_2 \in p_1q\beta S_2 \cap \beta S_2qp_1$ . Then  $W_1R_2W_2 \in p_2$  and  $W_1R_2W_2 \subseteq R_2$  so  $R_2 \in p_2$ . Now let  $u \in [A]\binom{2}{1}$ . If  $u = cv_0$ , then  $h_u(cv_0) = cc$  so by Lemma 1.10  $h_u(p_1) = h_{cc}(q) = p_0$  and thus  $h_u(p_2) \in p_0p_1h_u[\beta S_2] \cap h_u[\beta S_2]p_1p_0 \subseteq p_1\beta S_1 \cap \beta S_1p_1$  so  $h_u(p_2) = p_1$ . If  $u = v_0t$  for some  $t \in \{c, v_0\}$ , then  $h_u(cv_0) = cv_0$  so by Lemma 1.10  $h_u(p_1) = h_{cv_0}(q) = p_1$  and thus  $h_u(p_2) \in p_1h_u[q\beta S_2] \cap h_u[\beta S_2q]p_1$  so  $h_u(p_2) = p_1$ . So  $\langle p_0, p_1, p_2 \rangle$  is a special reductive sequence.

We now assume that  $B \neq \emptyset$ . Recall that E is the semigroup of words over B. Let  $W'_1$  be the semigroup of words over  $A \cup \{v_0\}$ . Pick a minimal idempotent  $q_0$  of  $\beta E$  and a minimal idempotent  $q_1$ of  $\beta W'_1$  such that  $q_1 < q_0$ . Note that for any  $b \in B$ ,  $h_b(q_1) = q_0$ .

Let  $y = h_c(q_1)$ . Then  $y \leq h_c(q_0) = q_0$ . Let  $z = v_1q_1yq_1y$ . Note that  $Ev_0W_1 \in q_1$  so  $v_1Ev_0W_1W_2 \in z$  and thus  $R_2 \in z$ .

Let  $H = \{x \in \beta W_2 : \text{ for all } a, b \in A, h_{av_o}(x) = h_{bv_0}(x)\}$ . Note that by Theorem 1.5,  $H \cap K(\beta W_2) \neq \emptyset$ . In particular, H is a compact subsemigroup of  $\beta W_2$  and  $K(H) = H \cap K(\beta W_2)$ . If  $b \in B$ , then  $h_{bv_0}(q_1) = h_b(q_1) = q_0$  and so  $h_{bv_0}(z) = v_0q_0yq_0y = v_0y$  and  $h_{bv_0}(p_0) = p_0$ . Also  $h_{cv_0}(z) = v_0yyyy = v_0y$  and  $h_{cv_0}(p_0) = p_0$ . Thus  $p_0z \in H$  and  $zp_0 \in H$ . We can choose a minimal idempotent x of H with  $x \in p_0zH \cap Hzp_0$ . Then  $x \in K(\beta W_2), x \leq p_0$ , and  $R_2 \in x$ .

Let  $p_1 = h_{cv_0}(x)$  and note that, given any  $a \in A$ ,  $h_{av_0}(x) = h_{cv_0}(x) = p_1$ . Let I be the ideal of  $W_1$  consisting of words in which c occurs. Then  $K(\beta W_1) \subseteq \overline{I} \subseteq h_{cv_0}[\beta W_2]$  and so

$$p_1 \in h_{cv_0}[K(\beta W_2)] = K(h_{cv_0}[\beta W_2]) = h_{cv_0}[\beta W_2] \cap K(\beta W_1)$$

and so  $p_1 \in K(\beta W_1) = K(\beta S_1)$ . Also  $p_1 \leq h_{cv_0}(p_0) = p_0$  and therefore  $h_a(p_1) = p_0$  for all  $a \in A$ .

Now choose  $p_2$  to be a minimal idempotent of  $\beta S_2$  with  $p_2 \in p_1 x \beta S_2 \cap \beta S_2 x p_1$ . Then  $p_2 < p_1$  and since  $R_2 \in x$ ,  $R_2 \in p_2$ . Finally, let  $u \in [A]\binom{2}{1}$ . We show that  $h_u(p_2) = p_1$ . If  $u = av_0$  for some  $a \in A$ , then  $h_u(p_2) \in p_0 p_1 h_u[\beta S_2] \cap h_u[\beta S_2] p_1 p_0 \subseteq p_1 \beta S_1 \cap \beta S_1 p_1$ . If  $u = v_0 t$  for some  $t \in A \cup \{v_0\}$ , then  $h_u(p_2) \in p_1 h_u[x \beta S_2] \cap h_u[\beta S_2 x] p_1 \subseteq p_1 \beta S_1 \cap \beta S_1 p_1$ . Thus, in either case,  $h_u(p_1) = p_2$ .  $\Box$  **Lemma 3.14.** Let n > 1, let  $p_0$  be a minimal idempotent in  $\beta S_0$ and let  $p_1 < p_0$  be a minimal idempotent in  $\beta S_1$ . Then there exists a special reductive sequence  $\langle q_0, q_1, \ldots, q_n \rangle$  such that  $q_0 = p_0$  and  $R_n \in q_n$ . Furthermore, if n > 2, then  $q_1 = p_1$  and if n > 3, then  $q_2 \in T_2$ .

