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## Compact Subsemigroups of $(\beta\mathbb{N}, +)$ Containing the Idempotents

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**Abstract.** The space  $\beta\mathbb{N}$  is the Stone-Čech compactification of the discrete space of positive integers. The set of elements of  $\beta\mathbb{N}$  which are in the kernel of every continuous homomorphism from  $\beta\mathbb{N}$  to a topological group is a compact semigroup containing the idempotents. At first glance it would seem a good candidate for the smallest such semigroup. We produce an infinite nested sequence of smaller such semigroups, all defined naturally in terms of addition on  $\mathbb{N}$ .

**1. Introduction.** Given a discrete semigroup  $(S, \cdot)$  the operation can be extended to the Stone-Čech compactification  $\beta S$  of  $S$  so that  $(\beta S, \cdot)$  is a compact right topological semigroup. (See [12] for an elementary construction of this extension, with the caution that there  $\beta S$  is left rather than right topological.) As a compact right topological semigroup  $\beta S$  has idempotents [6, Corollary 2.10]. The existence of these idempotents, especially idempotents in the smallest ideal of  $\beta S$ , has important combinatorial consequences (See [11] and [15], for example.)

Of special interest are the semigroups  $(\mathbb{N}, +)$  and  $(\mathbb{N}, \cdot)$ , where  $\mathbb{N}$  is the set of positive integers. Let  $E = \{p \in \beta\mathbb{N} : p + p = p\}$  and let  $\Gamma = \text{cl}E$ . It turns out that  $\Gamma$  is a right ideal of  $(\beta\mathbb{N}, \cdot)$ . This fact provided the first (and for a long time only) proof of the following result: If  $\mathbb{N}$  is partitioned into finitely many cells, then there exist sequences  $\langle x_n \rangle_{n=1}^\infty$  and  $\langle y_n \rangle_{n=1}^\infty$  such that  $FS(\langle x_n \rangle_{n=1}^\infty) \cup FP(\langle y_n \rangle_{n=1}^\infty)$  is contained in one cell of the partition [9, Theorem 2.6]. (Here  $FS(\langle x_n \rangle_{n=1}^\infty) = \{\sum_{n \in F} x_n : F \text{ is a finite nonempty subset of } \mathbb{N}\}$  and  $FP(\langle y_n \rangle_{n=1}^\infty) = \{\prod_{n \in F} y_n : F \text{ is a finite nonempty subset of } \mathbb{N}\}$ ).

It is an intriguing fact that  $\Gamma$  is defined additively, is a right ideal, in particular a subsemigroup, of  $(\beta\mathbb{N}, \cdot)$ , and yet is not a subsemigroup of  $(\beta\mathbb{N}, +)$ . In fact there exist idempotents  $p$  and  $q$  in  $(\beta\mathbb{N}, +)$  such that  $p + q \notin \Gamma$ . (See Section 3 for the easy proof of this latter assertion.) An intriguing and potentially useful problem then arises: Characterize the smallest compact subsemigroup of  $(\beta\mathbb{N}, +)$  which contains the set  $E$  of idempotents.

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We take the points of  $\beta\mathbb{N}$  to be the ultrafilters on  $\mathbb{N}$ . The reader is referred to [12] for background material. We will often use the fact that  $A \in p + q$  if and only if  $\{x \in \mathbb{N} : A - x \in q\} \in p$ , where  $A - x = \{y \in \mathbb{N} : y + x \in A\}$ . (And similarly  $A \in p \cdot q$  if and only if  $\{x \in \mathbb{N} : A/x \in q\} \in p$ , where  $A/x = \{y \in \mathbb{N} : y \cdot x \in A\}$ .)

Homomorphisms to other algebraic structures are a useful tool for investigating the algebraic structure of  $\beta\mathbb{N}$ . For example, such homomorphisms were used in [13] to show that the maximal groups in the smallest ideal of  $(\beta\mathbb{N}, +)$  contain copies of the free group on  $2^c$  generators. Now given any continuous homomorphism from  $(\beta\mathbb{N}, +)$  to a compact topological group the kernel necessarily contains  $E$ . (It also must contain any element of finite order [1, Corollary 2.3]. Whether any such exist besides the idempotents is a difficult open problem.)

Let  $C$  be the intersection of the kernels of all continuous homomorphisms from  $(\beta\mathbb{N}, +)$  to arbitrary compact topological groups. (We use “ $C$ ” for kernel because  $K$  standardly represents the smallest ideal.) Then  $C$  is a compact semigroup containing  $E$  and at first glance seems like a good candidate for the smallest such. This turns out to fail badly, as we shall see.

The set  $\Gamma = c\ell E$  can be characterized as follows [11, Lemma 2.3(a)]: Let  $p \in \beta\mathbb{N}$ . Then  $p \in \Gamma$  if and only if for every  $A \in p$  there is a sequence  $\langle x_n \rangle_{n=1}^\infty$  with  $FS(\langle x_n \rangle_{n=1}^\infty) \subseteq A$ . In a similar fashion we define sets  $S_n \subseteq \beta\mathbb{N}$  for each  $n \in \mathbb{N} \setminus \{1\}$  as follows: Let  $p \in \beta\mathbb{N}$ . Then  $p \in S_n$  if and only if for each  $A \in p$ , there is a sequence  $\langle x_t \rangle_{t=1}^n$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq A$ . (Given an index set  $J$ ,  $FS(\langle x_i \rangle_{i \in J}) = \{\sum_{i \in F} x_i : F \text{ is a finite nonempty subset of } J\}$ .) In a similar vein define  $T$  and  $M$  by agreeing that, given  $p \in \beta\mathbb{N}$ ,  $p \in T$  if and only if whenever  $A \in p$ , there exist some  $a$  and some  $\langle y_t \rangle_{t=1}^\infty$  with  $a + FS(\langle y_t \rangle_{t=1}^\infty) \subseteq A$  and that  $p \in M$  if and only if whenever  $A \in p$  and  $n \in \mathbb{N}$ , there exist  $\langle x_t \rangle_{t=1}^n$  and  $\langle y_t \rangle_{t=1}^\infty$  such that  $FS(\langle x_t \rangle_{t=1}^n) + FS(\langle y_t \rangle_{t=1}^\infty) \subseteq A$ . It will be shown in Theorem 2.4 that  $T$  is the smallest closed left ideal of  $(\beta\mathbb{N}, +)$  containing the idempotents.

Let  $I$  be the semigroup generated by the set  $E$  of idempotents and let  $S_I$  be the smallest compact subsemigroup of  $(\beta\mathbb{N}, +)$  containing  $E$ . In Section 2 we investigate each of the objects defined above, show that all (except  $\Gamma$  and  $c\ell I$ ) are semigroups and show that the following pattern of inclusions holds:

$$\Gamma \subseteq$$

$$c\ell I \subseteq S_I \subseteq M \subseteq T \cap \bigcap_{n=2}^\infty S_n \subseteq \bigcap_{n=2}^\infty S_n \subseteq \dots \subseteq S_3 \subseteq S_2 \subseteq C.$$

$$I \subseteq$$

In Section 3 we show that  $\Gamma \setminus I \neq \emptyset$ ,  $I \setminus \Gamma \neq \emptyset$ ,  $T \setminus \bigcap_{n=1}^\infty S_n \neq \emptyset$ ,  $\bigcap_{n=1}^\infty S_n \setminus T \neq \emptyset$ , and

that all but one of the inclusions displayed above (including "...") is proper. (We have been unable to decide whether  $M = T \cap \bigcap_{n=2}^{\infty} S_n$ .) In Section 4 we present relationships between these sets and other structures.

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We conclude this introduction by displaying some results which we will utilise later.

**1.1 Lemma.** (a) Let  $p \in E$  and let  $A \in p$ . There exists  $\langle x_n \rangle_{n=1}^{\infty}$  such that  $FS(\langle x_n \rangle_{n=1}^{\infty}) \subseteq A$ .

(b) Let  $\langle x_n \rangle_{n=1}^{\infty}$  be a sequence in  $\mathbb{N}$ . There exist  $p \in E$  such that for all  $m \in \mathbb{N}$ ,  $FS(\langle x_n \rangle_{n=m}^{\infty}) \in p$ .

**Proof.** (a) This is what is show in the Galvin-Glazer proof of the Finite Sum Theorem. See [5, Theorem 10.3] or [12].

(b) [10, Lemma 2.4 and Theorem 2.5].  $\square$

**1.2 Lemma.** Let  $n$  and  $r$  be in  $\mathbb{N}$ . There is some  $m \in \mathbb{N}$  such that whenever  $\langle y_t \rangle_{t=1}^m$  is a sequence in  $\mathbb{N}$  and  $D_1, D_2, \dots, D_r$  are subsets of  $\mathbb{N}$  with  $FS(\langle y_t \rangle_{t=1}^m) \subseteq \bigcup_{i=1}^r D_i$ , there exist  $i \in \{1, 2, \dots, r\}$  and  $\langle x_t \rangle_{t=1}^n$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq D_i$ .

**Proof.** By the finite version of the Finite Unions Theorem [8, p. 82] pick  $m \in \mathbb{N}$  such that whenever the finite nonempty subsets of  $\{1, 2, \dots, m\}$  are covered by  $r$  cells, there will exist pairwise disjoint  $B_1, B_2, \dots, B_n$  with all sets of the form  $\bigcup_{t \in F} B_t$  in the same cell of the cover (for  $\emptyset \neq F \subseteq \{1, 2, \dots, n\}$ ).

Next let  $\langle y_t \rangle_{t=1}^m$  and  $\langle D_i \rangle_{i=1}^r$  be given with  $FS(\langle y_t \rangle_{t=1}^m) \subseteq \bigcup_{i=1}^r D_i$ . For each  $i \in \{1, 2, \dots, r\}$ , let  $H_i = \{F \subseteq \{1, 2, \dots, m\} : F \neq \emptyset \text{ and } \sum_{t \in F} y_t \in D_i\}$ . Pick  $i \in \{1, 2, \dots, r\}$  and pairwise disjoint  $B_1, B_2, \dots, B_n$  with  $\bigcup_{j \in F} B_j \in H_i$  whenever  $\emptyset \neq F \subseteq \{1, 2, \dots, n\}$ . Let  $x_j = \sum_{t \in B_j} y_t$  for  $j \in \{1, 2, \dots, n\}$ . Then given  $\emptyset \neq F \subseteq \{1, 2, \dots, n\}$ ,  $\sum_{j \in F} x_j = \sum_{j \in F} \sum_{t \in B_j} y_t$ . Since  $\bigcup_{j \in F} B_j \in H_i$  one has that  $\sum_{j \in F} x_j \in D_i$ .  $\square$

The following lemma is apparently originally due to Frolík.

**1.3 Lemma.** Let  $X$  and  $Y$  be  $\sigma$ -compact subsets of  $\beta\mathbb{N}$ . If  $clX \cap clY \neq \emptyset$ , then  $X \cap clY \neq \emptyset$  or  $Y \cap clX \neq \emptyset$ .

**Proof.** See [14, Lemma 1.1].  $\square$

**2. Inclusions among semigroups containing the idempotents.** We begin by displaying the definitions of the objects we are studying. Recall that  $E = \{p \in \beta\mathbb{N} : p + p = p\}$ .

**2.1 Definition.** (a)  $C = \{p \in \beta\mathbb{N} : \text{for any compact topological group } G \text{ and any continuous homomorphism } \varphi \text{ from } (\beta\mathbb{N}, +) \text{ to } G, \varphi(p) \text{ is the identity of } G\}$ .

(b) For  $n \in \mathbb{N} \setminus \{1\}$ ,  $S_n = \{p \in \beta\mathbb{N} : \text{for all } A \in p \text{ there exists } \langle x_t \rangle_{t=1}^n \text{ such that } FS(\langle x_t \rangle_{t=1}^n) \subseteq A\}$ .

(c)  $T = \{p \in \beta\mathbb{N} : \text{for all } A \in p \text{ there exist } a \in \mathbb{N} \text{ and } \langle y_t \rangle_{t=1}^\infty \text{ such that } a + FS(\langle y_t \rangle_{t=1}^\infty) \subseteq A\}$ .

(d)  $M = \{p \in \beta\mathbb{N} : \text{for all } A \in p \text{ and all } n \in \mathbb{N} \text{ there exist } \langle x_t \rangle_{t=1}^n \text{ and } \langle y_t \rangle_{t=1}^\infty \text{ such that } FS(\langle x_t \rangle_{t=1}^n) + FS(\langle y_t \rangle_{t=1}^\infty) \subseteq A\}$ .

(e)  $S_I = \bigcap \{S : S \text{ is a compact subsemigroup of } (\beta\mathbb{N}, +) \text{ and } E \subseteq S\}$ .

(f)  $I = \bigcap \{S : S \text{ is a semigroup of } (\beta\mathbb{N}, +) \text{ and } E \subseteq S\}$ .

(g)  $\Gamma = \{p \in \beta\mathbb{N} : \text{for all } A \in p \text{ there exists } \langle y_t \rangle_{t=1}^\infty \text{ such that } FS(\langle y_t \rangle_{t=1}^\infty) \subseteq A\}$ .

**2.2 Lemma.** *Each of the objects defined in Definition 2.1 contains  $E$  and all except  $I$  are compact.*

**Proof.** The idempotents are contained in  $\Gamma$  by Lemma 1.1(a). Clearly  $\Gamma$  is contained in each of  $M, T$ , and  $S_n$  (for  $n \in \mathbb{N} \setminus \{1\}$ ). The idempotents are contained in  $I$  and  $S_I$  by definition and are contained in  $C$  by elementary algebra.

That  $S_I$  and  $C$  are compact follows from elementary topology. The others all have definitions which begin “for all  $A \in p$ ” (and refer no more to  $p$ ). If a point  $p$  is not in the specified set it has a member  $A$  failing the definition. Then  $c\ell A$  is a neighborhood of  $p$  missing the specified set.  $\square$

We will see in the next section that  $I$  is not closed when we show that the inclusion  $I \subseteq c\ell I$  is proper.

**2.3 Lemma.** *Each of the objects defined in Definition 2.1 except  $\Gamma$  is a semigroup.*

**Proof.** That  $C, I$ , and  $S_I$  are semigroups follows by elementary algebra.

Let  $n \in \mathbb{N} \setminus \{1\}$  and let  $p, q \in S_n$ . To see that  $p + q \in S_n$ , let  $A \in p + q$ . Then  $\{x \in \mathbb{N} : A - x \in q\} \in p$  so pick  $\langle x_t \rangle_{t=1}^n$  such that  $FS(\langle x_t \rangle_{t=1}^n) \subseteq \{x \in \mathbb{N} : A - x \in q\}$ . Now  $FS(\langle x_t \rangle_{t=1}^n)$  is finite so if  $B = \bigcap \{A - a : a \in FS(\langle x_t \rangle_{t=1}^n)\}$  we have  $B \in q$ . Pick  $\langle y_t \rangle_{t=1}^n$  such that  $FS(\langle y_t \rangle_{t=1}^n) \subseteq B$ . We claim  $FS(\langle x_t + y_t \rangle_{t=1}^n) \subseteq A$ . To see this let  $\emptyset \neq F \subseteq \{1, 2, \dots, n\}$ . Then  $\sum_{t \in F} y_t \in B \subseteq A - \sum_{t \in F} x_t$  so  $\sum_{t \in F} (x_t + y_t) \in A$ .

That  $T$  is a semigroup follows from the fact that it is a left ideal which we will present in Theorem 2.4. To see that  $M$  is a semigroup, let  $p, q \in M$  and let  $A \in p + q$ . Let  $B = \{x \in \mathbb{N} : A - x \in q\}$ . Then  $B \in p$  so pick  $\langle x_t \rangle_{t=1}^n$  and  $\langle y_t \rangle_{t=1}^\infty$  such that  $FS(\langle x_t \rangle_{t=1}^n) + FS(\langle y_t \rangle_{t=1}^\infty) \subseteq B$ . In particular  $FS(\langle x_t + y_t \rangle_{t=1}^n) \subseteq B$ . Let

$D = \cap\{A - a : a \in FS(\langle x_t + y_t \rangle_{t=1}^n)\}$ . Then  $D \in q$  so pick  $\langle z_t \rangle_{t=1}^n$  and  $\langle w_t \rangle_{t=1}^\infty$  such that  $FS(\langle z_t \rangle_{t=1}^n) + FS(\langle w_t \rangle_{t=1}^\infty) \subseteq D$ . Then  $FS(\langle z_t + x_t + y_t \rangle_{t=1}^n) + FS(\langle w_t \rangle_{t=1}^\infty) \subseteq A$ .  
 $\square$

We shall see in Theorem 2.11 that  $\Gamma$  is not a semigroup.

**2.4 Theorem.**  *$T$  is the smallest closed left ideal of  $(\beta\mathbb{N}, +)$  which contains the idempotents and  $T = cl\bigcup\{\beta\mathbb{N} + p : p \in E\} = cl\bigcup\{\mathbb{N} + p : p \in E\}$ .*

**Proof.** By Lemma 2.2  $T$  is closed and contains the idempotents. To see that  $S$  is a left ideal let  $p \in \beta\mathbb{N}$  and  $q \in T$ . Let  $A \in p + q$ . Then  $\{x \in \mathbb{N} : A - x \in q\} \in p$  so pick  $x$  such that  $A - x \in q$ . Pick  $a$  and  $\langle y_t \rangle_{t=1}^\infty$  such that  $a + FS(\langle y_t \rangle_{t=1}^\infty) \subseteq A - x$ . Then  $x + a + FS(\langle y_t \rangle_{t=1}^\infty) \subseteq A$ .

As a closed left ideal containing the idempotents,  $T \supseteq cl\bigcup\{\beta\mathbb{N} + p : p \in E\}$ . To complete the proof, we show  $T \subseteq cl\bigcup\{\beta\mathbb{N} + p : p \in E\}$ . To this end let  $q \in T$  and let  $A \in q$ . Pick  $a$  and  $\langle y_t \rangle_{t=1}^\infty$  such that  $a + FS(\langle y_t \rangle_{t=1}^\infty) \subseteq A$ . Pick by Lemma 1.1  $p \in E$  with  $FS(\langle y_t \rangle_{t=1}^\infty) \in p$ . Then  $A \in a + p$  so  $(clA) \cap (\mathbb{N} + p) \neq \emptyset$ .  $\square$

- 2.5 Theorem.**
- (a)  $\Gamma \subseteq clI$
  - (b)  $clI \subseteq S_I$
  - (c)  $S_I \subseteq M$
  - (d)  $M \subseteq T \cap \bigcap_{n=2}^\infty S_n$
  - (e) For each  $n \in \mathbb{N} \setminus \{1\}$ ,  $S_{n+1} \subseteq S_n$ .
  - (f)  $S_2 \subseteq C$ .

**Proof.** Statements (b), (d), and (e) are trivial and (c) follows immediately from the fact that  $M$  is a compact subsemigroup of  $\beta\mathbb{N}$  containing the idempotents. By [11, Lemma 2.3],  $\Gamma = clE$  so (a) holds.

To verify (f), let  $p \in S_2$  and let  $\varphi$  be a continuous homomorphism from  $(\beta\mathbb{N}, +)$  to a topological group  $(G, +)$  with identity 0. Suppose that  $\varphi(p) = a \neq 0$ . Then  $a \neq a + a$  so pick a neighborhood  $V$  of  $a$  such that  $V \cap (V + V) = \emptyset$ . Pick  $A \in p$  such that  $\varphi[clA] \subseteq V$  and pick  $x_1$  and  $x_2$  with  $\{x_1, x_2, x_1 + x_2\} \subseteq A$ . Then  $\varphi(x_1 + x_2) \in V \cap (V + V)$ , a contradiction.  $\square$

The following simple result allows us to tell when a set  $A$  has closure intersecting various of our special semigroups. For example, it tells us that for  $A \subseteq \mathbb{N}$  and  $n \in \mathbb{N} \setminus \{1\}$ ,  $clA \cap S_n \neq \emptyset$  if and only if whenever  $F$  is a finite partition of  $A$  there exist  $B \in F$  and  $\langle x_t \rangle_{t=1}^n$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq B$ . (Let  $\mathcal{G} = \{FS(\langle x_t \rangle_{t=1}^n) : \langle x_t \rangle_{t=1}^n \text{ is an } n\text{-term sequence in } \mathbb{N}\}$ . Then  $S_n = \{p \in \beta\mathbb{N} : \text{for each } A \in p \text{ there exists } G \in \mathcal{G} \text{ with } G \subseteq A\}$ .)

**2.6 Theorem.** *Let  $X$  be a discrete space, let  $A \subseteq X$ , and let  $\mathcal{G} \subseteq \mathcal{P}(X)$ . The following statements are equivalent.*

- (a) *There exists  $p \in \text{cl}A$  such that for every  $B \in p$  there exists  $G \in \mathcal{G}$  with  $G \subseteq B$ .*
- (b) *Whenever  $\mathcal{F}$  is a finite partition of  $A$  there exist  $B \in \mathcal{F}$  and  $G \in \mathcal{G}$  with  $G \subseteq B$ .*
- (c) *When  $\mathcal{F}$  is finite and  $\bigcup \mathcal{F} = A$ , there exist  $B \in \mathcal{F}$  and  $G \in \mathcal{G}$  with  $G \subseteq B$ .*

**Proof.** That (a) implies (b) and (b) implies (c) is trivial.

To see that (c) implies (a), it suffices to show that  $\{A\} \cup \{\mathbb{N} \setminus B : B \subseteq \mathbb{N} \text{ and for all } G \in \mathcal{G}, G \setminus B \neq \emptyset\}$  has the finite intersection property, since any ultrafilter  $p$  extending this family is as required by (a). But a failure of the finite intersection property would make  $A = \bigcup \mathcal{F}$  where  $\mathcal{F}$  is finite and for each  $B \in \mathcal{F}$ , one has no  $G \in \mathcal{G}$  with  $G \subseteq B$ , contradicting (c).  $\square$

**2.7 Theorem.** *Let  $A \subseteq \mathbb{N}$ . Then  $(\text{cl}A) \cap \bigcap_{n=2}^{\infty} S_n \neq \emptyset$  if and only if for every  $n \in \mathbb{N}$  there exists  $\langle x_t \rangle_{t=1}^n$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq A$ .*

**Proof.** The necessity is an immediate consequence of Theorem 2.6.

Sufficiency. We have by Lemma 2.2 and Theorem 2.5 that  $\{(\text{cl}A) \cap S_n : n \in \mathbb{N} \setminus \{1\}\}$  is a nested collection of closed sets so it suffices to show that  $(\text{cl}A) \cap S_n \neq \emptyset$  for each  $n \in \mathbb{N} \setminus \{1\}$ . To this end let  $n \in \mathbb{N} \setminus \{1\}$  and let  $\mathcal{F}$  be a finite partition of  $A$ . Let  $r = |\mathcal{F}|$  and pick  $m$  as guaranteed by Lemma 1.2 for  $n$  and  $r$ . Pick  $\langle y_t \rangle_{t=1}^m$  with  $FS(\langle y_t \rangle_{t=1}^m) \subseteq A$ . By Lemma 1.2 pick  $B \in \mathcal{F}$  and  $\langle x_t \rangle_{t=1}^n$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq B$ .  $\square$

The following notion, used to characterize members of  $C$ , is of independent interest.