*Proof.* By Lemma 3.13, this holds if n = 2. So assume that  $n \ge 3$  and that the statement of the lemma is true for n-1. Pick a special reductive sequence  $\langle r_0, r_1, \ldots, r_{n-1} \rangle$  with  $r_0 = p_0$ ,  $R_{n-1} \in r_{n-1}$ , and with  $r_1 = p_1$  if n > 3. Let  $x_n = h_{v_{n-1}}(p_1)r_{n-1}$ . Then  $R_n \in x_n$ , because  $W_1v_0W_0 = S_1 \in p_1$  so  $h_{v_{n-1}}[W_1]v_{n-1}W_0R_{n-1} \in x_n$  and  $W_0R_{n-1} \subseteq R_{n-1}$ .

We claim that  $x_n \in D_n$ . So let m < n and let  $u \in [A]^* \binom{n}{m}$ . Then  $h_u(r_{n-1}) = h_{u|n-1}(r_{n-1}) = r_{m-1}$  and  $h_u(h_{v_{n-1}}(p_1)) = h_{v_{n-1}\langle u \rangle}(p_1) = h_{v_{m-1}}(p_1)$ . Thus  $h_u(x_n) = h_{v_{m-1}}(p_1)r_{m-1}$ , which is independent of the choice of u.

Pick by Lemma 3.11 a special reductive sequence  $\langle q_0, q_1, \ldots, q_n \rangle$ such that  $q_0 = p_0$  and, for each  $m \in \{1, 2, \ldots, n\}$ ,

$$q_m \in q_{m-1} x_m \beta W_m \cap \beta W_m x_m q_{m-1} \,,$$

where for each  $m \in \{1, 2, \ldots, n-1\}$ ,  $x_m = h_{v_{m-1}}(p_1)r_{m-1}$ . Notice in particular that  $x_1 = h_{v_0}(p_1)r_0 = p_1p_0 = p_1$  and thus, since  $q_1 \in q_0 x_1 \beta W \cap \beta W x_1 q_0$ , we have  $q_1 = p_1$ .

Now  $q_n \in q_{n-1}x_n\beta W_n$  and  $R_n \in x_n$ . Since  $W_{n-1}R_nW_n \subseteq R_n$ , it follows that  $R_n \in q_n$ .

Finally assume that n > 3. Then  $r_1 = p_1$  so  $x_2 = h_{v_1}(p_1)p_1$ . Therefore

$$q_2 \in p_1 x_2 \beta W_2 \cap \beta W_2 x_2 p_1 \subseteq p_1 h_{v_1}(p_1) \beta W_2 \cap \beta W_2 h_{v_1}(p_1) p_1$$
.

By Theorem 2.9,  $q_2 \in T_2$ .

**Lemma 3.15.** Let  $n \geq 2$  and let  $c \in A$  be the letter used in the definition of E and  $R_2$ . Define  $u_n$  and  $w_n$  in  $[A]\binom{n+1}{n}$  by  $u_n = cv_0v_1 \cdots v_{n-1}$  and  $w_n = v_0cv_1v_2 \cdots v_{n-1}$ . Then  $h_{u_n}^{-1}[R_n] \cap h_{w_n}^{-1}[R_n] = \emptyset$ .

*Proof.* Notice that  $h_{u_n}^{-1}[W_n] \subseteq W_{n+1}$  and  $h_{w_n}^{-1}[W_n] \subseteq W_{n+1}$ .

Assume first that n = 2 and suppose we have  $x \in W_3$  such that  $h_{u_2}(x) \in R_2$  and  $h_{w_2}(x) \in R_2$ . Then  $h_{u_2}(x) \in W_1v_1zv_0W_2$  for some  $z \in E$  so  $x \in W_2v_2zv_1W_3$  and thus the first variable after the first occurrence of  $v_2$  in x is  $v_1$ . Similarly  $h_{w_2}(x) \in W_1v_1yv_0W_2$  for some

 $y \in E$  so  $x \in W_2 v_2 y v_0 W_3$  and thus the first variable after the first occurrence of  $v_2$  in x is  $v_0$ , a contradiction.

Now assume that n > 2 and  $h_{u_{n-1}}^{-1}[R_{n-1}] \cap h_{w_{n-1}}^{-1}[R_{n-1}] = \emptyset$ . For each  $k \in \omega$  and  $x \in W$ , define a  $v_k$  block in x as a segment of x in which all the letters are in  $A \cup \{v_k\}$  with the first and last letters being  $v_k$  and which is maximal with respect to this condition. Also, if k > 0, let  $W_k^{\triangleleft} = \{x \in W_k : v_{k-1} \text{ opccurs in } x\}$ . Define  $\varphi_k : W_k^{\triangleleft} \to W_{k-1}$  as follows. Let  $x \in W_k^{\triangleleft}$ . If there is only one  $v_{k-1}$  block in x, let  $\varphi_k(x)$  be the word which begins after the  $v_{k-1}$ block and continues to the end of x. Otherwise let  $\varphi_k(x)$  be the word which begins after the first  $v_{k-1}$  block and ends immediately before the next occurrence of  $v_{k-1}$ . For example, if  $a \in A$ , then  $\varphi_3(v_0v_2av_2) = \emptyset$  and  $\varphi_3(v_0v_2av_1) = \varphi_3(v_0v_2av_2av_1v_2v_1) = av_1$ .

We claim that if  $x \in R_n$ , then  $\varphi_n(x) \in R_{n-1}$ . Indeed, from the definition of  $R_n$ , we have that  $x = yv_{n-1}z\alpha$  for some  $y \in W_{n-1}h_{v_{n-1}}[W_1]$ ,  $z \in R_{n-1}$ , and  $\alpha \in W_n$ . If  $\alpha \in W_{n-1}$ , then  $\varphi_n(x) = z\alpha$ . Otherwise,  $\alpha = \delta v_{n-1}\gamma$  where  $\delta \in W_{n-1}$  and  $\gamma \in W_n$ so that  $\varphi_n(x) = z\delta$ . In either case,  $\varphi_n(x) \in R_{n-1}W_{n-1} \subseteq R_{n-1}$ .

Next observe that if  $x \in W_{n+1}^{\triangleleft}$  has the property that  $h_{u_n}(x) \in R_n$ and  $h_{w_n}(x) \in R_n$ , then  $h_{u_n}$  and  $h_{w_n}$  map the first  $v_n$ -block of x to the first  $v_{n-1}$ -block of  $h_{u_n}(x)$  and  $h_{w_n}(x)$  respectively. Indeed, if this statement does not hold for  $h_{u_n}$ ,  $v_0$  must occur in x between the first  $v_n$ -block of x and the next occurrence of  $v_n$  in x, and  $v_0$ must be the only variable which does. However,  $v_0$  is then the only variable which occurs in  $h_{w_n}(x)$  between the first  $v_{n-1}$ -block of  $h_{w_n}(x)$  and the next occurrence of  $v_{n-1}$  in  $h_{w_n}(x)$ . Since n > 2, this contradicts the assumption that  $h_{w_n}(x) \in R_n$ . The assumption that  $h_{w_n}$  does not map the first  $v_n$ -block of x to the first  $v_{n-1}$ -block of  $h_{w_n}(x)$ , leads to a contradiction in a similar way.

It follows that

$$\begin{aligned} h_{u_{n-1}}(\varphi_{n+1}(x)) &= \varphi_n(h_{u_n}(x)) \text{ and} \\ h_{w_{n-1}}(\varphi_{n+1}(x)) &= \varphi_n(h_{w_n}(x)) \end{aligned}$$

because, for  $y \in W_n$ ,  $h_{u_n}(y) = h_{u_{n-1}}(y)$  and  $h_{w_n}(y) = h_{w_{n-1}}(y)$ .

Now suppose we have some  $x \in h_{u_n}^{-1}[R_n] \cap h_{w_n}^{-1}[R_n]$ . Then  $x \in W_{n+1}^{\triangleleft}$  because  $v_{n-1}$  occurs in any member of  $R_n$  and  $\varphi_n(h_{u_n}(x)) \in R_{n-1}$  and  $\varphi_n(h_{w_n}(x)) \in R_{n-1}$  so

$$\varphi_{n+1}(x) \in h_{u_{n-1}}^{-1}[R_{n-1}] \cap h_{w_{n-1}}^{-1}[R_{n-1}],$$

a contradiction.

**Theorem 3.16.** Let n > 1, let  $p_0$  be a minimal idempotent in  $\beta S_0$ , and let  $p_1$  be a minimal idempotent in  $\beta S_1$  such that  $p_1 < p_0$ . Then there exists a special reductive sequence  $\langle q_0, q_1, \ldots, q_n \rangle$  such that  $q_0 = p_0$  and there is no  $r \in \beta W_{n+1}$  for which  $q_n \prec r$ . If n > 2, then  $q_1 = p_1$ .

*Proof.* Pick  $\langle q_0, q_1, \ldots, q_n \rangle$  as guaranteed by Lemma 3.14 and suppose we have some  $r \in \beta W_{n+1}$  for which  $q_n \prec r$ . Let  $u_n$  and  $w_n$  be as in Lemma 3.15. Then  $h_{u_n}(r) = h_{w_n}(r) = q_n$  and so  $h_{u_n}^{-1}[R_n] \in r$  and  $h_{w_n}^{-1}[R_n] \in r$ , a contradiction.

**Corollary 3.17.** Let n > 1. There is a minimal idempotent of  $\beta S_n$  in  $C_n \setminus T_n$ .

*Proof.* Let  $\langle q_0, q_1, \ldots, q_n \rangle$  be as guaranteed by Theorem 3.16. Then  $q_n \in C_n \setminus T_n$ .

We need a different argument to show that  $C_1 \neq T_1$ .

**Theorem 3.18.** There is a minimal idempotent of  $\beta S_1$  in  $C_1 \setminus T_1$ .

*Proof.* Choose any  $c \in A$ . Let X denote the set of elements of  $S_1$  in which there is no occurrence of c before the first occurrence of  $v_0$ . We observe that  $cl_{\beta S_1}(X) \cap T_1 = \emptyset$ , because  $X \cap h_{cv_0}[S_2] = \emptyset$ . We shall show that  $cl_{\beta S_1}(X) \cap C_1 \neq \emptyset$ .

If  $A = \{c\}$ , then  $\beta S_1 = C_1$  and so  $cl_{\beta S_1}(X) \cap C_1 \neq \emptyset$ .

Assume that |A| > 1. Let  $S'_0 = \{w \in S_0 : c \text{ does not occur in } w\}$  and let Let  $S'_1 = \{w \in S_1 : c \text{ does not occur in } w\}$ . Let  $q_0$  be a minimal idempotent in  $\beta S'_0$  and let  $q_1$  be a minimal idempotent in  $\beta S'_1$  such that  $q_1 \leq q_0$ . Then  $h_a(q_1) = q_0$  for all  $a \in A \setminus \{c\}$ . Let  $x_1 = q_0q_1h_c(q_1)$ . Then, for any  $a \in A \setminus \{c\}$ ,  $h_a(x_1) = h_c(x_1) = q_0h_c(q_1)$ . So  $x_1 \in C_1$ . Since  $x_1 \in c\ell_{\beta S_1}(X)$ , we again have  $c\ell_{\beta S_1}(X) \cap C_1 \neq \emptyset$ .