**2.8 Definition.** Let  $A \subseteq \mathbb{N}$ . Then  $A$  is a *rational approximation set* if and only if whenever  $F$  is a finite nonempty subset of  $\mathbb{R}$  and  $\epsilon > 0$ , there exists some  $n \in A$  such that for each  $x \in F$  there exists  $m \in \mathbb{Z}$  with  $|x - m/n| < \epsilon/n$ .

**2.9 Lemma.** *Let  $p \in \beta\mathbb{N}$ . The following statements are equivalent.*

- (a)  $p \in C$ ;
- (b) for each  $A \in p$ ,  $A$  is a rational approximation set;
- (c) for each  $A \in p$ , each  $x \in \mathbb{R}$ , and each  $\epsilon > 0$  there exist  $n \in A$  and  $m \in \mathbb{Z}$  with  $|x - m/n| < \epsilon/n$ .

**Proof.** To see that (a) implies (b), let  $A \in p$  and let finite nonempty  $F \subseteq \mathbb{R}$  be given. Write  $F = \{x_1, x_2, \dots, x_k\}$ . We view the circle group  $\mathbb{T}$  as  $\mathbb{R}/\mathbb{Z}$ , denoting by  $[x]$  the equivalence class  $x + \mathbb{Z}$ . Define  $h : \mathbb{N} \rightarrow \prod_{i=1}^k \mathbb{T}$  by  $h(n) = ([nx_1], [nx_2], \dots, [nx_k])$ . Then  $h$  is a homomorphism so the continuous extension  $h^\beta : \beta\mathbb{N} \rightarrow \prod_{i=1}^k \mathbb{T}$  is a homomorphism, as was observed by Milnes [17]. Since  $p \in C$ ,  $h^\beta(p) = ([0], [0], \dots, [0])$  so pick

$B \in p$  such that  $h^\beta[\text{cl}B] \subseteq \{([y_1], [y_2], \dots, [y_k]) : \text{for each } i \in \{1, 2, \dots, k\}, -\epsilon < y_i < \epsilon\}$ . Pick  $n \in B \cap A$ . Since  $n \in B$ , pick for each  $i \in \{1, 2, \dots, k\}$  some  $y_i$  with  $-\epsilon < y_i < \epsilon$  such that  $[nx_i] = [y_i]$ . Given  $i \in \{1, 2, \dots, k\}$ , pick  $m_i \in \mathbb{Z}$  such that  $nx_i = y_i + m_i$  then  $-\epsilon < nx_i - m_i < \epsilon$  so  $|x_i - m_i/n| < \epsilon/n$ .

That (b) implies (c) is trivial.

To see that (c) implies (a), observe that it suffices to show that given any continuous homomorphism  $\varphi : \beta\mathbb{N} \rightarrow \mathbb{T}$  one has  $\varphi(p) = [0]$ . (See for example the introduction to [1].) So let such  $\varphi$  be given and pick  $x \in \mathbb{R}$  with  $[x] = \varphi(1)$ . Suppose that  $\varphi(p) \neq [0]$  and pick  $\epsilon > 0$  such that  $\varphi(p) \notin \{[y] : -\epsilon \leq y \leq \epsilon\}$ . Pick  $A \in p$  such that  $\varphi[\text{cl}A] \cap \{[y] : -\epsilon \leq y \leq \epsilon\} = \emptyset$ . Pick  $n \in A$  and  $m \in \mathbb{Z}$  such that  $|x - m/n| < \epsilon/n$  and let  $y = nx - m$ . Then  $\varphi(n) = [y]$  and  $-\epsilon < y < \epsilon$ , a contradiction.  $\square$

**2.10 Theorem.** *Let  $A \subseteq \mathbb{N}$ . Then  $\text{cl}A \cap C \neq \emptyset$  if and only if  $A$  is a rational approximation set.*

**Proof.** Necessity. Pick  $p \in \text{cl}A \cap C$ . By Lemma 2.9,  $A$  is a rational approximation set.

Sufficiency. Let  $\mathcal{G} = \{B \subseteq \mathbb{N} : B \text{ is a rational approximation set.}\}$  It is an easy consequence of the definition of rational approximation sets that whenever  $\mathcal{F}$  is a finite partition of  $A$ , one has  $\mathcal{F} \cap \mathcal{G} \neq \emptyset$ . Thus by Theorem 2.6 there is some  $p \in \text{cl}A$  such that for every  $B \in p$  there is some  $G \in \mathcal{G}$  with  $G \subseteq B$  (and hence  $B \in \mathcal{G}$ ). Then by Lemma 2.9  $p \in C$ .  $\square$

**2.11 Theorem.**  *$\Gamma$  is not a semigroup. In fact  $(E + E) \setminus \Gamma \neq \emptyset$ .*

**Proof.** Pick by Lemma 1.1(b) idempotents  $p$  and  $q$  such that  $FS(\langle 2^{2t} \rangle_{t=m}^\infty) \in p$  and  $FS(\langle 2^{2t+1} \rangle_{t=m}^\infty) \in q$  for each  $m \in \mathbb{N}$ . Let  $A = \{\sum_{t \in F} 2^{2t} + \sum_{t \in G} 2^{2t+1} : F \text{ and } G \text{ are finite nonempty subsets of } \mathbb{N} \text{ and } \max F < \min G\}$ . We claim that  $A \in p + q$ . To see this it suffices to show that  $FS(\langle 2^{2t} \rangle_{t=1}^\infty) \subseteq \{x \in \mathbb{N} : A - x \in q\}$  so let  $F$  be a finite nonempty subset of  $\mathbb{N}$  and let  $m = \max F + 1$ . Then  $FS(\langle 2^{2t+1} \rangle_{t=m}^\infty) \subseteq A - \sum_{t \in F} 2^{2t}$  so  $A - \sum_{t \in F} 2^{2t} \in q$ .

Now suppose  $p + q \in \Gamma$ . Then pick a sequence  $\langle y_t \rangle_{t=1}^\infty$  with  $FS(\langle y_t \rangle_{t=1}^\infty) \subseteq A$ . Pick  $F_1$  and  $G_1$  with  $\max F_1 < \min G_1$  such that  $y_1 = \sum_{t \in F_1} 2^{2t} + \sum_{t \in G_1} 2^{2t+1}$ . Let  $m = \max G_1 + 1$ . Pick nonempty  $H \subseteq \mathbb{N} \setminus \{1\}$  such that  $2^{2m}$  divides  $\sum_{t \in H} y_t$ . (Take any  $2^m$  elements with all  $y_t$  in the same congruence class mod  $2^{2m}$ .) Pick  $F_2$  and  $G_2$  with  $\max F_2 < \min G_2$  such that  $\sum_{t \in H} y_t = \sum_{t \in F_2} 2^{2t} + \sum_{t \in G_2} 2^{2t+1}$ . Since  $2^{2m}$  divides  $\sum_{t \in H} y_t$  we have  $\min F_2 \geq m$ . Thus  $y_1 + \sum_{t \in H} y_t = \sum_{t \in F_1} 2^{2t} + \sum_{t \in G_1} 2^{2t+1} +$

$\sum_{t \in F_2} 2^{2t} + \sum_{t \in G_2} 2^{2t+1}$  where  $\max F_1 < \min G_1 < \max G_1 < \min F_2 < \max F_2 < \min G_2$  so by uniqueness of binary expansion,  $y_1 + \sum_{t \in H} y_t \notin A$ , a contradiction.  $\square$

Our proof that  $clI$  is not a semigroup is in some respects similar to the proof that  $\Gamma$  is not a semigroup. However, instead of the binary expansion of integers we use the factorial expansion,  $x = \sum_{t \in F} a_t \cdot t!$  where each  $a_t \in \{1, 2, \dots, t\}$ . In the proof we also utilize in an incidental fashion the semigroup  $(\beta\mathbb{N}, \cdot)$ .

**2.12 Theorem.**  *$clI$  is not a semigroup. In fact  $(E + \Gamma) \setminus clI \neq \emptyset$ .*

**Proof.** Since  $\Gamma \subseteq clI$ , the second statement implies the first. Let  $A = \{\sum_{n \in F} n! + \sum_{n \in G} k \cdot n! : F \text{ and } G \text{ are finite nonempty subsets of } \mathbb{N} \text{ and } \max F < \min G \text{ and } k \in \mathbb{N} \text{ and } k \leq \min G\}$ . Define  $g : \mathbb{N} \rightarrow \mathbb{N}$  by  $g(x) = a_\ell$  where  $x = \sum_{t \in F} a_t \cdot t!$ , each  $a_t \in \{1, 2, \dots, t\}$ , and  $\ell = \max F$ . That is  $g(x)$  is the leftmost nonzero digit in the factorial expansion of  $x$ . Denote also by  $g$  its continuous extension from  $\beta\mathbb{N}$  to  $\beta\mathbb{N}$ .

We claim that:

$$(1) \quad \text{If } q \in \bigcap_{n=1}^{\infty} cl(\mathbb{N}n), \text{ then } g(p+q) = g(q) \text{ for all } p \in \beta\mathbb{N}.$$

To see this, suppose instead there is some  $B \subseteq \mathbb{N}$  with  $g(p+q) \in clB$  and  $g(q) \in cl(\mathbb{N} \setminus B)$ . Pick  $C \in p+q$  and  $D \in q$  with  $g[clC] \subseteq clB$  and  $g[clD] \subseteq cl(\mathbb{N} \setminus B)$ . Since  $C \in p+q$  pick  $x \in \mathbb{N}$  with  $C-x \in q$ . Pick  $y \in (C-x) \cap D \cap \mathbb{N}x!$ . Then  $y+x \in C$  so  $g(y+x) \in B$ . But  $g(y+x) = g(y) \in \mathbb{N} \setminus B$ , a contradiction.

Next we claim:

$$(2) \quad \text{If } q \in E \text{ and } clA \cap (\beta\mathbb{N} + q) \neq \emptyset, \text{ then } g(q) \in \mathbb{N}.$$

To see this suppose that  $g(q) \notin \mathbb{N}$ , so that for each  $k$ ,  $D_k = \{m \in \mathbb{N} : g(m) > k\} \in q$ . Pick  $p \in \beta\mathbb{N}$  with  $p+q \in clA$ . Let  $B = \{m+n : m, n \in \mathbb{N} \text{ and } g(n) > g(m) > 1 \text{ and } n \in \mathbb{N}m!\}$ . We show that  $B \in p+q$  which will be a contradiction since  $B \cap A = \emptyset$ . We claim in fact that for all  $x \in \mathbb{N}$ ,  $B-x \in q$ . For this, since  $q = q+q$ , it suffices to show that  $(\mathbb{N}x!) \cap D_1 \subseteq \{m \in \mathbb{N} : (B-x) - m \in q\}$  so let  $m \in (\mathbb{N}x!) \cap D_1$ . Then  $D_{g(m)} \cap \mathbb{N}m! \subseteq (B-x) - m$  (since  $g(m+x) = g(m)$ ) so  $(B-x) - m \in q$ .

Next we claim:

$$(3) \quad \text{If } p \in cl(FS(\langle n! \rangle_{n=1}^{\infty})) \cap \bigcap_{n=1}^{\infty} cl(\mathbb{N}n) \text{ and } r \in \beta\mathbb{N}, \text{ then } g(r \cdot p) = r.$$

To see this it suffices to show that for all  $n \in \mathbb{N}$ ,  $g(n \cdot p) = n$ , so let  $n \in \mathbb{N}$  be given. Let  $B = \{\sum_{t \in F} t! : F \text{ is a finite nonempty subset of } \mathbb{N} \text{ and } \min F \geq n\}$ . Then  $B \in p$  so  $n \cdot B \in n \cdot p$  and  $g[n \cdot B] = \{n\}$ . Now by Lemma 1.1 pick  $p \in E \cap clFS(\langle n! \rangle_{n=1}^{\infty})$  and let  $r \in \beta\mathbb{N} \setminus \mathbb{N}$ . Now for each  $x \in \mathbb{N}$ ,  $x \cdot p \in E$  so  $r \cdot p \in \Gamma$ . Let  $s = p + r \cdot p$ . We show that



$s \notin clI$ . Suppose instead that  $s \in clI$ . Observe that  $A \in s$ . Indeed  $FS(\langle n! \rangle_{n=1}^\infty) \subseteq \{x \in \mathbb{N} : A - x \in r \cdot p\}$ . (Given  $\sum_{n \in F} n!$  one sees that  $\mathbb{N} \setminus \{1\} \subseteq \{k : (A - \sum_{n \in F} n!)/k \in p\}$  by noting that  $\{\sum_{n \in G} n! : \min G > \max F \text{ and } \min G \geq k\} \subseteq (A - \sum_{n \in F} n!)/k$ .)

We claim that  $s \in cl \bigcup_{k=1}^\infty (I \cap g^{-1}[\{k\}])$ . To see this, let  $B \in s$ . Since  $s \in clI$ , we have  $cl(A \cap B) \cap I \neq \emptyset$  so we may pick  $\ell \in \mathbb{N}$  and  $q_1, q_2, \dots, q_\ell \in E$  with  $q_1 + q_2 + \dots + q_\ell \in cl(A \cap B)$ . We may presume  $\ell \geq 2$ . Now by (2) we have  $g(q_\ell) \in \mathbb{N}$ . Let  $k = g(q_\ell)$ . By (1),  $g(q_1 + q_2 + \dots + q_\ell) = k$  so  $clB \cap (I \cap g^{-1}[\{k\}]) \neq \emptyset$ .

Now also  $s \in cl(\mathbb{N} + r \cdot p)$  so  $cl(\mathbb{N} + r \cdot p) \cap cl \bigcup_{k=1}^\infty (I \cap g^{-1}[\{k\}]) \neq \emptyset$  so by Lemma 1.3 either one has some  $n \in \mathbb{N}$  with  $n + r \cdot p \in cl \bigcup_{k=1}^\infty (I \cap g^{-1}[\{k\}]) \subseteq \bigcap_{m=1}^\infty cl(\mathbb{N}m)$  or one has some  $q \in \beta\mathbb{N}$  and some  $k \in \mathbb{N}$  with  $q + r \cdot p \in cl(I \cap g^{-1}[\{k\}]) \subseteq g^{-1}[\{k\}]$ . The first possibility would imply that  $n \in \bigcap_{m=1}^\infty cl(\mathbb{N}m)$ . The second would imply that  $g(q + r \cdot p) = k$  while by (1) and (3)  $g(q + r \cdot p) = g(r \cdot p) = r \notin \mathbb{N}$ .  $\square$

**3. The inclusions are proper.** We show in this section that the objects mentioned in Theorem 2.5 are all distinct (except that we have been unable to determine whether  $M = T \cap \bigcap_{n=2}^\infty S_n$ ). We proceed from the left in the inclusion diagram from the introduction.

**3.1 Theorem.**  $I \setminus \Gamma \neq \emptyset$  and  $\Gamma \setminus I \neq \emptyset$ .

**Proof.** That  $I \setminus \Gamma \neq \emptyset$  follows from Theorem 2.11. That  $\Gamma \setminus I \neq \emptyset$  follows from Theorem 2.12 since  $E + I \subseteq I$ .  $\square$

In the following theorem (and the rest of this section) the inclusions hold by Theorem 2.5 (or are completely trivial). We concentrate on establishing the inequalities.

**3.2 Theorem.**  $\Gamma \subsetneq clI$ ,  $I \subsetneq clI$ , and  $clI \subsetneq S_I$ .

**Proof.** That  $\Gamma \neq clI$  follows from the fact from Theorem 3.1 that  $I \setminus \Gamma \neq \emptyset$ . The remaining two conclusions follow from the fact (Theorem 2.12) that  $clI$  is not a semigroup.  $\square$

We produce in the following lemma another closed subsemigroup of  $\beta\mathbb{N}$  containing the idempotents. It was not included in those discussed in Section 2 because its definition is less natural than those defined there. When we write  $\sum_{t \in F} a_t \cdot t!$ , we shall assume  $F$  is finite and nonempty and each  $a_t \in \{1, 2, \dots, t\}$ .

**3.3 Lemma.** Let  $B = \{\sum_{t \in F} a_t \cdot t! : (1) F \text{ is a finite nonempty subset of } \mathbb{N}; (2) \text{ for each } t \in F, a_t \in \{1, 2, \dots, t\}; (3) \text{ there exists } t \in F \text{ such that } a_t > 1; \text{ and } (4) \text{ whenever } n, t \in F \text{ with } t < n \text{ either } a_t = a_n = 1 \text{ or } a_t > a_n\}$ . Then  $(\bigcap_{n=1}^\infty cl\mathbb{N}n) \setminus clB$  is a closed subsemigroup of  $(\beta\mathbb{N}, +)$  containing the idempotents.

**Proof.** To see that it is a semigroup, let  $p, q \in (\bigcap_{n=1}^{\infty} c\ell\mathbb{N}n) \setminus c\ell B$ . Then  $p + q \in \bigcap_{n=1}^{\infty} c\ell\mathbb{N}n$  so we only need to show that  $\mathbb{N} \setminus B \in p + q$ . To this end and we let  $x \in \mathbb{N} \setminus B$  and show that  $(\mathbb{N} \setminus B) - x \in q$ . Write  $x = \sum_{t \in F} a_t \cdot t!$  and let  $m = \max F + 1$ . We show that  $\mathbb{N}m! \subseteq (\mathbb{N} \setminus B) - x$ , so let  $y \in \mathbb{N}m!$  and write  $y = \sum_{t \in G} b_t \cdot t!$  and note that  $\min G \geq m$ .

Now  $x \notin B$ . Assume first that for all  $t \in F$ ,  $a_t = 1$ . If for all  $t \in G$ ,  $b_t = 1$ , we have  $y + x \notin B$  so assume for some  $n \in G$ ,  $b_n > 1$ . Pick any  $t \in F$ . Then  $t < n$  and  $a_t = 1 < b_n$  so again  $y + x \notin B$ . Now assume we have  $t < n$  in  $F$  with  $a_t \leq a_n$  and it is not the case that  $a_t = a_n = 1$ . Then directly we have  $y + x$  fails to satisfy (4) of the definition so  $y + x \notin B$ .

Now let  $p \in E$ . Then  $p \in \bigcap_{n=1}^{\infty} c\ell\mathbb{N}n$  so we show that  $\mathbb{N} \setminus B \in p$ . Suppose instead that  $B \in p$  and let  $D = \{\sum_{t \in F} t! : F \text{ is a finite nonempty subset of } \mathbb{N}\}$ . Then  $D \subseteq \mathbb{N} \setminus B$  so if  $D \in p$  we are done. Assume  $D \notin p$ .

Assume that for some  $k \geq 2$ ,  $\{\sum_{t \in F} a_t \cdot t! : \min F \geq k \text{ and } \{a_t : t \in F\} \subseteq \{1, 2, \dots, k\}\} \in p$ . Since  $p = p + p + \dots + p$  ( $k$  times) and  $p \in \bigcap_{n=1}^{\infty} c\ell\mathbb{N}n$  we have that  $\{\sum_{t \in F} a_t \cdot t! : |F| \geq k\} \in p$ . Let  $E = B \cap \{\sum_{t \in F} a_t \cdot t! : \min F \geq k \text{ and } |F| \geq k \text{ and } \{a_t : t \in F\} \subseteq \{1, 2, \dots, k\}\}$ . Then  $E \in p$  so pick  $x \in E$  such that  $E - x \in p$ . Write  $x = \sum_{t \in F} a_t \cdot t!$  and let  $m = \max F + 1$ . Pick  $y \in \mathbb{N}m! \cap (E - x)$ , and write  $y = \sum_{t \in G} b_t \cdot t!$ . Since  $x \in B$  and  $|F| \geq k$  and each  $a_t \leq k$ , there is some  $t \in F$  with  $a_t = 1$ . Since  $y \in E$ ,  $y \in B$  so  $y \notin D$  so there is some  $n \in G$  with  $b_n > 1$ . But then  $t < n$  and  $a_t < b_n$  so  $y + x \notin B$  so  $y + x \notin E$  a contradiction.

Thus it must be the case that for all  $k \in \mathbb{N}$ ,  $E_k = \{\sum_{t \in F} a_t \cdot t! : \{a_t : t \in F\} \setminus \{1, 2, \dots, k\} \neq \emptyset\} \in p$ . Since  $B \in p$ , pick  $x$  such that  $B - x \in p$  and write  $x = \sum_{t \in F} a_t \cdot t!$ . Let  $k = \max\{a_t : t \in F\}$  and let  $m = \max F + 1$ . Pick  $y \in \mathbb{N}m! \cap E_k \cap (B - x)$  and write  $y = \sum_{t \in G} b_t \cdot t!$ . Pick  $n \in G$  such that  $b_n > k$  and pick any  $t \in F$ . Then  $t < n$  and  $b_n > a_t$  so  $y + x \notin B$ , a contradiction.  $\square$

**3.4 Theorem.**  $S_I \subsetneq M$ .

**Proof.** Let  $B$  be as in Lemma 3.3 and let  $H = \{\sum_{t \in F} a_t \cdot t! : \text{whenever } n, t \in F \text{ with } t < n \text{ one has } a_t > a_n\}$ . Observe that given any  $n \in \mathbb{N}$  there exists  $\langle x_t \rangle_{t=1}^n$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq H$ . (For example let  $x_t = (n+1-t) \cdot (n+t)!$ .) Thus by Theorem 2.7 we may pick  $p \in c\ell H \cap \bigcap_{n=2}^{\infty} S_n$ . By Lemma 1.1 pick  $q = q + q \in \bigcap_{m=1}^{\infty} c\ell(FS(\langle t! \rangle_{t=m}^{\infty}))$ . We claim that  $p + q \in M \cap c\ell B$  (so that by Lemma 3.3,  $p + q \in M \setminus S_I$ ).

To see that  $p + q \in M$ , let  $A \in p + q$  and let  $n \in \mathbb{N}$  be given. Since  $\{x \in \mathbb{N} : A - x \in q\} \in p$  and  $p \in S_n$ , pick  $\langle x_t \rangle_{t=1}^n$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq \{x \in \mathbb{N} : A - x \in q\}$ . Let  $D = \bigcap\{A - z : z \in FS(\langle x_t \rangle_{t=1}^n)\}$ . Since  $D \in q = q + q$  pick  $\langle y_t \rangle_{t=1}^{\infty}$  with  $FS(\langle y_t \rangle_{t=1}^{\infty}) \subseteq D$ .

Then  $FS(\langle x_t \rangle_{t=1}^n) + FS(\langle y_t \rangle_{t=1}^\infty) \subseteq A$ .

To see that  $B \in p + q$  we show that  $H \subseteq \{x \in \mathbb{N} : B - x \in q\}$ . So let  $x \in H$  and write  $x = \sum_{t \in F} a_t \cdot t!$ . Let  $m = \max F + 1$ . Then  $FS(\langle t! \rangle_{t=m}^\infty) \subseteq B - x$  so  $B - x \in q$ .  $\square$

As we have remarked, we do not know whether  $M = T \cap \bigcap_{n=2}^\infty S_n$ . It is trivial that  $T \setminus \bigcap_{n=2}^\infty S_n \neq \emptyset$ , indeed that  $T \setminus S_2 \neq \emptyset$ . In fact by Theorem 2.5  $S_2 \subseteq C$  and trivially  $C \subseteq \bigcap_{n=1}^\infty cl(\mathbb{N}n)$  while, given any idempotent  $p$  we have by Theorem 2.4 that  $1 + p \in cl(\mathbb{N}2 + 1) \cap T$ . This suggests replacing  $T$  by  $T \cap \bigcap_{n=1}^\infty cl(\mathbb{N}n)$ .