Now X is a right ideal of  $S_1$  and so  $cl_{\beta S_1}(X)$  is a right ideal of  $\beta S_1$  by [6, Theorem 2.15]. Thus  $cl_{\beta S_1}(X) \cap C_1$  contains a minimal idempotent of  $C_1$ , and any minimal idempotent of  $C_1$  is also a minimal idempotent of  $\beta S_1$ .

### 4. Appendix – Proof of Theorem 1.14

We provide here the necessary adaptations of the proof of [3, Theorem 2.12] to establish Theorem 1.14. As we have previously

remarked, this theorem holds in the more general setting of [3], in which it is not assumed that  $D = \{e\}$  or that  $T_e$  is the identity. The reader is referred to [3] for the definition of the more general parameter system used there.

**Definition 4.1.** Let  $n \in \mathbb{N}$  with  $n \geq 2$ .

- (a) For  $i \in \{0, 1, ..., n-1\}$ ,  $w_{n,i}$  is the word obtained from  $v_0v_1 \cdots v_{n-1}$  by deleting  $v_i$ .
- (b) For  $i \in \{0, 1, \dots, n-1\}$ ,

$$U_{n,i} = \{ w \in W : \ \ell(w) = n, w(i) \in A \cup \{ v_l : l < i \}, \\ \text{and for all } j \in \{0, 1, \dots, n-1\}, \text{ if } j < i, \text{ then} \\ w(j) = v_j \text{ and if } j > i, \text{ then } w(j) = v_{j-1} \}.$$

Thus if 0 < i < n-1, a member of  $U_{n,i}$  is of the form  $v_0 \cdots v_{i-1} t v_i \cdots v_{n-2}$  where  $t \in A \cup \{v_0, v_1, \dots, v_{i-1}\}$ .

Notice that for any  $n \in \mathbb{N}$  with  $n \geq 2$ ,  $[A]\binom{n}{n-1} = \bigcup_{i=0}^{n-1} U_{n,i}$ .

**Theorem 1.14.** Let X be a subsemigroup of  $\beta W$  such that  $h_u[X] \subseteq X$  for every  $u \in W$ ,  $X \cap \beta W_n$  is compact and  $X \cap \beta S_n$  is non-empty for every  $n \in \omega$ . Let  $p_0$  be a minimal idempotent of  $X \cap \beta W_0$  and let  $p_1$  be a minimal idempotent of  $X \cap \beta W_1$  such that  $p_1 < p_0$ . Then there is an infinite reductive sequence  $(p_0, p_1, p_2, p_3, \ldots)$  such that  $p_n$  is a minimal idempotent of  $X \cap \beta S_n$  and  $p_{n+1} < p_n$  for every  $n \in \omega$ .

*Proof.* Note that  $h_u(p_1) = p_0$  for all  $u \in [A] \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ . We first show how  $p_2$  can be defined. Let  $\alpha = h_{v_1}(p_1)$ . Then  $\alpha \in X \cap \beta W_2$  so we may pick an idempotent  $p_2 \in p_1\alpha(X \cap \beta W_2) \cap (X \cap \beta W_2)\alpha p_1$  which is minimal in  $X \cap \beta W_2$ . Since  $p_1\alpha \in \beta S_2$ ,  $p_2 \in \beta S_2$  so  $p_2$  is minimal in  $X \cap \beta S_2$ .

Now let  $u \in [A]\binom{2}{1}$ . Then  $h_u[S_2] \subseteq S_1$  so  $h_u(p_2) \in X \cap \beta S_1$ . It thus suffices to show that  $h_u(p_2) \leq p_1$ . If  $u \in U_{2,1}$ , then  $h_u$  is the identity on  $S_1$ , so  $h_u(p_2) \leq h_u(p_1) = p_1$ . Now assume that  $u \in U_{2,0}$ and pick  $t \in A$  such that  $u = tv_0$ . For  $w \in S_1$ ,  $h_u(w) = h_t(w)$ , and so  $h_u(p_1) = h_t(p_1) = p_0$ . Also, by Lemma 1.10,  $h_{tv_0} \circ h_{v_1}$  is the identity on  $W_1$ . So  $h_u(\alpha) = h_{tv_0}(h_{v_1}(p_1)) = p_1$ . Therefore  $h_u(p_2) \in$  $p_0p_1h_u[X \cap \beta W_2] \cap h_u[X \cap \beta W_2]p_1p_0 \subseteq p_1(X \cap \beta W_1) \cap (X \cap \beta W_1)p_1$ so  $h_u(p_2) \leq p_1$ .

We now proceed to an inductive construction. Let  $n \in \mathbb{N}$  with  $n \geq 2$ .

### 34 TIMOTHY J. CARLSON, NEIL HINDMAN, AND DONA STRAUSS

We shall introduce elements, (such as  $\eta_i$  or  $\gamma_i$ ) which depend on n as well as on i. However, in an effort to reduce the number of subscripts used, we shall not indicate the dependence on n in the notation.

We make the inductive assumption that we have chosen  $p_i$  for  $i \in \{0, 1, 2, ..., n\}$ ,  $\eta_i$ ,  $\eta'_i$ ,  $\delta_i$ , and  $\delta'_i$  for  $i \in \{1, 2, 3, ..., n-1\}$ , and  $\gamma_i$  and  $\gamma'_i$  for  $i \in \{2, 3, ..., n-2\}$ , if any, so that the following hypotheses are satisfied.