**3.5 Theorem.**  $\bigcap_{n=2}^\infty S_n \setminus T \neq \emptyset$ ,  $(T \cap \bigcap_{n=1}^\infty cl(\mathbb{N}n)) \setminus S_2 \neq \emptyset$ , and  $T \cap \bigcap_{n=2}^\infty S_n \not\subseteq \bigcap_{n=2}^\infty S_n$ .

**Proof.** For the first statement, let  $A = \bigcup_{n=1}^\infty FS(\langle 2^{2^n+i} \rangle_{i=1}^n)$ . By Theorem 2.7,  $(clA) \cap \bigcap_{n=2}^\infty S_n \neq \emptyset$ . It is easy to see however that one cannot get any  $t \in \mathbb{N}$  and any sequence  $\langle x_n \rangle_{n=1}^\infty$  with  $t + FS(\langle x_n \rangle_{n=1}^\infty) \subseteq A$  (since all elements of  $A$  have binary expansions with support restricted to a small segment of  $\mathbb{N}$ ). Thus  $(clA) \cap T = \emptyset$ .

Now let  $B = \bigcup_{k=4}^\infty (2 \cdot (k!) + FS(\langle n! \rangle_{n=k+1}^\infty))$ , so that  $B$  consists of all numbers whose rightmost nonzero factorial digit is a 2, occurring at position 4 or above and all other nonzero digits are 1. Then there do not exist  $x, y \in B$  with  $x + y \in B$ . (Given  $x, y \in B$  either the rightmost digit of  $x + y$  is 4 or there are two digits in the expansion of  $x + y$  which are greater than 1.) Thus  $(clB) \cap S_2 = \emptyset$ .

Now pick by Lemma 1.1  $p = p + p$  with  $p \in \bigcap_{m=1}^\infty cl(FS(\langle n! \rangle_{n=m}^\infty))$  and pick  $q \in \beta\mathbb{N} \setminus \mathbb{N}$  with  $\{2 \cdot (k!) : k \in \mathbb{N}\} \in q$ . Then  $p, q \in \bigcap_{n=1}^\infty cl(\mathbb{N}n)$  so  $q + p \in \bigcap_{n=1}^\infty cl(\mathbb{N}n)$ . By Theorem 2.4,  $q + p \in T$ . Since  $\{2 \cdot (k!) : k \in \mathbb{N} \text{ and } k \geq 4\} \subseteq \{x \in \mathbb{N} : B - x \in p\}$ , one has  $q + p \in clB$ .

The last conclusion of the theorem follows from the first.  $\square$

The following result is a special case of Theorem 3.9, but its proof is much simpler so we present it separately.

**3.6 Theorem.**  $S_3 \subsetneq S_2$ .

**Proof.** Let  $A = \{2^{2^m} - 2^{2^n} : m, n \in \mathbb{N} \text{ and } m > n\}$ . It is easy to see that one cannot get any  $x_1, x_2, x_3 \in A$  with  $\{x_1 + x_2, x_1 + x_3, x_2 + x_3\} \subseteq A$ . Thus  $(clA) \cap S_3 = \emptyset$ . To see that  $(clA) \cap S_2 \neq \emptyset$  we use Theorem 2.6. So let  $\mathcal{F}$  be a finite partition of  $A$ . For each  $F \in \mathcal{F}$ , let  $B(F) = \{\{n, m\} : n, m \in \mathbb{N} \text{ and } m > n \text{ and } 2^{2^m} - 2^{2^n} \in F\}$ . By Ramsey's Theorem [8, p. 7] pick  $F \in \mathcal{F}$  and  $n < m < r$  in  $\mathbb{N}$  with  $\{\{n, m\}, \{n, r\}, \{m, r\}\} \subseteq B(F)$ . Let  $x_1 = 2^{2^m} - 2^{2^n}$  and  $x_2 = 2^{2^r} - 2^{2^m}$ . Then  $x_1 + x_2 = 2^{2^r} - 2^{2^n}$  so  $\{x_1, x_2, x_1 + x_2\} \subseteq F$ .  $\square$

For our proof of Theorem 3.9 we need the following result. Given a sequence  $\langle F_t \rangle_{t=1}^n$  of sets we write  $FU(\langle F_t \rangle_{t=1}^n) = \{\bigcup_{t \in G} F_t : G \text{ is a (finite) nonempty subset of } \{1, 2, \dots, n\}\}$ .

**3.7 Theorem.** (Nešetřil and Rödl). *Let  $r, n \in \mathbb{N}$ . There is a finite set  $\mathcal{S}$  of finite nonempty sets such that:*

(a) *Whenever  $\mathcal{S} = \bigcup_{i=1}^r \mathcal{B}_i$ , there exist  $i \in \{1, 2, \dots, r\}$  and pairwise disjoint  $F_1, F_2, \dots, F_n$  in  $\mathcal{S}$  with  $FU(\langle F_t \rangle_{t=1}^n) \subseteq \mathcal{B}_i$  and*

(b) *there do not exist pairwise disjoint  $F_1, F_2, \dots, F_{n+1}$  in  $\mathcal{S}$  with  $FU(\langle F_t \rangle_{t=1}^{n+1}) \subseteq \mathcal{S}$ .*

**Proof.** [18, Theorem 1.1]. (Or see [7].) (The fact that  $\mathcal{S}$  and the members of  $\mathcal{S}$  are finite is not stated, but follows from the proof.)  $\square$

The following corollary is not stated in [7] or [18], and we feel it is interesting in its own right.

**3.8 Corollary.** *Let  $n \in \mathbb{N} \setminus \{1\}$ . There is a set  $A \subseteq \mathbb{N}$  such that*

(a) *Whenever  $\mathcal{F}$  is a finite partition of  $A$  there exist  $B \in \mathcal{F}$  and  $\langle x_t \rangle_{t=1}^n$  in  $\mathbb{N}$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq B$  and*

(b) *there does not exist  $\langle x_t \rangle_{t=1}^{n+1}$  with  $FS(\langle x_t \rangle_{t=1}^{n+1}) \subseteq A$ .*

**Proof.** Pick by Theorem 3.7 a sequence  $\langle \mathcal{S}_r \rangle_{r=1}^\infty$  such that

(i) for each  $r \in \mathbb{N}$ ,  $\mathcal{S}_r$  is a finite set of finite nonempty subsets of  $\mathbb{N}$  and  $\max(\bigcup \mathcal{S}_r) < \min(\bigcup \mathcal{S}_{r+1})$ ;

(ii) for each  $r \in \mathbb{N}$ , whenever  $\mathcal{S}_r = \bigcup_{i=1}^r \mathcal{B}_i$ , there exist  $i \in \{1, 2, \dots, r\}$  and pairwise disjoint  $F_1, F_2, \dots, F_n$  in  $\mathcal{S}_r$  with  $FU(\langle F_t \rangle_{t=1}^n) \subseteq \mathcal{B}_i$  and

(iii) for each  $r \in \mathbb{N}$ , there do not exist pairwise disjoint  $F_1, F_2, \dots, F_{n+1}$  with  $FU(\langle F_t \rangle_{t=1}^{n+1}) \subseteq \mathcal{S}_r$ .

Let  $\mathcal{S} = \bigcup_{r=1}^\infty \mathcal{S}_r$ . Then

(iv) whenever  $\mathcal{F}$  is a finite partition of  $\mathcal{S}$ , there exist  $\mathcal{B} \in \mathcal{F}$  and pairwise disjoint  $F_1, F_2, \dots, F_n$  in  $\mathcal{S}$  with  $FU(\langle F_t \rangle_{t=1}^n) \subseteq \mathcal{B}$ , and

(v) there do not exist pairwise disjoint  $F_1, F_2, \dots, F_{n+1}$  in  $\mathcal{S}$  with  $FU(\langle F_t \rangle_{t=1}^{n+1}) \subseteq \mathcal{S}$ .

Indeed, (iv) is immediate since if  $r = |F|$  one has  $\mathcal{S}_r \subseteq \mathcal{S}$ . To verify (v), suppose we have pairwise disjoint  $F_1, F_2, \dots, F_{n+1}$  in  $\mathcal{S}$  with  $FU(\langle F_t \rangle_{t=1}^{n+1}) \subseteq \mathcal{S}$ . Observe that, given any  $r \in \mathbb{N}$  and any  $G \in \mathcal{S}$ ,  $G \in \mathcal{S}_r$  if and only if  $\min(\bigcup \mathcal{S}_r) \leq \min G$  and  $\max G \leq \max(\bigcup \mathcal{S}_r)$ . Pick  $r \in \mathbb{N}$  with  $F_1 \in \mathcal{S}_r$ . If any  $F_t \notin \mathcal{S}_r$  we have by the above observation that  $F_1 \cup F_t \notin \mathcal{S}$ . Thus each  $F_t \in \mathcal{S}_r$  so, again using the observation,  $FU(\langle F_t \rangle_{t=1}^{n+1}) \subseteq \mathcal{S}_r$ , contradicting (iii).

Now let  $A = \{\sum_{t \in F} 3^t : F \in \mathcal{S}\}$ . Given a finite partition  $\mathcal{F}$  of  $A$  and  $B \in \mathcal{F}$ , let  $\mathcal{G}(B) = \{F \in \mathcal{S} : \sum_{t \in F} 3^t \in B\}$ . Then  $\{\mathcal{G}(B) : B \in \mathcal{F}\}$  is a finite partition of  $\mathcal{S}$  so by (iv), pick  $B \in \mathcal{F}$  and pairwise disjoint  $F_1, F_2, \dots, F_n$  in  $\mathcal{S}$  with  $FU(\langle F_t \rangle_{t=1}^n) \subseteq \mathcal{G}(B)$ . For  $t \in \{1, 2, \dots, n\}$ , let  $x_t = \sum_{i \in F_t} 3^i$ . Then  $FS(\langle x_t \rangle_{t=1}^n) \subseteq B$ , so (a) holds.

To verify (b), suppose we have  $x_1, x_2, \dots, x_{n+1}$  in  $\mathbb{N}$  with  $FS(\langle x_t \rangle_{t=1}^{n+1}) \subseteq A$ . For each  $t \in \{1, 2, \dots, n+1\}$ , pick  $F_t$  such that  $x_t = \sum_{i \in F_t} 3^i$ . We claim that the sets  $F_1, F_2, \dots, F_{n+1}$  are pairwise disjoint (so that  $FU(\langle F_t \rangle_{t=1}^{n+1}) \subseteq \mathcal{S}$ , contradicting (v)). Suppose instead we have  $t \neq s$  with  $F_t \cap F_s \neq \emptyset$ . Then  $x_t + x_s = \sum_{i \in F_t \Delta F_s} 3^i + \sum_{i \in F_t \cap F_s} 2 \cdot 3^i$ . But  $x_t + x_s \in A$  so for some  $G$ ,  $x_t + x_s = \sum_{i \in G} 3^i$ , contradicting the uniqueness of ternary expansions.  $\square$

**3.9 Theorem.** *Let  $n \in \mathbb{N} \setminus \{1\}$ . Then  $S_{n+1} \subsetneq S_n$ .*

**Proof.** Pick  $A$  as guaranteed by Corollary 3.8. By (b),  $(clA) \cap S_{n+1} = \emptyset$  while by (a) and Theorem 2.7,  $(clA) \cap S_n \neq \emptyset$ .  $\square$

Now we need to show that  $C \neq S_2$ . We will utilize  $\beta\mathbb{Z}$ . We brush aside the distinction between ultrafilters on  $\mathbb{Z}$  with  $\mathbb{N}$  as a member and ultrafilters on  $\mathbb{N}$ , and thus pretend that  $\beta\mathbb{N} \subseteq \beta\mathbb{Z}$ . Given  $p \in \beta\mathbb{N}$  we let  $-p = \{-A : A \in p\}$  and note that  $-p \in \beta\mathbb{Z}$ . (But be cautioned that unless  $p \in \mathbb{N}$ ,  $-p + p \neq 0$ ; in fact  $\beta\mathbb{N} \setminus \mathbb{N}$  is a left ideal of  $\beta\mathbb{Z}$  so if  $p \in \beta\mathbb{N} \setminus \mathbb{N}$  then also  $-p + p \in \beta\mathbb{N} \setminus \mathbb{N}$ .)