- (a) For each  $i \in \{0, 1, ..., n\}$ ,  $p_i$  is a minimal idempotent of  $X \cap \beta S_i$ .
- (b) For each  $i \in \{1, 2, ..., n\}$ ,  $p_i \leq p_{i-1}$  and  $h_u(p_i) = p_{i-1}$  for every  $u \in [A]\binom{i}{i-1}$ .
- (c) For every  $i \in \{1, 2, ..., n-1\}$ ,  $\eta_i$  and  $\eta'_i$  are minimal idempotents in  $X \cap \beta W_{n-1}$ .
- (d) For every  $i \in \{1, 2, ..., n-1\}, \eta_i \in X p_{n-1} \text{ and } \eta'_i \in p_{n-1}X.$
- (e) For  $i \in \{1, 2, \dots, n-1\}$ ,  $\delta_i = h_{w_{n,n-i-1}}(\eta_i)$ ,  $\delta'_i = h_{w_{n,n-i-1}}(\eta'_i)$ ,

$$p_n \in p_{n-1}\delta_1 \cdots \delta_{n-1}X$$
, and  
 $p_n \in X\delta'_{n-1} \cdots \delta'_1 p_{n-1}$ .

(f) For every  $i \in \{1, 2, ..., n-2\}$ , if any,

$$\eta_i \in \gamma_i \cdots \gamma_{n-2} \eta_{n-1} X$$
 and  
 $\eta'_i \in X \eta'_{n-1} \gamma'_{n-2} \cdots \gamma'_i$ .

(g) For every choice of  $u_{n,i} \in U_{n,i}$  for  $i \in \{0, 1, ..., n-1\}$ , the entry in the row labeled by u and the column labeled by x in the following tables is  $h_u(x)$ .

u	$\setminus x$ :	$p_{n-1}$	$\delta_1$	$\delta_2$	$\delta_3$		$\delta_{n-2}$	$\delta_{n-1}$
$u_{n,n-1}$		$p_{n-1}$						
$u_{n,n-2}$		$p_{n-2}$	$\eta_1$					
$u_{n,n-3}$		$p_{n-2}$	$\gamma_1$	$\eta_2$				
$u_{n,n-4}$		$p_{n-2}$	$\gamma_1$	$\gamma_2$	$\eta_3$			
:		÷	÷	÷	:	·		
$u_{n,1}$		$p_{n-2}$	$\gamma_1$	$\gamma_2$	$\gamma_3$		$\eta_{n-2}$	
$u_{n,0}$		$p_{n-2}$	$\gamma_1$	$\gamma_2$	$\gamma_3$		$\gamma_{n-2}$	$\eta_{n-1}$

Table 1

u	$\setminus x$ :	$\delta_{n-1}'$	$\delta_{n-2}'$		$\delta_3'$	$\delta_2'$	$\delta_1'$	$p_{n-1}$
$u_{n,n-1}$							_	$p_{n-1}$
$u_{n,n-2}$							$\eta'_1$	$p_{n-2}$
$u_{n,n-3}$						$\eta_2'$	$\gamma'_1$	$p_{n-2}$
$u_{n,n-4}$					$\eta'_3$	$\gamma_2'$	$\gamma'_1$	$p_{n-2}$
÷				· · ·	÷	÷	÷	:
$u_{n,1}$			$\eta_{n-2}'$		$\gamma'_3$	$\gamma_2'$	$\gamma'_1$	$p_{n-2}$
$u_{n,0}$		$\eta_{n-1}'$	$\gamma_{n-2}'$		$\gamma'_3$	$\gamma_2'$	$\gamma'_1$	$p_{n-2}$
			·					•

### Table 2

We observe that these assumptions do hold if n = 2, with  $\eta_1 = \eta'_1 = p_1$ . For hypothesis (e), note that  $\delta_1 = \delta'_1 = \alpha$ . Hypothesis (f) is vacuous, and we have already verified the table entries of hypothesis (g).

Notice that since  $h_{w_{n,n-i-1}}[W_{n-1}] \subseteq W_n$  one has that each  $\delta_i \in X \cap \beta W_n$ . Also, since  $h_u[W_n] \subseteq W_{n-1}$  for each  $u \in [A]\binom{n}{n-1}$ , we have that each  $\gamma_i \in X \cap \beta W_{n-1}$ .

By assumption (e),  $p_n \in p_{n-1}\delta_1 \cdots \delta_{n-1}X$ . So there is some  $x \in X$  such that  $p_{n-1}\delta_1 \cdots \delta_{n-1}x = p_n = p_np_n \in p_nX$ . Such x is necessarily in  $\beta W_n$  because  $p_n \in \beta W_n$ . So

$$\{x \in X \cap \beta W_n : p_{n-1}\delta_1 \cdots \delta_{n-1}x \in p_nX\}$$

is nonempty and is therefore a right ideal of  $X \cap \beta W_n$ . So we can choose a minimal idempotent  $\mu_n$  of  $X \cap \beta W_n$  which is in this right ideal and in the left ideal  $(X \cap \beta W_n)p_n$  of  $X \cap \beta W_n$ .