**3.10 Lemma.** *Let  $\varphi$  be a homomorphism from  $\beta\mathbb{Z}$  to the circle group  $\mathbb{T}$  and let  $p \in \beta\mathbb{N}$ . Then  $\varphi(-p) = -\varphi(p)$ .*

**Proof.** Note that the function  $f : \beta\mathbb{N} \rightarrow \beta\mathbb{Z}$  defined by  $f(p) = -p$  is continuous. For all  $n \in \mathbb{N}$ ,  $\varphi(-n) = -\varphi(n)$  (since  $\varphi|_{\mathbb{Z}}$  is a group homomorphism). Thus  $\varphi \circ f$  and  $-\varphi$  are continuous functions agreeing on  $\mathbb{N}$ , hence on  $\beta\mathbb{N}$ .  $\square$

**3.11 Lemma.** *Let  $\langle x_n \rangle_{n=1}^\infty$  be any increasing sequence in  $\mathbb{N}$  and let  $A$  and  $B$  be infinite subsets of  $\mathbb{N}$ . Let  $D = \{x_n + x_m - x_r - x_s : n > m + 3 > m > r + 3 > r > s + 3 \text{ and } n, s \in A \text{ and } m, r \in B\}$  and let  $p, q \in \beta\mathbb{N} \setminus \mathbb{N}$  with  $\{x_n : n \in A\} \in p$  and  $\{x_n : n \in B\} \in q$ . Then  $D \in -p + -q + q + p$  and  $-p + -q + q + p \in C$ . In particular  $D$  is a rational approximation set.*

**Proof.** To see that  $-p + -q + q + p \in C$  it suffices (as is well known and explained in the introduction to [1]) to let  $\varphi$  be a homomorphism from  $\beta\mathbb{N}$  to  $\mathbb{T}$  and show that  $\varphi(-p + -q + q + p) = [0]$ . To this end let such  $\varphi$  be given. Define  $\tau : \mathbb{Z} \rightarrow \mathbb{T}$  by  $\tau(0) = [0]$ , and  $\tau(n) = \varphi(n)$  and  $\tau(-n) = -\varphi(n)$  for  $n \in \mathbb{N}$ . Then the continuous extension  $\tau^\beta$  of  $\tau$  to  $\beta\mathbb{Z}$  is a homomorphism and  $\tau^\beta$  agrees with  $\varphi$  on  $\beta\mathbb{N}$ . Thus, using Lemma 3.10,

we have  $\varphi(-p - q + q + p) = \tau(-p - q + q + p) = \tau(-p) + \tau(-q) + \tau(q) + \tau(p) = -\tau(p) - \tau(q) + \tau(q) + \tau(p) = [0]$ .

It is completely routine to verify that  $D \in -p - q + q + p$ . The “in particular” conclusion follows from Lemma 2.9.  $\square$

**3.12 Lemma.** *Let  $\langle x_n \rangle_{n=1}^\infty$  be a sequence in  $\mathbb{N}$  such that for each  $n \in \mathbb{N}$ ,  $x_{n+1} \geq 2x_n$ . Let  $A$  and  $B$  be disjoint infinite subsets of  $\mathbb{N}$  such that for some  $i, j \in \{0, 1, 2\}$   $A \subseteq \mathbb{N}3+i$  and  $B \subseteq \mathbb{N}3+j$ . Let  $D = \{x_n + x_m - x_r - x_s : n > m + 3 > m > r + 3 > r > s + 3 \text{ and } n, s \in A \text{ and } m, r \in B\}$ . There do not exist  $a, b \in D$  with  $a + b \in D$ .*

**Proof.** Suppose we have  $a, b \in D$  with  $a + b \in D$  and pick  $n_1 > m_1 + 3 > m_1 > r_1 + 3 > r_1 > s_1 + 3$ ,  $n_2 > m_2 + 3 > m_2 > r_2 + 3 > r_2 > s_2 + 3$ , and  $n_3 > m_3 + 3 > m_3 > r_3 + 3 > r_3 > s_3 + 3$  such that  $a = x_{n_1} + x_{m_1} - x_{r_1} - x_{s_1}$ ,  $b = x_{n_2} + x_{m_2} - x_{r_2} - x_{s_2}$ , and  $a + b = x_{n_3} + x_{m_3} - x_{r_3} - x_{s_3}$  and  $\{n_1, n_2, n_3, s_1, s_2, s_3\} \subseteq A$  and  $\{m_1, m_2, m_3, r_1, r_2, r_3\} \subseteq B$ . Then we have

$$(*) \quad x_{n_1} + x_{m_1} + x_{n_2} + x_{m_2} + x_{r_3} + x_{s_3} = x_{n_3} + x_{m_3} + x_{r_1} + x_{s_1} + x_{r_2} + x_{s_2}.$$

We may assume without loss of generality that  $n_1 \geq n_2$ . We claim first that  $n_1 = n_3$ . Suppose  $n_1 < n_3$ . Then since  $n_1, n_3 \in \mathbb{N}3+i$ , the left hand side of (\*) is at most  $x_{n_3-3} + x_{n_3-6} + x_{n_3-3} + x_{n_3-6} + x_{n_3-6} + x_{n_3-9} \leq x_{n_3-2} + x_{n_3-5} + x_{n_3-6} + x_{n_3-9} < x_{n_3}$ , a contradiction. (Observe that for each  $n$ ,  $x_{n+1} > \sum_{t=1}^n x_t$ .) Similarly if we had  $n_3 < n_1$  we would have that the right hand side of (\*) is at most  $x_{n_1-3} + x_{n_1-6} + x_{n_1-6} + x_{n_1-9} + x_{n_1-6} + x_{n_1-9} \leq x_{n_1-3} + x_{n_1-5} + x_{n_1-8} + x_{n_1-6} < x_{n_1}$ . Thus  $n_1 = n_3$  so we have

$$(**) \quad x_{m_1} + x_{n_2} + x_{m_2} + x_{r_3} + x_{s_3} = x_{m_3} + x_{r_1} + x_{s_1} + x_{r_2} + x_{s_2}.$$

Now  $n_2 \in A$  and  $m_1 \in B$  so  $n_2 \neq m_1$ . We claim that  $n_2 < m_1$  so suppose instead that  $n_2 > m_1$ . If  $m_3 < n_2$  we have (since  $n_2 > m_1 > r_1 + 3$ ) that the right hand side of (\*\*) is at most  $x_{n_2-1} + x_{n_2-4} + x_{n_2-7} + x_{n_2-6} + x_{n_2-9} < x_{n_2}$ , a contradiction. If  $m_3 > n_2$  ( $> m_1$ ) we have that the left hand side of (\*\*) is at most  $x_{m_3-3} + x_{m_3-1} + x_{m_3-4} + x_{m_3-3} + x_{m_3-6} \leq x_{m_3-1} + x_{m_3-2} + x_{m_3-4} + x_{m_3-6} < x_{m_3}$ , a contradiction. Thus  $n_2 < m_1$  as claimed.

Now we claim  $m_3 = m_1$ . Suppose first that  $m_3 < m_1$ . Then the right hand side of (\*\*) is at most  $x_{m_1-3} + x_{m_1-3} + x_{m_1-6} + x_{m_1-7} + x_{m_1-10} < x_{m_1}$ , a contradiction. Similarly if  $m_1 < m_3$  one has the left hand side of (\*\*) is at most  $x_{m_3-3} + x_{m_3-4} + x_{m_3-7} + x_{m_3-3} + x_{m_3-6} < x_{m_3}$ , a contradiction. Thus  $m_3 = m_1$  so we have

$$(***) \quad x_{n_2} + x_{m_2} + x_{r_3} + x_{s_3} = x_{r_1} + x_{s_1} + x_{r_2} + x_{s_2}.$$

Now we claim that  $n_2 < r_1$ . Suppose not. Then since  $n_2 \in A$  and  $r_1 \in B$  we have  $n_2 > r_1$  so the right hand side of (\*\*\*) is at most  $x_{n_2-1} + x_{n_2-4} + x_{n_2-6} + x_{n_2-9} < x_{n_2}$ , a contradiction. Thus  $n_2 < r_1$  as claimed.

Next we claim  $r_3 = r_1$ . If  $r_3 < r_1$  we have the left hand side of (\*\*\*) is at most  $x_{r_1-1} + x_{r_1-4} + x_{r_1-3} + x_{r_1-6} < x_{r_1}$ , a contradiction. If  $r_3 > r_1$  ( $> n_2$ ) we have the right hand side of (\*\*\*) is at most  $x_{r_3-3} + x_{r_3-6} + x_{r_3-10} + x_{r_3-13} < x_{r_3}$ . Thus  $r_3 = r_1$  so we have

$$(\text{****}) \quad x_{n_2} + x_{m_2} + x_{s_3} = x_{s_1} + x_{r_2} + x_{s_2}.$$

Continuing in this fashion we see that if  $n_2 = s_3$  then also  $n_2 = s_1$  so that  $x_{m_2} + x_{s_3} = x_{r_2} + x_{s_2}$  and hence that  $m_2 = r_2$  which is a contradiction.

Thus one must have  $n_2 \neq s_3$ , and hence that  $|\{n_2, m_2, s_3\}| = 3$ . Now if  $s_1 = s_2$  one has  $s_1 = s_2 < r_2 < n_2$  so the right hand side of (\*\*\*) is at most  $x_{n_2-9} + x_{n_2-6} + x_{n_2-9} < x_{n_2}$ , a contradiction. Thus  $s_1 \neq s_2$  so  $|\{s_1, r_2, s_2\}| = 3$ . Since  $x_{n+1} > \sum_{t=1}^n x_t$  for each  $n$ , expressions in  $FS(\langle x_t \rangle_{t=1}^\infty)$  are unique. Thus from (\*\*\*) we have  $\{n_2, m_2, s_3\} = \{s_1, r_2, s_2\}$  so that  $\{m_2\} = \{n_2, m_2, s_3\} \cap B = \{s_1, r_2, s_2\} \cap B = \{r_2\}$  while  $r_2 < m_2$ . This contradiction completes the proof.  $\square$

**3.13 Theorem.** *Let  $\langle x_n \rangle_{n=1}^\infty$  be a sequence in  $\mathbb{N}$  such that for each  $n$ ,  $x_{n+1} \geq 2x_n$ . Let  $A$  and  $B$  be disjoint infinite subsets of  $\mathbb{N}$  and let  $p, q \in \beta\mathbb{N} \setminus \mathbb{N}$  such that  $\{x_n : n \in A\} \in p$  and  $\{x_n : n \in B\} \in q$ . Then  $-p + -q + q + p \in C \setminus S_2$ .*

**Proof.** Pick  $i, j \in \{0, 1, 2\}$  such that  $\mathbb{N}3+i \in p$  and  $\mathbb{N}3+j \in q$ . Let  $A' = A \cap (\mathbb{N}3+i)$  and  $B' = B \cap (\mathbb{N}3+j)$ . Let  $D = \{x_n + x_m - x_r - x_s : n > m+3 > m > r+3 > r > s+3 \text{ and } n, s \in A' \text{ and } m, r \in B'\}$ . By Lemma 3.11,  $D \in -p + -q + q \in p$  and  $-p + -q + q + p \in C$ . By Lemma 3.12  $-p + -q + q + p \notin S_2$ .  $\square$

It is natural to ask whether in lieu of  $-p + -q + q + p$  above one might be able to get by with  $-p + p$  for some suitable  $p$ . We conclude this section by showing that this is not possible.

**3.14 Theorem.** *Let  $p \in \beta\mathbb{N} \setminus \mathbb{N}$ . Then  $-p + p \in S_2$ .*

**Proof.** Let  $A \in -p + p$ . Then  $\{x \in \mathbb{Z} : A - x \in p\} \in -p$  so  $B = \{x \in \mathbb{N} : A + x \in p\} \in p$ . Pick  $x_1 \in B$ , pick  $x_2 \in B \cap (A + x_1)$ , pick  $x_3 \in (A + x_1) \cap (A + x_2)$ . Let  $y = x_2 - x_1$  and let  $z = x_3 - x_2$ . Then  $y, z \in A$  and  $y + z = x_3 - x_1 \in A$ .  $\square$

**4. Connections with other structures.** The interaction of the operations  $+$  and  $\cdot$  on  $\beta\mathbb{N}$  has been a very useful combinatorial tool. (See [3] for an example where this interaction is utilized several times in succession.)

Recall that, given  $p$  and  $q$  in  $\beta\mathbb{N}$  and  $A \subseteq \mathbb{N}$ ,  $A \in p \cdot q$  if and only if  $\{x \in \mathbb{N} : A/x \in q\} \in p$  where  $A/x = \{y \in \mathbb{N} : y \cdot x \in A\}$ .

It is not generally true that for  $n \in \mathbb{N}$  and  $p \in \beta\mathbb{N}$  one has  $n \cdot p = p + p + \dots + p$  ( $n$ -times). (For example one sees easily that if  $n \neq 1$  then  $n \cdot p \neq p$  while if  $p = p + p$ , then  $p = p + p + \dots + p$  ( $n$ -times).) On the other hand we do have the following lemma. Recall that, given  $p \in \beta\mathbb{N}$  and a sequence  $\langle x_n \rangle_{n=1}^\infty$  in a topological space  $X$ , one has  $p\text{-}\lim_{n \in \mathbb{N}} x_n = y$  if and only if for each neighbourhood  $U$  of  $y$ ,  $\{n \in \mathbb{N} : x_n \in U\} \in p$ .

**4.1 Lemma.** *Let  $(G, +)$  be a compact topological group, let  $\varphi : \beta\mathbb{N} \rightarrow G$  be a continuous homomorphism, let  $p \in \beta\mathbb{N}$ , and let  $n \in \mathbb{N}$ . Then  $\varphi(n \cdot p) = n \cdot \varphi(p)$ , where  $n \cdot \varphi(p) = \varphi(p) + \dots + \varphi(p)$  ( $n$ -times).*

**Proof.** Recall that the function  $\lambda_n$  defined by  $\lambda_n(p) = n \cdot p$  is continuous since  $n \in \mathbb{N}$ . Recall further that by the joint continuity of addition in  $G$ , we have  $n \cdot p\text{-}\lim_{m \in \mathbb{N}} \varphi(m) = p\text{-}\lim_{m \in \mathbb{N}} n \cdot \varphi(m)$ . Thus we have  $\varphi(n \cdot p) = \varphi(n \cdot p\text{-}\lim_{m \in \mathbb{N}} m) = p\text{-}\lim_{m \in \mathbb{N}} \varphi(n \cdot m) = p\text{-}\lim_{m \in \mathbb{N}} n \cdot \varphi(m) = n \cdot p\text{-}\lim_{m \in \mathbb{N}} \varphi(m) = n \cdot \varphi(p\text{-}\lim_{m \in \mathbb{N}} m) = n \cdot \varphi(p)$ .  $\square$

**4.2 Theorem.**  *$C$  is a two sided ideal of  $(\beta\mathbb{N}, \cdot)$ .*

**Proof.** Let  $G$  be a compact topological group with identity  $0$  and let  $\varphi : \beta\mathbb{N} \rightarrow G$  be a homomorphism. Let  $p \in C$  and let  $q \in \beta\mathbb{N}$ . Pick nets  $\langle x_\eta \rangle_{\eta \in D}$  and  $\langle y_\tau \rangle_{\tau \in E}$  in  $\mathbb{N}$  converging to  $p$  and  $q$  respectively.

Then  $\varphi(q \cdot p) = \varphi((\lim_{\tau \in E} y_\tau) \cdot p) = \lim_{\tau \in E} \varphi(y_\tau \cdot p) = \lim_{\tau \in E} (y_\tau \cdot \varphi(p)) = \lim_{\tau \in E} (y_\tau \cdot 0) = 0$ .

Now let  $z = \varphi(q)$  and define  $\tau : \mathbb{N} \rightarrow G$  by  $\tau(n) = n \cdot z$ . Then the continuous extension  $\tau^\beta : \beta\mathbb{N} \rightarrow G$  is a homomorphism. Thus  $\varphi(p \cdot q) = \varphi((\lim_{\eta \in D} x_\eta) \cdot q) = \lim_{\eta \in D} \varphi(x_\eta \cdot q) = \lim_{\eta \in D} (x_\eta \cdot \varphi(q)) = \lim_{\eta \in D} \tau(x_\eta) = \lim_{\eta \in D} \tau^\beta(x_\eta) = \tau^\beta(\lim_{\eta \in D} x_\eta) = \tau^\beta(p) = 0$ .  $\square$

**4.3 Theorem.** *For each  $n \in \mathbb{N} \setminus \{1\}$ ,  $S_n$  is a two sided ideal of  $(\beta\mathbb{N}, \cdot)$ .*

**Proof.** Let  $n \in \mathbb{N}$ , let  $p \in S_n$  and let  $q \in \beta\mathbb{N}$ .

To see that  $q \cdot p \in S_n$ , let  $A \in q \cdot p$  and pick  $y \in \mathbb{N}$  such that  $A/y \in p$ . Pick  $\langle x_t \rangle_{t=1}^n$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq A/y$ . Then  $FS(\langle y \cdot x_t \rangle_{t=1}^n) \subseteq A$ .

To see that  $p \cdot q \in S_n$ , let  $A \in p \cdot q$  and pick  $\langle x_t \rangle_{t=1}^n$  such that  $FS(\langle x_t \rangle_{t=1}^n) \subseteq \{y \in \mathbb{N} : A/z \in q\}$ . Pick  $y \in \bigcap \{A/z : z \in FS(\langle x_t \rangle_{t=1}^n)\}$ . Then  $FS(\langle y \cdot x_t \rangle_{t=1}^n) \subseteq A$ .  $\square$

In the process of our study of the semigroup  $C$ , we were led to the following result (and its fortuitous corollary). By a divisible sequence  $\langle x_n \rangle_{n=1}^\infty$  in  $\mathbb{N}$  we simply mean an increasing sequence with the property that each  $x_n$  divides  $x_{n+1}$ .



Recall that we are representing the circle group  $\mathbb{T}$  as  $\mathbb{R}/\mathbb{Z}$ . By  $\mathbb{T}^{\mathbb{T}}$  we mean the set of all functions from  $\mathbb{T}$  to  $\mathbb{T}$  with the product topology (= “topology of pointwise convergence”).

**4.4 Theorem.** *Define  $h : \mathbb{N} \longrightarrow \mathbb{T}^{\mathbb{T}}$  by  $h(n)(\alpha) = n \cdot \alpha$  and let  $h^\beta$  be the continuous extension of  $h$  to  $\beta\mathbb{N}$ . Let  $\langle x_n \rangle_{n=1}^\infty$  be any divisible sequence in  $\mathbb{N}$ . Then  $h^\beta$  is one-to-one on  $cl\{x_n : n \in \mathbb{N}\}$ .*

**Proof.** Let  $p$  and  $q$  be distinct elements of  $cl\{x_n : n \in \mathbb{N}\}$ . Pick disjoint  $A$  and  $B$  contained in  $\mathbb{N}$  such that  $\{x_n : n \in A\} \in p$  and  $\{x_n : n \in B\} \in q$ . Since  $\{x_n : n \in \mathbb{N}\} = \bigcup_{i=0}^2 \{x_n : n \equiv i \pmod{3}\}$  we may presume we have some  $i \in \{0, 1, 2\}$  such that for all  $n, m \in A$ ,  $n \equiv m \pmod{3}$ . As a consequence, if  $n, m \in A$  and  $n < m$  then  $n+3 \leq m$  so  $x_m \geq x_{n+3} \geq 8 \cdot x_n$ . Now let  $t = \sum_{n \in A} \lfloor x_{n+1}/(2x_n) \rfloor / x_{n+1}$ , where  $\lfloor \cdot \rfloor$  denotes the greatest integer function. (Since  $\langle x_n \rangle_{n=1}^\infty$  is a divisible sequence we have each  $x_n \geq 2^{n-1}$  so  $\lfloor x_{n+1}/(2x_n) \rfloor / x_{n+1} \leq 1/(2x_n) \leq 1/2^n$  so the series defining  $t$  converges (and  $0 < t < 1$ ). As before write  $[t] = t + \mathbb{Z}$ . We show that  $h^\beta(p)([t]) \neq h^\beta(q)([t])$ .

Let  $D = \{[s] : 1/3 \leq s \leq 4/7\}$  and let  $E = \{[s] : 0 \leq s \leq 9/28\}$ . Then  $D$  and  $E$  are disjoint closed subsets of  $T$ . We show that if  $n \in A$  then  $h(x_n)([t]) \in D$  and if  $n \in B$  then  $h(x_n)([t]) \in E$ . As a consequence we will have that  $h^\beta(p)([t]) \in D$  and  $h^\beta(q)([t]) \in E$ .

To this end we first observe that given any  $n \in \mathbb{N}$ ,  $\sum\{(\lfloor x_{k+1}/(2x_k) \rfloor / x_{k+1}) \cdot x_n : k \in A \text{ and } k \geq n+3\} \leq 1/14$ . Indeed, given the first  $k \in A$  with  $k \geq n+3$  one has  $(\lfloor x_{k+1}/(2x_k) \rfloor / x_{k+1}) \cdot x_n \leq x_n/(2x_k) \leq 1/16$ . Given  $k, m \in A$  with  $m > k > n+3$ , one has  $x_m \geq x_{k+3} \geq 8 \cdot x_k$ . Consequently  $\sum\{(\lfloor x_{k+1}/(2x_k) \rfloor / x_{k+1}) \cdot x_n : k \in A \text{ and } k \geq n+3\} \leq (1/2) \sum_{k=1}^\infty 1/8^k = 1/14$ .

Now let  $n \in A$ . Then  $h(x_n)([t]) = x_n \cdot [t] = [x_n \cdot t]$ . Now  $x_n \cdot t = \sum\{(\lfloor x_{k+1}/(2x_k) \rfloor / x_{k+1}) \cdot x_n : k \in A \text{ and } k < n\} + (\lfloor x_{n+1}/(2x_n) \rfloor / x_{n+1}) \cdot x_n + \sum\{(\lfloor x_{k+1}/(2x_k) \rfloor / x_{k+1}) \cdot x_n : k \in A \text{ and } k \geq n+3\}$ . The first of these sums is some integer  $\ell$  and the last of these is at most  $1/14$ . Now consider the middle term. We have  $(\lfloor x_{n+1}/(2x_n) \rfloor / x_{n+1}) \cdot x_n \leq 1/2$  and equality holds if  $x_{n+1}/x_n$  is even. If  $x_{n+1}/x_n$  is odd we have  $x_{n+1} \geq 3x_n$  so  $(\lfloor x_{n+1}/(2x_n) \rfloor / x_{n+1}) \cdot x_n = (x_{n+1}/(2x_n) - 1/2) \cdot x_n/x_{n+1} = 1/2 - 1/2 \cdot (x_n/x_{n+1}) \geq 1/2 - 1/6 = 1/3$ . Thus  $\ell + 1/3 \leq x_n \cdot t \leq \ell + 1/2 + 1/14$  so  $[x_n \cdot t] \in D$  as required.