Now let  $i \in \{2, 3, ..., n-1\}$ . Note that  $\delta_i \cdots \delta_{n-1} \mu_n = \delta_i \cdots \delta_{n-1} \mu_n \mu_n$ , so

$$\{x \in X \cap \beta W_n : p_{n-1}\delta_1\delta_2 \cdots \delta_{i-1}x \in p_nX \text{ and } x \in \delta_i \cdots \delta_{n-1}\mu_nX\}$$

is nonempty, because it contains  $\delta_i \cdots \delta_{n-1} \mu_n$ . It is therefore a right ideal of  $X \cap \beta W_n$ , and we can choose a minimal idempotent  $\mu_i$  of  $X \cap \beta W_n$  which is in this right ideal and is also in the left ideal  $(X \cap \beta W_n)p_n$  of  $X \cap \beta W_n$ .

Similarly,  $\{x \in X \cap \beta W_n : p_{n-1}x \in p_nX \text{ and } x \in \delta_1 \cdots \delta_{n-1}\mu_nX\}$ is nonempty because  $\delta_1 \cdots \delta_{n-1}\mu_n$  is a member, and thus we may choose a minimal idempotent  $\mu_1$  of  $X \cap \beta W_n$  which is in this right ideal of  $\beta W_n$  and also in the left ideal  $(X \cap \beta W_n)p_n$ .

35

Thus we have chosen minimal idempotents  $\mu_1, \mu_2, \ldots, \mu_n$  in  $\beta W_n$  which satisfy the following conditions:

$$(*) \qquad \begin{array}{l} \mu_{i} \in Xp_{n} \text{ for all } i \in \{1, 2, \dots, n\}; \\ p_{n-1}\delta_{1}\cdots\delta_{i-1}\mu_{i} \in p_{n}X \text{ for all } i \in \{2, 3, \dots, n\}; \\ p_{n-1}\mu_{1} \in p_{n}X; \text{ and} \\ \mu_{i} \in \delta_{i}\cdots\delta_{n-1}\mu_{n}X \text{ for all } i \in \{1, 2, 3, \dots, n-1\} \end{array}$$

By a left-right switch of these arguments, we can chose minimal idempotents  $\mu'_1, \mu'_2, \ldots, \mu'_n$  in  $\beta W_n$  which satisfy the following conditions:

$$(**) \qquad \begin{array}{l} \mu_{i}' \in p_{n}X \text{ for all } i \in \{1, 2, \dots, n\}; \\ \mu_{i}'\delta_{i-1}' \cdots \delta_{1}'p_{n-1} \in Xp_{n} \text{ for all } i \in \{2, 3, \dots, n\}; \\ \mu_{1}'p_{n-1} \in Xp_{n}; \text{ and} \\ \mu_{i}' \in X\mu_{n}'\delta_{n-1}' \cdots \delta_{i}' \text{ for all } i \in \{1, 2, 3, \dots, n-1\}. \end{array}$$

(While  $\beta W$  is right topological and not left topological, all of the algebraic facts that we are using in this proof are valid from both sides.)

For  $i \in \{1, 2, ..., n\}$ , let  $\epsilon_i = h_{w_{n+1,n-i}}(\mu_i)$ , let  $\epsilon'_i = h_{w_{n+1,n-i}}(\mu'_i)$ , and note that  $\epsilon_i, \epsilon'_i \in X \cap \beta W_{n+1}$ . Then  $p_n \epsilon_1 \cdots \epsilon_n (X \cap \beta W_{n+1})$ and  $(X \cap \beta W_{n+1}) \epsilon'_n \cdots \epsilon'_1 p_n$  are respectively right and left ideals of  $(X \cap \beta W_{n+1})$ . Pick a minimal idempotent  $p_{n+1}$  of  $(X \cap \beta W_{n+1})$ such that

 $p_{n+1} \in p_n \epsilon_1 \cdots \epsilon_n (X \cap \beta W_{n+1}) \cap (X \cap \beta W_{n+1}) \epsilon'_n \cdots \epsilon'_1 p_n.$ 

Since  $\{w \in W_{n+1} : v_n \text{ occurs in } w\} \in \epsilon_1, p_{n+1} \in \beta S_{n+1}$ . Consequently,  $p_{n+1}$  is minimal in  $X \cap \beta S_{n+1}$ .

We now claim that the induction hypotheses are satisfied for n+1 with  $\eta_i$ ,  $\eta'_i$ ,  $\delta_i$ ,  $\delta'_i$ ,  $\gamma_i$ , and  $\gamma'_i$  replaced by  $\mu_i$ ,  $\mu'_i$ ,  $\epsilon_i$ ,  $\epsilon'_i$ ,  $\delta_i$ , and  $\delta'_i$  respectively. That is, we claim that

- (a) For each  $i \in \{0, 1, ..., n+1\}$ ,  $p_i$  is a minimal idempotent of  $X \cap \beta S_i$ .
- (b) For each  $i \in \{1, 2, ..., n+1\}$ ,  $p_i \le p_{i-1}$  and  $h_u(p_i) = p_{i-1}$  for every  $u \in [A]\binom{i}{i-1}$ .
- (c) For every  $i \in \{1, 2, ..., n\}$ ,  $\mu_i$  and  $\mu'_i$  are minimal idempotents in  $X \cap \beta W_n$ .
- (d) For every  $i \in \{1, 2, ..., n\}$ ,  $\mu_i \in Xp_n$  and  $\mu'_i \in p_n X$ .

(e) For 
$$i \in \{1, 2, ..., n\}$$
,  $\epsilon_i = h_{w_{n+1,n-i}}(\mu_i)$ ,  $\epsilon'_i = h_{w_{n+1,n-i}}(\mu'_i)$ ,  
 $p_{n+1} \in p_n \epsilon_1 \cdots \epsilon_n X$ , and  
 $p_{n+1} \in X \epsilon'_n \cdots \epsilon'_1 p_n$ .

(f) For every  $i \in \{1, 2, ..., n-1\}$ ,

$$\mu_i \in \delta_i \cdots \delta_{n-1} \mu_n X \text{ and} \\ \mu'_i \in X \mu'_n \delta'_{n-1} \cdots \delta'_i.$$

(g) For every choice of  $u_{n+1,i} \in U_{n+1,i}$  for  $i \in \{0, 1, ..., n\}$ , the entry in the row labeled by u and the column labeled by x in the following tables is  $h_u(x)$ .

u	$\setminus x$ :	$p_n$	$\epsilon_1$	$\epsilon_2$	$\epsilon_3$		$\epsilon_{n-1}$	$\epsilon_n$
$\overline{u_{n+1,n}}$		$p_n$						
$u_{n+1,n-1}$		$p_{n-1}$	$\mu_1$					
$u_{n+1,n-2}$		$p_{n-1}$	$\delta_1$	$\mu_2$				
$u_{n+1,n-3}$		$p_{n-1}$	$\delta_1$	$\delta_2$	$\mu_3$			
÷		÷	÷	:	÷	·		
$u_{n+1,1}$		$p_{n-1}$	$\delta_1$	$\delta_2$	$\delta_3$		$\mu_{n-1}$	
$u_{n+1,0}$		$p_{n-1}$	$\delta_1$	$\delta_2$	$\delta_3$		$\delta_{n-1}$	$\mu_n$

		,						
u	$\setminus x$ :	$\epsilon'_n$	$\epsilon_{n-1}'$		$\epsilon'_3$	$\epsilon_2'$	$\epsilon'_1$	$p_n$
$u_{n+1,n}$								$p_n$
$u_{n+1,n-1}$							$\mu'_1$	$p_{n-1}$
$u_{n+1,n-2}$						$\mu_2'$	$\delta'_1$	$p_{n-1}$
$u_{n+1,n-3}$					$\mu'_3$	$\delta_2'$	$\delta'_1$	$p_{n-1}$
:				·	:	:	:	:
$u_{m+1,1}$			11' 1		$\delta'_{\alpha}$	$\delta'_{\alpha}$	δ'ι	$n_{m-1}$
$\omega_{n+1,1}$		/	$\begin{vmatrix} \kappa n - 1 \\ \delta' \end{vmatrix}$	• • •	$\delta'_{3}$	$\delta_{\lambda}^{2}$	$\delta'_1$	$n^{pn-1}$
$a_{n+1,0}$		$\mu_n$	$  {}^{0}n-1$	• • •	$v_3$	$ ^{0}2$	$v_1$	$p_{n-1}$

# Table 3

# Table 4

All of these conclusions can be easily verified except (g) and the assertion in (b) that  $h_u(p_{n+1}) = h_u(p_n)$  for all  $u \in [A]\binom{n}{n-1}$ . We show first that this latter assertion follows from statement (g).

For any  $i \in \{0, 1, \ldots, n\}$ ,  $h_{u_{n+1},i}(p_{n+1}) \in X \cap \beta S_n$  and  $p_n$  is minimal in  $X \cap \beta S_n$ , so it suffices to show that  $h_{u_{n+1,i}}(p_{n+1}) \leq p_n$ .

Since  $p_{n+1} \leq p_n$  and  $h_{u_{n+1,n}}$  is the identity on  $W_n$ , we have that  $h_{u_{n+1,n}}(p_{n+1}) \leq h_{u_{n+1,n}}(p_n) = p_n$ .

Now let  $i \in \{0, 1, \ldots, n-1\}$  and let  $u = u_{n+1,i}$ . We have  $p_{n+1} \in p_n \epsilon_1 \cdots \epsilon_{n-i} X$  and so  $h_u(p_{n+1}) \in h_u(p_n \epsilon_1 \cdots \epsilon_{n-i}) X$  and by (\*) and Table 3,  $h_u(p_n \epsilon_1 \cdots \epsilon_{n-i}) \in p_n X$ . Also  $p_{n+1} \in X \epsilon'_{n-i} \cdots \epsilon'_1 p_n$  so  $h_u(p_{n+1}) \in X h_u(\epsilon'_{n-i} \cdots \epsilon'_1 p_n)$  and by (\*\*) and Table 4,

$$h_u(\epsilon'_{n-i}\cdots\epsilon'_1p_n)\in Xp_n$$
.

It thus suffices to verify the entries of Table 3 and Table 4. We shall write out the verification for Table 3. The verification for Table 4 follows by a left-right switch of the arguments. To this end, let a choice of  $u_{n+1,i} \in U_{n+1,i}$  for  $i \in \{0, 1, \ldots, n\}$  be given.

We have that  $h_{u_{n+1,n}}$  is the identity on  $S_n$  so  $h_{u_{n+1,n}}(p_n) = p_n$ . For  $i \in \{0, 1, ..., n-1\}$ ,  $h_{u_{n+1,i}} = h_{u_{n,i}}$  on  $S_n$  so  $h_{u_{n+1,i}}(p_n) = h_{u_{n,i}}(p_n) = p_{n-1}$  by hypothesis (b).