Finally let  $n \in B$ . Then  $x_n \cdot t = \sum\{(\lfloor x_{k+1}/(2x_k) \rfloor / x_{k+1}) \cdot x_n : k \in A \text{ and } k < n\} + \sum\{(\lfloor x_{k+1}/(2x_k) \rfloor / x_{k+1}) \cdot x_n : k \in A \text{ and } n < k < n+3\} + \sum\{(\lfloor x_{k+1}/(2x_k) \rfloor / x_{k+1}) \cdot x_n : k \in A \text{ and } k \geq n+3\}$ . Again the first sum is some integer  $\ell$  and the last is

at most  $1/14$ . The middle sum has at most one term which is at most  $1/4$ . Thus  $\ell \leq x_n \cdot t \leq \ell + 1/4 + 1/14$  so  $[x_n \cdot t] \in E$  as required.  $\square$

We obtain as a corollary the following result communicated to us by Kenneth Berg. For extensions of this result see [2]. Recall that, given  $f : \mathbb{T} \rightarrow \mathbb{T}$ , the enveloping semigroup of  $f$  is the closure in  $\mathbb{T}^{\mathbb{T}}$  of  $\{f^n : n \in \mathbb{N}\}$ .

**4.5 Corollary.** *Define  $f : \mathbb{T} \rightarrow \mathbb{T}$  by  $f(\alpha) = 2 \cdot \alpha$ . Then the enveloping semigroup of  $f$  can be identified with  $\beta\mathbb{N}$ .*

**Proof.** Note that  $f^n(\alpha) = 2^n \cdot \alpha$  so if  $h$  is defined as in Theorem 4.4, one has for each  $n \in \mathbb{N}$ ,  $h(2^n) = f^n$ . Thus the enveloping semigroup of  $f$  is  $h[\text{cl}\{2^n : n \in \mathbb{N}\}]$ . Since  $h$  is one-to-one on this closure, it is a homeomorphism on  $\text{cl}\{2^n : n \in \mathbb{N}\}$ .  $\square$

It was shown in [16] that if  $p$  is a right cancellable element of  $\beta\mathbb{N}$ , then every element of  $\text{cl}\{p, p+p, p+p+p, \dots\}$  is right cancellable. As a consequence, any such semigroup has a closure which misses the set of idempotents. We show next that one can get semigroups in  $\beta\mathbb{N}$  whose closure is reasonably far removed from the idempotents. (In particular the closure cannot be a semigroup.)

**4.6 Theorem.** *Let  $\langle x_n \rangle_{n=1}^{\infty}$  be any divisible sequence in  $\mathbb{N}$  and let  $p \in (\text{cl}\{x_n : n \in \mathbb{N}\}) \setminus \mathbb{N}$ . Then  $\text{cl}\{p, p+p, p+p+p, \dots\} \cap T = \emptyset$ .*

**Proof.** We may presume  $x_1 = 1$ . (If  $x_1 > 1$ , let  $y_1 = 1$  and  $y_{n+1} = x_n$  for  $n \in \mathbb{N}$ . Then  $(\text{cl}\{y_n : n \in \mathbb{N}\}) \setminus \mathbb{N} = (\text{cl}\{x_n : n \in \mathbb{N}\}) \setminus \mathbb{N}$ .) For each  $n \in \mathbb{N}$  let  $a_n = x_{n+1}/x_n$ . Then each  $m \in \mathbb{N}$  has a unique expression of the form  $\sum_{t \in F} b_t \cdot x_t$  where for each  $t \in F$ ,  $b_t \in \{1, 2, \dots, a_t - 1\}$ . Further  $x_n$  divides  $m$  if and only if  $\min F \geq n$ . Given  $m \in \mathbb{N}$ , define  $c(m) = |F|$  where  $m = \sum_{t \in F} b_t \cdot x_t$  as above. Let  $c^\beta : \beta\mathbb{N} \rightarrow \beta\mathbb{N}$  be the continuous extension of  $c$ . Since  $c$  is constantly equal to 1 on  $\{x_n : n \in \mathbb{N}\}$  we have  $c^\beta(p) = 1$ .

Let  $X = (\bigcap_{n=1}^{\infty} \text{cl}(\mathbb{N}x_n)) \cap (\bigcap_{n=1}^{\infty} \text{cl}\{m \in \mathbb{N} : c(m) > n\})$ . We observe that the idempotents are all in  $X$ . We have  $C \subseteq \bigcap_{n=1}^{\infty} \text{cl}(\mathbb{N}x_n)$ . To see that the idempotents are contained in  $\bigcap_{n=1}^{\infty} \text{cl}\{m \in \mathbb{N} : c(m) > n\}$ , let  $e = e + e$  and suppose that for some  $n$ ,  $\{m \in \mathbb{N} : c(m) \leq n\} \in e$ . Then, since  $e$  is an ultrafilter one has in fact that for some  $n$ ,  $\{m \in \mathbb{N} : c(m) = n\} \in e$ . Let  $A = \{m \in \mathbb{N} : c(m) = n\}$  and pick  $m \in A$  such that  $A - m \in e$ . Pick  $t$  such that  $x_t > m$  and pick  $k \in \mathbb{N}x_t \cap (A - m)$ . Then  $c(k+m) = c(k) + c(m) > n$  so  $k+m \notin A$ , a contradiction.

Now suppose  $(\text{cl}\{p, p+p, p+p+p, \dots\}) \cap T \neq \emptyset$ . By Theorem 2.4,  $T = \text{cl} \bigcup \{\mathbb{N} + e : e \in \beta\mathbb{N} \text{ and } e + e = e\}$ , so  $T \subseteq \text{cl}(\bigcup_{n=1}^{\infty} n + X)$ . Thus  $\text{cl}\{p, p+p, p+p+p, \dots\} \cap$

$cl(\bigcup_{n=1}^{\infty} n+X) \neq \emptyset$  so by Lemma 1.3 either  $cl\{p, p+p, p+p+p, \dots\} \cap (\bigcup_{n=1}^{\infty} n+X) \neq \emptyset$  or  $\{p, p+p, p+p+p, \dots\} \cap cl(\bigcup_{n=1}^{\infty} n+X) \neq \emptyset$ . But  $cl\{p, p+p, p+p+p, \dots\} \subseteq \bigcap_{n=1}^{\infty} cl(\mathbb{N}x_n)$  and  $\bigcap_{n=1}^{\infty} cl(\mathbb{N}x_n) \cap (\bigcup_{n=1}^{\infty} n+X) = \emptyset$ . Thus we have some  $q \in \{p, p+p, p+p+p, \dots\} \cap cl(\bigcup_{n=1}^{\infty} n+X)$ . Now  $q = p + p + \dots + p$  ( $m$ -times) so  $c^\beta(q) = m$ . Let  $A = \{y \in \mathbb{N} : c(y) = m\}$ . Then  $A \in q$  so  $clA \cap (\bigcup_{n=1}^{\infty} n+X) \neq \emptyset$ , so pick  $n \in \mathbb{N}$  with  $clA \cap (n+X) \neq \emptyset$  and pick  $r \in clA \cap (n+X)$ . Pick  $k \in \mathbb{N}$  such that  $x_k > n$ . Now  $r-n \in X \subseteq cl(\mathbb{N}x_k) \cap cl(\{y \in \mathbb{N} : c(y) > m\})$  so  $\mathbb{N}x_k \cap \{y \in \mathbb{N} : c(y) > m\} \cap (A-n) \neq \emptyset$ . Pick  $y \in \mathbb{N}x_k \cap \{y \in \mathbb{N} : c(y) > m\} \cap (A-n)$ . Since  $y \in \mathbb{N}x_k$  and  $x_k > n$  we have  $c(y+n) = c(y) + c(n) > m$  so  $y+n \notin A$ , a contradiction.  $\square$

On the other hand, we see that no semigroup can get too far removed from the idempotents.

**4.7 Theorem.** *Let  $S$  be any subsemigroup of  $\beta\mathbb{N}$ . Then  $(clS) \cap \bigcap_{n=2}^{\infty} S_n \neq \emptyset$ .*

**Proof.** Pick any  $p \in S$ . Define  $\varphi : \mathbb{N} \rightarrow \beta\mathbb{N}$  by  $\varphi(n) = p + p + \dots + p$  ( $n$  times) and let  $\varphi^\beta$  be the continuous extension to  $\beta\mathbb{N}$ . Note that  $\varphi^\beta : \beta\mathbb{N} \rightarrow \beta\mathbb{N}$  is a homomorphism. Pick any  $q \in \bigcap_{n=2}^{\infty} S_n$ . Then  $\varphi^\beta(q) \in clS$ . We claim that  $\varphi^\beta(q) \in \bigcap_{n=2}^{\infty} S_n$ .

We show first that for any  $A \in \varphi^\beta(q)$  and any  $n \in \mathbb{N} \setminus \{1\}$ , there exist  $r_1, r_2, \dots, r_n$  in  $clA$  that commute with each other with  $FS(\langle r_t \rangle_{t=1}^n) \subseteq clA$ . (The fact that  $r_1, r_2, \dots, r_n$  commute with each other is not really relevant except that we do not need to spell out the order of the sums in  $FS(\langle r_t \rangle_{t=1}^n)$ .) To see this let  $A \in \varphi^\beta(q)$  and pick  $B \in q$  such that  $\varphi^\beta[clB] \subseteq clA$ . Now let  $n \in \mathbb{N} \setminus \{1\}$  and (since  $q \in S_n$ ) pick  $x_1, x_2, \dots, x_n$  in  $B$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq B$ . For each  $t \in \{1, 2, \dots, n\}$ , let  $r_t = \varphi(x_t)$ .

To complete the proof we show by induction on  $n \in \mathbb{N}$  that given  $A \subseteq \mathbb{N}$ , if there exist commuting  $r_1, r_2, \dots, r_n$  with  $FS(\langle r_t \rangle_{t=1}^n) \subseteq clA$ , then there exist  $x_1, x_2, \dots, x_n$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq A$ . The case  $n = 1$  is trivial, so let  $n \in \mathbb{N}$  and assume the statement is true for  $n$  and let  $r_1, r_2, \dots, r_{n+1}$  be commuting elements of  $clA$  with  $FS(\langle r_t \rangle_{t=1}^{n+1}) \subseteq clA$ . Let  $D = \{x \in \mathbb{N} : A - x \in r_{n+1}\}$ . Now given any nonempty  $F \subseteq \{1, 2, \dots, n\}$  we have  $A \in \sum_{t \in F} r_t + r_{n+1}$  so  $D \in \sum_{t \in F} r_t$ . That is  $FS(\langle r_t \rangle_{t=1}^n) \subseteq clD$ . Since also  $FS(\langle r_t \rangle_{t=1}^n) \subseteq clA$  we have  $FS(\langle r_t \rangle_{t=1}^n) \subseteq cl(A \cap D)$  so by the induction hypothesis choose  $\langle x_t \rangle_{t=1}^n$  with  $FS(\langle x_t \rangle_{t=1}^n) \subseteq A \cap D$ . Now  $A \in r_{n+1}$  and for each nonempty  $F \subseteq \{1, 2, \dots, n\}$ ,  $A - \sum_{t \in F} x_t \in r_{n+1}$  so pick  $x_{n+1} \in A \cap \bigcap \{A - \sum_{t \in F} x_t : \emptyset \neq F \subseteq \{1, 2, \dots, n\}\}$ . Then  $FS(\langle x_t \rangle_{t=1}^{n+1}) \subseteq A$ .  $\square$

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