The diagonal entries are correct because  $\epsilon_i = h_{w_{n+1,n-i}}(\mu_i)$  for  $i \in \{1, 2, ..., n\}$  and  $h_{u_{n+1,n-i}} \circ h_{w_{n+1,n-i}}$  is the identity on  $W_n$ .

Let  $k \in \{1, 2, ..., n-1\}$ , let  $i \in \{0, 1, ..., n-k-1\}$ , and let  $u \in U_{n+1,i}$ . To finish the proof we need to show that  $h_u(\epsilon_k) = \delta_k$ . Now  $\epsilon_k = h_{w_{n+1,n-k}}(\mu_k)$  so we are showing that  $h_u(h_{w_{n+1,n-k}}(\mu_k)) = \delta_k$ . Since i < n-k, we have that

$$h_u(h_{w_{n+1,n-k}}(\mu_k)) = h_{w_{n,n-k-1}}(h_u(\mu_k)).$$

So it suffices to show that

$$h_{w_{n,n-k-1}}(h_u(\mu_k)) = \delta_k \,.$$

Now  $h_{w_{n,n-k-1}}(\eta_k) = \delta_k$  by hypothesis (e), so it suffices to show that  $h_u(\mu_k) = \eta_k$ . And since  $h_u(\mu_k)$  and  $\eta_k$  are idempotents in  $X \cap \beta W_{n-1}$  and  $\eta_k$  is minimal in  $X \cap \beta W_{n-1}$  it suffices to show that  $h_u(\mu_k) \leq \eta_k$ .

Now  $\mu_k \in Xp_n$  by (\*) so that  $h_u(\mu_k) \in Xh_u(p_n) = Xp_{n-1}$ , the equality holding by hypothesis (b). Since  $\eta_k \in Xp_{n-1}$  by hypothesis (d),  $\eta_k = \eta_k p_{n-1} \in (X \cap \beta W_{n-1})p_{n-1}$ . Since  $(X \cap \beta W_{n-1})p_{n-1}$ is a minimal left ideal of  $X \cap \beta W_{n-1}$ ,  $(X \cap \beta W_{n-1})\eta_k = (X \cap \beta W_{n-1})p_{n-1}$ . Thus we have that  $h_u(\mu_k) = h_u(\mu_k)p_{n-1} \in (X \cap \beta W_{n-1})p_{k-1}$ .

It remains to show that  $h_u(\mu_k) \in \eta_k X$ . We have by (\*) that  $\mu_k \in \delta_k \cdots \delta_{n-1}\mu_n X$ . If i = n - k - 1, we have that  $h_u(\mu_k) \in h_u(\delta_k) X = \eta_k X$  by hypothesis (g), so assume that i < n - k - 1. Then  $h_u(\mu_k) \in h_u(\mu_k) \in h_u(\mu_k)$ .

 $h_u(\delta_k)\cdots h_u(\delta_{n-i-1})X = \gamma_k\cdots \gamma_{n-i-2}\eta_{n-i-1}X$ , the equality holding by hypothesis (g). If i = 0, we have directly that  $h_u(\mu_k) \in \gamma_k\cdots \gamma_{n-2}\eta_{n-1}X$ . Otherwise  $\eta_{n-i-1} \in \gamma_{n-i-1}\cdots \gamma_{n-2}\eta_{n-1}X$  by hypothesis (f) so again  $h_u(\mu_k) \in \gamma_k\cdots \gamma_{n-2}\eta_{n-1}X$ . Also  $\eta_k \in \gamma_k\cdots \gamma_{n-2}\eta_{n-1}X$  by hypothesis (f). Now  $\eta_{n-1} \in K(X \cap \beta W_{n-1})$ and  $\gamma_k\cdots \gamma_{n-2} \in X \cap \beta W_{n-1}$  so  $\gamma_k\cdots \gamma_{n-2}\eta_{n-1} \in K(X \cap \beta W_{n-1})$ and thus as in the previous paragraph,  $h_u(\mu_k) \in \eta_k X$ .  $\Box$ 

# References

- S. Burns, The existence of disjoint smallest ideals in the two natural products on βS, Semigroup Forum 63 (2001), 191-201.
- T. Carlson, Some unifying principles in Ramsey Theory, Discrete Math. 68 (1988), 117-169.
- 3. T. Carlson, N. Hindman, and D. Strauss An infinitary extension of the Graham-Rothschild Theorem, manuscript. (Currently available at http://members.aol.com/nhindman/.)
- R. Graham and B. Rothschild, Ramsey's Theorem for n-parameter sets, Trans. Amer. Math. Soc. 159 (1971), 257-292.
- 5. R. Graham, B. Rothschild, and J. Spencer, *Ramsey Theory*, Wiley, New York, 1990.
- N. Hindman and D. Strauss, Algebra in the Stone-Čech compactification, de Gruyter, Berlin, 1998.
- H. Prömel and B. Voigt, Graham-Rothschild parameter sets, in <u>Mathematics</u> of <u>Ramsey Theory</u>, J. Nešetřil and V. Rödl, eds., Springer-Verlag, Berlin, 1990, 113-149.

Department of Mathematics, Ohio State University, Columbus, OH 43210

*E-mail address*: carlson@math.ohio-state.edu

DEPARTMENT OF MATHEMATICS, HOWARD UNIVERSITY, WASHINGTON, DC 20059

*E-mail address*: nhindman@aol.com, nhindman@howard.edu

DEPARTMENT OF PURE MATHEMATICS, UNIVERSITY OF HULL, HULL HU6 7RX, UK

*E-mail address*: d.strauss@maths.hull.ac.uk